

NOTICE OF PUBLIC MEETING

NAME OF ORGANIZATION: NEVADA COMMISSION ON ETHICS

DATE & TIME OF MEETING: Wednesday, May 19, 2021 at 9:00 a.m.

PLACE OF MEETING:

This meeting will be held exclusively via Zoom (virtually) as follows:

Zoom Meeting Information¹:

https://zoom.us/j/93454073325?pwd=RHdrRmExOFVQem4xcTN0dU0wUmQrQT09

Zoom Meeting Telephone Number: 669-900-9128*

Meeting ID: 934 5407 3325

Passcode: 034758

*Please Note: If you choose to participate via telephone, your telephone number may be displayed in the Zoom public platform.

MEMBERS OF THE PUBLIC ARE INVITED TO SUBMIT WRITTEN PUBLIC COMMENT WHICH WILL BE SUBMITTED INTO THE RECORD OF THE PUBLIC MEETING.

AGENDA

NOTES:

- Two or more agenda items may be combined for consideration.
- At any time, an agenda item may be taken out of order, removed, or delayed.
- Public comment will be accepted at the beginning of the open session and again before the conclusion of the open session of the meeting. Comment and/or testimony by the public may be limited to three (3) minutes. No action may be taken on any matter referred to in remarks made as public comment. Members of the public may also submit written public comment to the Commission at ncoe@ethics.nv.qov.

	1. Call to Order, Roll Call, and Pledge of Allegiance to the Flag.
	2. Public Comment. Comment and/or testimony by any member of the public will be limited to three (3) minutes. No action will be taken under this agenda item.
	3. Welcome and Introduction of Commissioner James Oscarson
For Possible Action	4. Approval of Minutes of the March 17, 2021 Commission Meeting.

¹ Sections 1 and 2 of Directive 006 of the Governor's COVID-19 Declaration of Emergency suspend the requirements of NRS 241.023(1)(b) requiring a public body to hold a public meeting at a physical location. This Notice provides the public with the opportunity to attend the meeting virtually including providing public comment via Zoom's virtual platform which permits the public to attend by video or telephonically. Alternatively, public comment may be provided by email at ncoe@ethics.nv.gov.

For Possible Action	 5. Report by Executive Director on agency status and operations and possible direction thereon. Items to be discussed include, without limitation: Introduction of Associate Counsel Elizabeth J. Bassett, Esq. Quarterly Case Log FY21 Budget Status Upcoming Biennial Budget Update on Education and Outreach Update on COVID-19 Emergency Protocols
For Possible Action	6. Report by Commission Counsel on 2021 Legislative Session, including without limitation the status of Assembly Bill 65 and other pending legislation possibly affecting agency status or operations.
For Possible Action	7. Discussion and possible direction on establishing the Commission's vision and public service objectives for the next fiscal year including detailing goals, outcomes or performance objectives/ measures for the Commission's two appointed positions of Executive Director, held by David R. Hall, Esq., and Commission Counsel, held by Tracy L. Chase, Esq. Pursuant to NRS 241.020(2)(d)(5) and NRS 241.033, proper notice has been provided to both public appointees that the Commission's discussion on this item may include consideration of their individual character and professional competence in performing the duties of their appointed positions.
	8. Commissioner Comments on matters including, without limitation, identification of future agenda items, upcoming meeting dates and meeting procedures. No action will be taken under this agenda item.
	9. Public Comment. Comment and/or testimony by any member of the public may be limited to three (3) minutes. No action will be taken under this agenda item.
For Possible Action	10. Adjournment.

NOTES:

- The Commission is pleased to make reasonable accommodations for any member of the public who has a disability and wishes to attend the meeting. If special arrangements for the meeting are necessary, please notify the Nevada Commission on Ethics, in writing at 704 W. Nye Lane, Ste. 204, Carson City, Nevada 89703; via email at ncoe@ethics.nv.gov or call 775-687-5469 as far in advance as possible.
- To request an advance copy of the supporting materials for any open session of this meeting, contact Executive Director David R. Hall, Esq. at <u>ncoe@ethics.nv.gov</u> or call 775-687-5469.
- This Agenda and supporting materials are posted and are available not later than the 3rd working day before the meeting at the Commission's office, 704 W. Nye Lane, Ste. 204, Carson City, Nevada, or on the Commission's website at <u>www.ethics.nv.gov</u>.
- Any meeting or hearing held by the Commission pursuant to NRS 281A.760 to receive information or evidence regarding the conduct of a public officer or employee and deliberations of the Commission concerning an ethics complaint are exempt from the provisions of NRS Chapter 241, Nevada's Open Meeting Law. As a result, these agenda items, or any portion of them, may be heard in closed session.

This Notice of Public Meeting and Agenda was posted in compliance with NRS 241.020, as amended by the Governor's COVID-19 Declaration of Emergency, Directive 006², before 9:00 a.m. on the third working day before the meeting at the following locations:

- Nevada Commission on Ethics, 704 W. Nye Lane, Suite 204, Carson City
- Nevada Commission on Ethics' website: <u>http://ethics.nv.gov</u>
- Nevada Public Notice Website: <u>http://notice.nv.gov</u>

² Sections 4 and 5 of Directive 006 of the Governor's COVID-19 Declaration of Emergency suspends the requirements of NRS 241.020(4)(a) requiring a public notice to be posted at physical locations within the State of Nevada and maintains continued compliance with NRS 241.020(4)(b) and 241.020(4)(c) for public notices and agendas to be posted to Nevada's notice website and the public body's website, along with providing a copy to any person who has requested one via U.S. mail or electronic mail. Supporting meeting material is also available on the Commission's website or by contacting Commission staff.

Agenda Item 4



STATE OF NEVADA COMMISSION ON ETHICS http://ethics.nv.gov

MINUTES of the meeting of the NEVADA COMMISSION ON ETHICS

The Commission on Ethics held a public meeting on Wednesday, March 17, 2021, at 9:00 a.m. Virtually via Zoom as follows:

Zoom Meeting Information: Zoom Meeting Telephone Number: 669-900-9128 Meeting ID: 987 2879 9268 Passcode: 193577

These minutes constitute a summary of the above proceedings of the Nevada Commission on Ethics. A recording of the meeting is available for public inspection at the Commission's office.

1. Call to Order and Roll Call.

Chair Kim Wallin, CPA, CMA, CFM appeared via videoconference and called the meeting to order at 9:00 a.m. Also appearing via videoconference were Vice-Chair Brian Duffrin and Commissioners Barbara Gruenewald, Esq., Teresa Lowry, Esq., Thoran Towler, Esq. and Amanda Yen, Esq. Commissioner Damian Sheets, Esq. was absent from the meeting. Present for Commission staff via videoconference were Acting Interim Executive Director/Commission Counsel Tracy L. Chase, Esq., Investigator Erron Terry, Senior Legal Researcher Darci Hayden and Executive Assistant Kari Pedroza.

The pledge of allegiance was conducted.

2. Public Comment.

There was no public comment.

3. <u>Approval of Minutes of the February 17, 2021 Commission Meeting.</u>

Chair Wallin stated that all Commissioners were present for the January meeting and could participate on this item, except for Commissioner Towler who was excused from that meeting and would abstain from participating on this item.

Commissioner Yen moved to approve the February 17, 2021 Commission Meeting Minutes as presented. Commissioner Lowry seconded the motion. The Motion was put to a vote and carried as follows:

Chair Wallin:	Aye.
Vice-Chair Duffrin:	Aye.
Commissioner Gruenewald:	Aye.
Commissioner Lowry:	Aye.
Commissioner Towler:	Abstain.
Commissioner Yen:	Aye.

- 4. <u>Report by Commission Counsel, as Acting Executive Director on agency status and operations and possible direction thereon. Items to be discussed include, without limitation:</u>
 - FY21 Budget Status
 - Upcoming Biennial Budget
 - 2021 BDR Status (AB 65)
 - 2021 Legislative Session
 - Update on COVID-19 Emergency Protocols
 - Education and Outreach

<u>FY21 Budget Status:</u> Acting Interim Executive Director Chase reiterated to the Commission that agency operations would be within budgetary amounts for this Fiscal Year with position vacancies contributing to the savings. She provided an update to the Commission on recruitment status of the Associate Counsel position.

<u>Upcoming Biennial Budget:</u> Acting Interim Executive Director Chase shared that the upcoming biennial budget status is the same as reported last meeting. She reiterated that the Legislature is anticipated to consider the Commission's budget as a staff closing, meaning that a formal hearing would not be presented as there are no anticipated issues with the Commission's budget. She further explained that once the staff closing is concluded, the Commission's budget will be scheduled for final closing in April or May before the full Joint Budget Committee.

<u>2021 BDR Status (AB 65) & 2021 Legislative Session:</u> Acting Interim Executive Director Chase confirmed that AB 65 is being considered before the Assembly Legislative Operations and Elections Committee. She informed the Commission that the Committee requested additional information regarding the purpose of each bill section which has been provided to the Committee. Acting Interim Executive Director Chase thanked Commission Chair Wallin and Vice-Chair Duffrin for their efforts in reviewing and editing the AB 65 outline document.

<u>Update on COVID-19 Emergency Protocols:</u> Acting Interim Executive Director Chase reported that current COVID-19 protocols remain in place and Commission staff continue to work remotely with some administrative functions being performed in office such as postal mail and voicemail retrieval and processing of other operational matters.

Education and Outreach: Acting Interim Executive Director Chase informed the Commission that the training materials posted on the Commission's website have received interest from the public and requests for training received by staff will be logged and scheduled under the direction of the new Executive Director once he/she begins with the Commission.

Commissioner Lowry asked a clarification question regarding the recruitment process for the Associate Counsel position and Acting Interim Executive Director Chase provided the response. Commissioner Gruenewald moved to accept the Executive Director's agency status report as presented. Vice-Chair Duffrin seconded the motion. The motion was put to a vote and carried unanimously.

- 5. <u>Interviews and consideration of candidates for the Executive Director position, and possible appointment of a candidate as the Executive Director. Under NRS 241.020(2)(d)(5), the Commission may consider the character and professional competence of the following applicants for appointment as the Executive Director:</u>
 - 1.) Michael A. Pintar
 - 2.) John R. McCormick
 - 3.) David R. Hall
 - 4.) Janet E. Traut

Chair Wallin introduced the agenda item stating that the Commission would be holding 1hour interviews of each of the 4 Executive Director applicants and after the interviews the Commission would deliberate regarding its selection of the new Executive Director.

Commissioner Lowry asked about the background check process for the selected candidate and Chair Wallin outlined the process for her fellow Commissioners. Commission Counsel Chase added that if an attorney was selected the background check would include an inquiry to the State Bar. She stated that she would perform the reference checks for the selected candidate.

Vice-Chair Duffrin disclosed that he served on the Northern Nevada Disciplinary Board with Mr. Pintar, worked at the Gaming Control Board after Mr. Pintar was employed there and also graduated from Carson High School like Mr. Pintar; the two were not close friends but were acquaintances. Commission Counsel Chase provided that under both the Ethics Law and the Cannons of Judicial Ethics the relationship described by Vice-Chair Duffrin did not disqualify him from participating in the matter.

The Commission then interviewed each of the four candidates in the following order: Michael A. Pintar, John R. McCormick, David R. Hall, and Janet E. Traut.

Chair Wallin expressed her gratitude to each of the applicants and remarked how impressed she was with the quality of the applicants, stating it was a very difficult decision for the Commission to select one final candidate. Chair Wallin looked to her colleagues for their views on which applicant should be appointed as the Executive Director.

Commissioner Gruenewald concurred with Chair Wallin and her comments regarding the quality of the applicants. She shared her opinion that the person filling the Executive Director position should be a licensed attorney. Commissioner Gruenewald discussed her top two candidate selections for the position, Mr. Pintar and Mr. Hall.

Commissioner Yen echoed the comments of Chair Wallin and Commissioner Gruenewald regarding the quality of all the applicants. Commissioner Yen stated that her first choice was David R. Hall, Esq.

Commissioner Lowry stated how difficult of a decision this was and appreciated her colleagues' comments. However, she agreed with the other Commissioners and her first selection would also be Mr. Hall. She addressed the applicants and thanked them for their interest, time, and effort today.

Commissioner Towler agreed that the decision was difficult, but that he also selected David R. Hall, Esq.

Vice-Chair Duffrin also echoed the comments of his colleagues, stating that he would like to extend the offer of the Executive Director position to David R. Hall, Esq.

Chair Wallin stated her agreement with her fellow Commissioners' views about the quality of the applicants and also extending the job offer to David R. Hall, Esq.

Commission staff thanked the Commission for allowing them to provide their feedback on the Commission's selection for the Executive Director position.

Commissioner Gruenewald moved that the Commission extend an offer of the Executive Director position to David R. Hall, Esq. contingent upon the background and reference check results. Commissioner Yen seconded the motion. The motion was put to a vote and carried unanimously.

6. <u>Commissioner comments on matters including, without limitation, identification of future</u> <u>agenda items, upcoming meeting dates and meeting procedures. No action will be taken</u> <u>under this agenda item.</u>

There were no Commissioner comments.

7. Public Comment.

There was no public comment.

8. Adjournment.

Commissioner Yen made a motion to adjourn the public meeting. Commissioner Lowry seconded the motion. The Motion was put to a vote and carried unanimously.

The meeting adjourned at 2:36 p.m.

Minutes prepared by:

Minutes approved May 19, 2021:

<u>/s/ Kari Pedroza</u> Kari Pedroza

Executive Assistant /s/ Tracy Chase, Esg.

Tracy Chase, Esq. Acting interim Executive Director Kim Wallin, CPA, CMA, CFM Chair

Brian Duffrin Vice-Chair

Agenda Item 5

RFO No.	Date Filed	Jurisdiction	Local or State	Subject	Requester	Status		
21-030C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Pending Jurisdictional Review		
21-029C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Pending Jurisdictional Review		
21-028C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Pending Jurisdictional Review		
21-027C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Pending Jurisdictional Review		
21-026C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Pending Jurisdictional Review		
21-025C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Pending Jurisdictional Review		
21-023A	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Pending Submission/ Opinion		
21-022C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Pending Jurisdictional Review		
21-021C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Pending Jurisdictional Review		
21-014C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Investigation Pending		
21-007C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Investigation Pending		
21-006C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Investigation Pending		
21-002A	XXXXX	XXXXXX	xxxxx	XXXXXXXXXX	XXXXXXXXXX	Opinion issued 4/5/2021; Abstract Pending		
20-085C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Investigation Pending; Consolidated w/20-081C		
20-083C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Investigation Pending		
20-081C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Investigation Pending; Consolidated w/20-085C		
20-077C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Investigation Pending		
20-076C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Investigation Pending		
20-075C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Investigation Pending		
20-064C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Investigation Pending		
20-060C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Investigation Pending		
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20-048C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Investigation Pending		
20-043C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Panel Hearing Pending		
20-027C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Investigation Pending		
20-023C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Investigation Pending		
20-018C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Investigation Pending		
20-010C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Investigation Pending		
20-007C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Adjudicatory Proceedings Pending		

20-001C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Investigation Pending		
19-126C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXXX	Investigation Pending		
19-111C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Panel Hearing Pending		
19-105C	xxxxx	XXXXXX	xxxxx	XXXXXXXXXX	xxxxxxxxx	Stipulated Agreement 9/3/20; Compliance Pending - \$6,500 Civil Penalty (\$3,500 Remaining as of 3/31/21); Consolidated with 19-081 & 19-082C		
19-102C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXXX	Panel Hearing Pending		
19-095C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Panel Hearing Pending		
19-088C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXXX	Panel Hearing Pending		
19-082C	xxxxx	XXXXXX	xxxxx	XXXXXXXXXX	XXXXXXXXXX	Stipulated Agreement 9/3/20; Compliance Pending - \$6,500 Civil Penalty (\$3,500 Remaining as of 3/31/21); Consolidated with 19-081 & 19-105C		
19-081C	xxxxx	XXXXXX	xxxxx	XXXXXXXXXX	XXXXXXXXXX	Stipulated Agreement 9/3/20; Compliance Pending \$6,500 Civil Penalty (\$3,500 Remaining as of 3/31/2 Consolidated with 19-082 & 19-105C		
				个 FISCAL YE	AR 2020 个			
19-044C	xxxxx	XXXXXX	xxxxx	xxxxxxxxx	xxxxxxxxxx	Deferral Agreement 2/20/20; Compliance Period expires 2/20/25		
19-039C	xxxxx	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXXX	Deferral Agreement 10/14/19; Compliance Period expires 10/14/21		
19-018C	xxxxx	XXXXXX	xxxxx	XXXXXXXXXX	xxxxxxxxx	Stipulated Agreement 6/17/19 Compliance Pending - \$8,700 Civil Penalty due 7/31/21 (\$1,929.15 Remaining as of 5/3/21)		
19-004C	xxxxx	XXXXXX	xxxxx	XXXXXXXXXX	xxxxxxxxx	Deferral Agreement 6/4/20; Compliance Pending - Compliance Period expires 6/4/22, Must Attend Ethics Training		
18-060C	xxxxx	XXXXXX	xxxxx	XXXXXXXXXX	xxxxxxxxx	Stipulated Agreement 11/18/19 Compliance Pending - \$13,881.36 Civil Penalty due 6/30/23 (\$12,090.20 Remaining as of 5/3/21)		
个 FISCAL YEAR 2019 个								

RFO No.	Date Filed	Jurisdiction	Local or State	Subject	Requester	Status
21-030C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXXX	Pending Jurisdictional Review
21-029C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Pending Jurisdictional Review
21-028C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXXX	Pending Jurisdictional Review
21-027C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXXX	Pending Jurisdictional Review
21-026C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXXX	Pending Jurisdictional Review
21-025C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXXX	Pending Jurisdictional Review
21-024A	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXXX	Withdrawn (see 21-023A Duplicate)
21-023A	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXXX	Pending Submission/ Opinion
21-022C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Pending Jurisdictional Review
21-021C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Pending Jurisdictional Review
21-020C	xxxxx	XXXXXX	xxxxx	XXXXXXXXXX	XXXXXXXXXX	Dismissed (No Jurisdiction; No Investigation)
21-019C	ххххх	XXXXXX	xxxxx	XXXXXXXXXX	XXXXXXXXXX	Dismissed (No Jurisdiction; No Investigation)
21-018C	ххххх	XXXXXX	xxxxx	XXXXXXXXXX	xxxxxxxxx	Dismissed (No Jurisdiction; No Investigation)
21-017C	ххххх	XXXXXX	xxxxx	XXXXXXXXXX	XXXXXXXXXX	Dismissed (No Jurisdiction; No Investigation)
21-016C	ххххх	XXXXXX	xxxxx	XXXXXXXXXX	xxxxxxxxx	Dismissed (No Jurisdiction; No Investigation)
21-015C	xxxxx	XXXXXX	xxxxx	XXXXXXXXXX	XXXXXXXXXX	Dismissed (No Jurisdiction; No Investigation)
21-014C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXXX	Investigation Pending
21-013C	ххххх	XXXXXX	xxxxx	XXXXXXXXXX	XXXXXXXXXX	Dismissed (No Jurisdiction; No Investigation)
21-012C	ххххх	XXXXXX	XXXXX	XXXXXXXXXX	xxxxxxxxx	Dismissed (No Jurisdiction; No Investigation)
21-011C	ххххх	XXXXXX	xxxxx	XXXXXXXXXX	xxxxxxxxx	Dismissed (No Jurisdiction; No Investigation)
21-010A	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Withdrawn (see 21-009A Duplicate)
21-009A	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Dismissed - No Supplemental Info

FY21 Case Log

						Dismissed
21-008C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Dismissed (No Jurisdiction; No Investigation)
21-007C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Investigation Pending
21-006C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXXX	Investigation Pending
21-005C	XXXXX	XXXXXX	xxxxx	XXXXXXXXXX	xxxxxxxxxx	Dismissed (No Jurisdiction; No Investigation)
21-004C	XXXXX	XXXXXX	xxxxx	XXXXXXXXXX	xxxxxxxxx	Dismissed (No Jurisdiction; No Investigation)
21-003C	XXXXX	XXXXXX	xxxxx	XXXXXXXXXX	XXXXXXXXXX	Dismissed w/Ltr of Instruction 2/8/21 (Jurisdiction; No Investigation)
21-002A	XXXXX	XXXXXX	xxxxx	XXXXXXXXXX	xxxxxxxxxx	Opinion issued 4/5/2021; Abstract Pending
21-001C	XXXXX	XXXXXX	xxxxx	XXXXXXXXXX	XXXXXXXXXX	Dismissed (No Jurisdiction; No Investigation)
20-89C	XXXXX	XXXXXX	xxxxx	XXXXXXXXXX	XXXXXXXXXX	Dismissed (No Jurisdiction; No Investigation)
20-88C	XXXXX	XXXXXX	xxxxx	XXXXXXXXXX	xxxxxxxxx	Dismissed (No Jurisdiction; No Investigation)
20-095C	XXXXX	XXXXXX	xxxxx	XXXXXXXXXX	XXXXXXXXXX	Dismissed (No Jurisdiction; No Investigation)
20-094C	XXXXX	XXXXXX	xxxxx	XXXXXXXXXX	XXXXXXXXXX	Dismissed by Panel w/Ltr of Instruction 4/26/21 (Jurisdiction; No Investigation)
20-093C	XXXXX	XXXXXX	xxxxx	XXXXXXXXXX	xxxxxxxxx	Dismissed (No Jurisdiction; No Investigation)
20-092C	XXXXX	XXXXXX	xxxxx	XXXXXXXXXX	xxxxxxxxxx	Dismissed (No Jurisdiction; No Investigation)
20-091A	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Dismissed - No Jurisdiction
20-090A	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Withdrawn (see 20-091A Duplicate)
20-087C	XXXXX	XXXXXX	xxxxx	XXXXXXXXXX	XXXXXXXXXX	Dismissed (No Jurisdiction; No Investigation)
20-086C	XXXXX	XXXXXX	xxxxx	XXXXXXXXXX	XXXXXXXXXX	Dismissed w/Ltr of Instruction 1/7/21 (Jurisdiction; No Investigation)
20-085C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Investigation Pending; Consolidated w/20-081C
20-084C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Dismissed by Panel 3/17/21
20-083C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXXX	Investigation Pending
20-082C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXXX	Withdrawn (see 20-083C Duplicate)

20-081C	~~~~~	XXXXXX	VVVVV	~~~~~~	~~~~~	Investigation Danding: Cancelidated w/20.08FC
20-0810	XXXXX	~~~~~	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Investigation Pending; Consolidated w/20-085C
20-080C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXXX	Dismissed
						(No Jurisdiction; No Investigation)
20-079A	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXXX	Opinion issued 12/30/20;
						Abstract issued 2/17/21
20-078C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXXX	Dismissed
20.0770	200000					(No Jurisdiction; No Investigation)
20-077C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Investigation Pending
20-076C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Investigation Pending
20-075C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Investigation Pending
20-074C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXXX	Dismissed w/Ltr of Instruction 12/7/20
						(Jurisdiction; No Investigation)
20-073C	xxxxx	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXXX	Dismissed
						(No Jurisdiction; No Investigation)
20-072A	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXXX	Withdrawn (see 20-071A Duplicate)
20-071A	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXXX	Dismissed - No Supplemental Info
20-070C	xxxxx	XXXXXX	xxxxx	XXXXXXXXXX	XXXXXXXXXXX	Dismissed w/Ltr of Caution 11/5/20
20 0700			~~~~~			(Jurisdiction; No Investigation)
20-069A	xxxxx	XXXXXX	xxxxx	XXXXXXXXXX	XXXXXXXXXXX	Opinion issued 11/30/20;
20 005/1			~~~~~			Confidentiality Waived
20-068C	xxxxx	XXXXXX	xxxxx	XXXXXXXXXX	XXXXXXXXXXX	Dismissed
20 0000			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			(No Jurisdiction; No Investigation)
20-067C	xxxxx	XXXXXX	xxxxx	XXXXXXXXXX	XXXXXXXXXXX	Dismissed
20 0070						(No Jurisdiction; No Investigation)
20-066C	xxxxx	XXXXXX	xxxxx	XXXXXXXXXX	XXXXXXXXXXX	Dismissed
20 0000			~~~~~			(No Jurisdiction; No Investigation)
20-065C	xxxxx	XXXXXX	xxxxx	XXXXXXXXXX	XXXXXXXXXXX	Dismissed
20-0050	~~~~		~~~~~			(No Jurisdiction; No Investigation)
20-064C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Investigation Pending
20-063C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXXX	Deferral Agreement Pending - Extension 5/17/21
20.0626	~~~~	~~~~~	~~~~		~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	Dismissed w/Ltr of Caution 10/1/20
20-062C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	(Jurisdiction; No Investigation)
20.064.0						Dismissed
20-061C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	(No Jurisdiction; No Investigation)
20-060C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Investigation Pending
			I.			

FY21 Case Log

20-059C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	xxxxxxxxx	Dismissed
						(No Jurisdiction; No Investigation)
20-058A	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXXX	Opinion issued 9/1/20;
20 000/1	700000	700000	/00000	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	Abstract issued 9/16/20
20-057C	XXXXX	XXXXXX	xxxxx	XXXXXXXXXX	xxxxxxxxxx	Dismissed
20-0370	~~~~	~~~~	~~~~	~~~~~~	~~~~~	(No Jurisdiction; No Investigation)
20-056C	XXXXX	XXXXXX	xxxxx	XXXXXXXXXX	XXXXXXXXXXX	Dismissed w/Ltr of Instruction 9/3/20
20-0500	~~~~	~~~~	~~~~	~~~~~~	^^^^	(Jurisdiction; No Investigation)
	XXXXX	VVVVV	xxxxx	~~~~~~	~~~~~	Dismissed
20-055C	~~~~	XXXXXX	~~~~	XXXXXXXXXX	XXXXXXXXXXX	(No Jurisdiction; No Investigation)
20-054A	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXX	Withdrawn (see 20-053A Duplicate)
20.0524						Opinion issued 8/24/20;
20-053A	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	XXXXXXXXXXX	Abstract issued 9/16/20

PROGRAM DESCRIPTION

The Nevada Commission on Ethics is an eight member body appointed by the Governor and Legislative Commission to interpret and enforce the provisions of the Ethics in Government Law set forth in NRS Chapter 281A ("Ethics Law"). The Ethics Law establishes the public policy and standards of conduct necessary to ensure the integrity and impartiality of government, free from conflicts of interest between public duties and private interests of state, local public officers, and employees. The commission and its staff focus on four main functions: 1) interpreting and enforcing the provisions of the Ethics Law; 2) investigating and adjudicating public complaints alleging ethics violations by public officers and employees; 3) providing outreach and education to public officers and employees to enhance their awareness and understanding of ethics requirements and prohibitions under Nevada law and 4) accepting and monitoring various filings required of certain public officers. Statutory Authority: NRS 281A.

BASE

This request continues funding for six positions and associated operating costs. One-time expenditures have been eliminated and partial year costs have been annualized.

	2019-2020 ACTUAL	2020-2021 WORK PROGRAM	2021-2022 GOVERNOR RECOMMENDS	2022-2023 GOVERNOR RECOMMENDS
RESOURCES:				
2501 APPROPRIATION CONTROL	245,698	243,891	249,569	249,569
Funding is based on the proportion of state and local governmental public officers and employees. Based on the employee counts provided by DETR, the state and local governments contribute 28 and 72 percent, respectively, of the Commission's total funding over the 2019-21 biennium.				
[See Attachment]		_	_	_
2510 REVERSIONS	-4,092	0	0	0
2511 BALANCE FORWARD FROM PREVIOUS YEAR	34,685	23,159	56,412	56,412
This amount represents the reserve available from the collection of local government fee assessments.				0
2512 BALANCE FORWARD TO NEW YEAR	-23,158	0		0
4103 COUNTY REIMBURSEMENTS	610,378	639,457	641,747	641,747
Funding is based on the proportion of state and local governmental public officers and employees. Based on the employee counts provided by DETR, the state and local governments contribute 28 and 72 percent, respectively, of the Commission's total funding over the 2019-21 biennium.				
4335 REIMBURSEMENT OF EXPENSES	644	0	0	0
TOTAL RESOURCES:	864,155	906,507	947,728	947,728
EXPENDITURES:				
CATEGORY 01 PERSONNEL:				
5100 SALARIES	511,707	497,197	525,849	525,849
5200 WORKERS COMPENSATION	6,047	5,132	5,142	5,142
5300 RETIREMENT	102,899	110,372	102,626	102,626
5400 PERSONNEL ASSESSMENT	1,326	1,344	1,345	1,345
5500 GROUP INSURANCE	44,887	56,400	56,400	56,400
5700 PAYROLL ASSESSMENT	535	530	530	530
5750 RETIRED EMPLOYEES GROUP INSURANCE	11,974	13,575	14,356	14,356
5800 UNEMPLOYMENT COMPENSATION	780	770	790	790
5840 MEDICARE	7,337	7,210	7,625	7,625

	2019-2020 ACTUAL	2020-2021 WORK PROGRAM	2021-2022 GOVERNOR RECOMMENDS	2022-2023 GOVERNOR RECOMMENDS
5860 BOARD AND COMMISSION PAY	5,920	7,680	5,920	5,920
This request continues funding for Board and Commission pay.				
5970 TERMINAL ANNUAL LEAVE PAY	4,245	0	4,245	4,245
TOTAL FOR CATEGORY 01:	697,657	700,210	724,828	724,828
CATEGORY 03 IN-STATE TRAVEL:				
6200 PER DIEM IN-STATE	4,415	-630	4,415	4,415
6210 FS DAILY RENTAL IN-STATE	529	377	529	529
6215 NON-FS VEHICLE RENTAL IN-STATE	245	947	245	245
6220 AUTO MISC - IN-STATE	0	24	0	0
6230 PUBLIC TRANSPORTATION IN-STATE	77	33	77	77
6240 PERSONAL VEHICLE IN-STATE	541	960	541	541
6250 COMM AIR TRANS IN-STATE	7,019	13,081	7,019	7,019
TOTAL FOR CATEGORY 03:	12,826	14,792	12,826	12,826
CATEGORY 04 OPERATING EXPENSES:				
7000 OPERATING	0	-7,023	0	0
7020 OPERATING SUPPLIES	688	2,015	688	688
7026 OPERATING SUPPLIES-F	138	382	138	138
7030 FREIGHT CHARGES	305	102	305	305
7044 PRINTING AND COPYING - C	582	515	582	582
7045 STATE PRINTING CHARGES	30	0	30	30
7050 EMPLOYEE BOND INSURANCE	22	18	18	18
7051 AGENCY OWNED - PROP. & CONT. INSURANCE	77	0	77	77
7054 AG TORT CLAIM ASSESSMENT	514	512	513	513
705A NON B&G - PROP. & CONT. INSURANCE	0	24	0	0
705B B&G - PROP. & CONT. INSURANCE	0	52	0	0
7060 CONTRACTS	160	0	160	160
7100 STATE OWNED BLDG RENT-B&G	3,843	3,940	3,843	3,843
7103 STATE OWNED MEETING ROOM RENT	0	600	0	0
7110 NON-STATE OWNED OFFICE RENT	28,138	28,138	28,138	28,138
7255 B & G LEASE ASSESSMENT	227	227	227	227
7285 POSTAGE - STATE MAILROOM	594	545	594	594
7286 MAIL STOP-STATE MAILROM	4,978	4,978	4,978	4,978
7290 PHONE, FAX, COMMUNICATION LINE	1,956	1,826	1,956	1,956
7291 CELL PHONE/PAGER CHARGES	3,148	3,339	3,148	3,148

	2019-2020 ACTUAL	2020-2021 WORK PROGRAM	2021-2022 GOVERNOR RECOMMENDS	2022-2023 GOVERNOR RECOMMENDS
7294 CONFERENCE CALL CHARGES	443	239	443	443
7296 EITS LONG DISTANCE CHARGES	237	153	237	237
7370 PUBLICATIONS AND PERIODICALS	391	250	391	391
7430 PROFESSIONAL SERVICES	0	50	0	0
7460 EQUIPMENT PURCHASES < \$1,000	0	723	0	0
7637 NOTARY FEE APPLY OR RENEW	0	30	0	0
7980 OPERATING LEASE PAYMENTS	2,030	2,031	2,030	2,030
TOTAL FOR CATEGORY 04:	48,501	43,666	48,496	48,496
CATEGORY 11 COURT REPORTING SERVICES:				
7060 CONTRACTS	10,128	11,742	10,128	10,128
7750 NON EMPLOYEE IN-STATE TRAVEL	0	-6,111	0	0
TOTAL FOR CATEGORY 11:	10,128	5,631	10,128	10,128
CATEGORY 15 INVESTIGATIONS/PARALEGAL COSTS:				
7060 CONTRACTS	3,815	3,824	3,815	3,815
TOTAL FOR CATEGORY 15:	3,815	3,824	3,815	3,815
CATEGORY 26 INFORMATION SERVICES:				
7000 OPERATING	0	-119	0	0
7020 OPERATING SUPPLIES	48	95	48	48
7026 OPERATING SUPPLIES-F	0	71	0	0
7065 CONTRACTS - E	7,200	7,350	7,200	7,200
7073 SOFTWARE LICENSE/MNT CONTRACTS	150	0	150	150
7290 PHONE, FAX, COMMUNICATION LINE	4,404	5,472	4,404	4,404
7531 EITS DISK STORAGE	401	181	401	401
7532 EITS SHARED WEB SERVER HOSTING	1,383	1,328	1,383	1,383
7542 EITS SILVERNET ACCESS	3,192	3,192	3,192	3,192
7547 EITS BUSINESS PRODUCTIVITY SUITE	7,183	6,982	7,183	7,183
7548 EITS SERVER HOSTING - VIRTUAL	724	966	724	724
7554 EITS INFRASTRUCTURE ASSESSMENT	1,663	1,659	1,660	1,660
7556 EITS SECURITY ASSESSMENT	697	695	695	695
8371 COMPUTER HARDWARE <\$5,000 - A	9,138	2,125	9,138	9,138
TOTAL FOR CATEGORY 26:	36,183	29,997	36,178	36,178

	2019-2020 ACTUAL	2020-2021 WORK PROGRAM	2021-2022 GOVERNOR RECOMMENDS	2022-2023 GOVERNOR <u>RECOMMENDS</u>
CATEGORY 30 TRAINING:				
6100 PER DIEM OUT-OF-STATE	2,213	2,892	2,213	2,213
6130 PUBLIC TRANS OUT-OF-STATE	231	181	231	231
6150 COMM AIR TRANS OUT-OF-STATE	1,131	1,201	1,131	1,131
6240 PERSONAL VEHICLE IN-STATE	0	97	0	0
7000 OPERATING	0	-3,025	0	0
7300 DUES AND REGISTRATIONS	0	474	0	0
7301 MEMBERSHIP DUES	445	445	445	445
7302 REGISTRATION FEES	1,200	1,525	1,200	1,200
7306 DUES & REG - EMPLOYEE REIMBURSEMENT	646	0	646	646
TOTAL FOR CATEGORY 30:	5,866	3,790	5,866	5,866
CATEGORY 82 DEPT COST ALLOCATION:				
7389 16-17 CENTRALIZED PERSONNEL SERVICES COST ALLOC	1,941	2,701	1,941	1,941
7439 DEPT OF ADMIN - ADMIN SER DIV	31,747	35,432	31,747	31,747
TOTAL FOR CATEGORY 82:	33,688	38,133	33,688	33,688
CATEGORY 86 RESERVE:				
9178 RESERVE - BAL FWD TO SUBSEQUENT FY	0	56,412	56,412	56,412
TOTAL FOR CATEGORY 86:	0	56,412	56,412	56,412
CATEGORY 87 PURCHASING ASSESSMENT:				
7393 PURCHASING ASSESSMENT	321	468	321	321
TOTAL FOR CATEGORY 87:	321	468	321	321
CATEGORY 88 STATEWIDE COST ALLOCATION PLAN:				
7384 STATEWIDE COST ALLOCATION	9,962	9,584	9,962	9,962
TOTAL FOR CATEGORY 88:	9,962	9,584	9,962	9,962
CATEGORY 93 RESERVE FOR REVERSION TO GENERAL FUND:				
9169 TRANSFER OF GENERAL FD APPROPS	5,208	0	5,208	5,208

	2019-2020 ACTUAL	2020-2021 WORK PROGRAM	2021-2022 GOVERNOR RECOMMENDS	2022-2023 GOVERNOR RECOMMENDS
TOTAL FOR CATEGORY 93:	5,208	0	5,208	5,208
TOTAL EXPENDITURES: TOTAL POSITIONS:	864,155 6.00	906,507 6.00	,	·) -

MAINTENANCE

M100 STATEWIDE INFLATION

This request funds rate changes for internal service funds such as the Attorney General, Fleet Services, information technology services, state-owned building rent, vehicle insurance, personnel assessments, and property and contents insurance.

	2019-2020 ACTUAL	2020-2021 WORK PROGRAM	2021-2022 GOVERNOR RECOMMENDS	2022-2023 GOVERNOR RECOMMENDS
RESOURCES:				
2501 APPROPRIATION CONTROL	0	0		-3,177
4103 COUNTY REIMBURSEMENTS	0	0	358	-8,279
TOTAL RESOURCES:	0	0	1,026	-11,456
EXPENDITURES:				
CATEGORY 01 PERSONNEL:				
5400 PERSONNEL ASSESSMENT	0	0	26	-42
5700 PAYROLL ASSESSMENT	0	0	15	-20
TOTAL FOR CATEGORY 01:	0	0	41	-62
CATEGORY 04 OPERATING EXPENSES:				
7050 EMPLOYEE BOND INSURANCE	0	0	-1	-1
7054 AG TORT CLAIM ASSESSMENT	0	0	0	-1
TOTAL FOR CATEGORY 04:	0	0	-1	-2
CATEGORY 26 INFORMATION SERVICES:				
7531 EITS DISK STORAGE	0	0	-354	-354
7532 EITS SHARED WEB SERVER HOSTING	0	0	-678	-678
7542 EITS SILVERNET ACCESS	0	0	-1,032	-1,032
7547 EITS BUSINESS PRODUCTIVITY SUITE	0	0	-1,256	-1,256
7548 EITS SERVER HOSTING - VIRTUAL	0	0	-114	-114
7554 EITS INFRASTRUCTURE ASSESSMENT	0	0	-01	287
7556 EITS SECURITY ASSESSMENT	0	0	70	69
TOTAL FOR CATEGORY 26:	0	0	-3,077	-3,078
CATEGORY 87 PURCHASING ASSESSMENT:				
7393 PURCHASING ASSESSMENT	0	0	-1	13
TOTAL FOR CATEGORY 87:	0	0	-1	13

	2019-2020 ACTUAL	2020-2021 WORK PROGRAM	2021-2022 GOVERNOR RECOMMENDS	2022-2023 GOVERNOR RECOMMENDS
CATEGORY 88 STATEWIDE COST ALLOCATION PLAN: 7384 STATEWIDE COST ALLOCATION	0	C	9 4,064	-8,327
TOTAL FOR CATEGORY 88:	0	0	4,064	-8,327
TOTAL EXPENDITURES:	0	0	1,026	-11,456

M150 ADJUSTMENTS TO BASE

This request funds adjustments to base expenditures including eliminating one-time expenditures such as equipment, and adjusts for partial year costs for the continuation of programs.

	2019-2020 ACTUAL	2020-2021 WORK PROGRAM	2021-2022 GOVERNOR RECOMMENDS	2022-2023 GOVERNOR RECOMMENDS
RESOURCES:				
2501 APPROPRIATION CONTROL	0	0	-765	-744
4103 COUNTY REIMBURSEMENTS	0	0	-1,968	-1,912
TOTAL RESOURCES:	0	0	-2,733	-2,656
EXPENDITURES:				
CATEGORY 01 PERSONNEL:				
5860 BOARD AND COMMISSION PAY	0	0	1,760	1,760
This adjustment funds additional Board and Commission Pay.				
Total Cost: 8 members x 80 /meeting x 12 meetings = $7,680$				
FY22/23 M150: \$7,680 - \$5,920 (BASE) = \$1,940				
The Commission meets to address every advisory request and complaint that is filed to issue an order				
regarding jurisdiction and investigation or to issue a decision. The Commission has statutory deadlines to issue such orders and decisions. The Commission meets informally (telephonically or via email				
deliberations; not subject to Open Meeting Law) nearly every week to address these complaint and advisory				
cases, including approval of final orders and all written opinions from prior meetings. The agency reserves				
the third Wednesday of every month for formal meetings to address matters that require more formal				
deliberations and for which it pays Commissioners. The Commissioners are not paid for all the other work performed on a weekly basis.				
5970 TERMINAL ANNUAL LEAVE PAY	0	0	-4,245	-4,245
This adjustment recognizes the elimination of a one-time expenditure per the budget instructions.	0	0	1,213	1,213
TOTAL FOR CATEGORY 01:	0	0	-2,485	-2,485
CATEGORY 03 IN-STATE TRAVEL:				
6200 PER DIEM IN-STATE	0	0	10,756	10,756
TOTAL FOR CATEGORY 03:	0	0	10,756	10,756

	2019-2020 ACTUAL	2020-2021 WORK PROGRAM	2021-2022 GOVERNOR RECOMMENDS	2022-2023 GOVERNOR RECOMMENDS
CATEGORY 04 OPERATING EXPENSES:				
7020 OPERATING SUPPLIES The methodology as established is an average of three fiscal years to determine the projected requirements for the next biennium.	0	0	1,001	1,001
State Fiscal Year 2018: \$2,015				
State Fiscal Year 2019: \$2,364				
State Fiscal Year 2020: \$688				
Total \$5,067/3 = \$1,689				
7051 AGENCY OWNED - PROP. & CONT. INSURANCE Adjustment to property and contents insurance - see Agency-Owned Property and Contents Schedule.	0	0	-77	-77
705A NON B&G - PROP. & CONT. INSURANCE Adjustment to property and contents insurance - see Building Rent Non-Buildings and Grounds Schedule. This request is now funded under expenditure general ledger 7051.	0	0	26	26
7100 STATE OWNED BLDG RENT-B&G Adjustment to rent - see Buildings and Grounds-Owned Building Rent Schedule.	0	0	-3,843	-3,843
7110 NON-STATE OWNED OFFICE RENT	0	0	1,797	2,269
Adjustment to rent - see Building Rent Non-Buildings and Grounds Schedule.				
7286 MAIL STOP-STATE MAILROM	0	0	-2,521	-2,521
7370 PUBLICATIONS AND PERIODICALS	0	0	492	97
This adjustment recognizes the difference between the actual expenditures for fiscal year 2020 and the anticipated expenditures for the 2021-2023 biennium. See the Vendor Services Schedule				
TOTAL FOR CATEGORY 04:	0	0	-3,125	-3,048
CATEGORY 11 COURT REPORTING SERVICES:				
7060 CONTRACTS	0	0	1,614	1,614
This adjustment recognizes the difference between the actual expenditures for fiscal year 2020 and the anticipated expenditures for the 2021-2023 biennium. See the Vendor Services Schedule				
TOTAL FOR CATEGORY 11:	0	0	1,614	1,614
CATEGORY 15 INVESTIGATIONS/PARALEGAL COSTS:				
7060 CONTRACTS	0	0	-887	-887
This adjustment recognizes the difference between the actual expenditures for fiscal year 2020 and the anticipated expenditures for the 2021-2023 biennium. See the Vendor Services Schedule				
TOTAL FOR CATEGORY 15:	0	0	-887	-887

	2019-2020 ACTUAL	2020-2021 WORK PROGRAM	2021-2022 GOVERNOR RECOMMENDS	2022-2023 GOVERNOR RECOMMENDS
CATEGORY 26 INFORMATION SERVICES:				
7531 EITS DISK STORAGE Adjustment to an Enterprise Information Technology (EITS) service - see EITS Schedule.	0	0	180	180
7547 EITS BUSINESS PRODUCTIVITY SUITE Adjustment to an Enterprise Information Technology (EITS) service - see EITS Schedule.	0	0	-167	-167
7548 EITS SERVER HOSTING - VIRTUAL Adjustment to an Enterprise Information Technology (EITS) service - see EITS Schedule.	0	0	242	242
8371 COMPUTER HARDWARE <\$5,000 - A Adjustment to equipment purchases - see Equipment Schedule.	0	0	-9,138	-9,138
TOTAL FOR CATEGORY 26:	0	0	-8,883	-8,883
CATEGORY 30 TRAINING:				
7302 REGISTRATION FEES This adjustment recognizes the difference between the actual expenditures for fiscal year 2020 and the anticipated expenditures for the 2021-2023 biennium. See the Vendor Services Schedule	0	0	0 150	150
TOTAL FOR CATEGORY 30:	0	0	150	150
CATEGORY 82 DEPT COST ALLOCATION:				
7389 16-17 CENTRALIZED PERSONNEL SERVICES COST ALLOC Adjustment to cost allocation - see Administration - Administrative Services Division Cost Allocation Schedule.	0	0	303	303
7439 DEPT OF ADMIN - ADMIN SER DIV Adjustment to cost allocation - see Administration - Administrative Services Division Cost Allocation Schedule.	0	0	-3,143	-3,143
7506 EITS PC/LAN SUPPORT Adjustments to the EITS - IT Support Services Cost Allocation.	0	0	4,543	4,543
7507 EITS AGENCY IT SUPPORT	0	0	3,632	3,632
TOTAL FOR CATEGORY 82:	0	0	5,335	5,335
CATEGORY 93 RESERVE FOR REVERSION TO GENERAL FUND:				
9169 TRANSFER OF GENERAL FD APPROPS This adjustment recognizes the elimination of one-time expenditures per the budget instructions.	0	0	-5,208	-5,208
TOTAL FOR CATEGORY 93:	0	0	-5,208	-5,208
TOTAL EXPENDITURES:	0	0	-2,733	-2,656

M300 FRINGE BENEFITS RATE ADJUSTMENT

This request funds changes to fringe benefits rates.

	2019-2020 ACTUAL	2020-2021 WORK PROGRAM	2021-2022 GOVERNOR RECOMMENDS	2022-2023 GOVERNOR RECOMMENDS
RESOURCES: 2501 APPROPRIATION CONTROL	0		0 -1,687	-1,135
4103 COUNTY REIMBURSEMENTS	0		0 -4,339	-2,920
TOTAL RESOURCES:	0		0 -6,026	-4,055
EXPENDITURES:				
CATEGORY 01 PERSONNEL:				
5200 WORKERS COMPENSATION	0		0 -712	-756
5300 RETIREMENT	0		0 1,716	1,716
5500 GROUP INSURANCE	0		0 -4,056	-2,040
5750 RETIRED EMPLOYEES GROUP INSURANCE	0		0 -2,946	-2,893
5800 UNEMPLOYMENT COMPENSATION	0		0 -28	-82
TOTAL FOR CATEGORY 01:	0		0 -6,026	-4,055
TOTAL EXPENDITURES:	0		0 -6,026	-4,055

ENHANCEMENT

E710 EQUIPMENT REPLACEMENT

This request funds replacement computer hardware and associated software per with the Enterprise Information Technology Services' recommended replacement schedule.

	2019-2020 ACTUAL	2020-2021 WORK PROGRAM	2021-2022 GOVERNOR RECOMMENDS	2022-2023 GOVERNOR RECOMMENDS
RESOURCES:				
2501 APPROPRIATION CONTROL	0	0	2,050	0
4103 COUNTY REIMBURSEMENTS	0	0	5,270	0
TOTAL RESOURCES:	0	0	7,320	0
EXPENDITURES:				
CATEGORY 26 INFORMATION SERVICES:				
8371 COMPUTER HARDWARE <\$5,000 - A	0	0	7,320	0
TOTAL FOR CATEGORY 26:	0	0	7,320	0
TOTAL EXPENDITURES:	0	0	7,320	0

SUMMARY

	2019-2020 ACTUAL	2020-2021 WORK PROGRAM	2021-2022 GOVERNOR RECOMMENDS	2022-2023 GOVERNOR RECOMMENDS
RESOURCES:				
2501 APPROPRIATION CONTROL	245,698	243,891	249,835	244,513
2510 REVERSIONS	-4,092	0	0	0
2511 BALANCE FORWARD FROM PREVIOUS YEAR	34,685	23,159	56,412	56,412
2512 BALANCE FORWARD TO NEW YEAR	-23,158	0	0	0
4103 COUNTY REIMBURSEMENTS	610,378	639,457	641,068	628,636
4335 REIMBURSEMENT OF EXPENSES	644	0	0	0
TOTAL RESOURCES:	864,155	906,507	947,315	929,561
EXPENDITURES:				
CATEGORY 01 PERSONNEL:				
5100 SALARIES	511,707	497,197	525,849	525,849
5200 WORKERS COMPENSATION	6,047	5,132	4,430	4,386
5300 RETIREMENT	102,899	110,372	104,342	104,342
5400 PERSONNEL ASSESSMENT	1,326	1,344	1,371	1,303
5500 GROUP INSURANCE	44,887	56,400	52,344	54,360
5700 PAYROLL ASSESSMENT	535	530	545	510
5750 RETIRED EMPLOYEES GROUP INSURANCE	11,974	13,575	11,410	11,463
5800 UNEMPLOYMENT COMPENSATION	780	770	762	708
5840 MEDICARE	7,337	7,210	7,625	7,625
5860 BOARD AND COMMISSION PAY	5,920	7,680	7,680	7,680
5970 TERMINAL ANNUAL LEAVE PAY	4,245	0	0	0
TOTAL FOR CATEGORY 01:	697,657	700,210	716,358	718,226
CATEGORY 03 IN-STATE TRAVEL:				
6200 PER DIEM IN-STATE	4,415	-630	15,171	15,171
6210 FS DAILY RENTAL IN-STATE	529	377	529	529
6215 NON-FS VEHICLE RENTAL IN-STATE	245	947	245	245
6220 AUTO MISC - IN-STATE	0	24	0	0
6230 PUBLIC TRANSPORTATION IN-STATE	77	33	77	77
6240 PERSONAL VEHICLE IN-STATE	541	960	541	541
6250 COMM AIR TRANS IN-STATE	7,019	13,081	7,019	7,019
TOTAL FOR CATEGORY 03:	12,826	14,792	23,582	23,582

	2019-2020 ACTUAL	2020-2021 WORK PROGRAM	2021-2022 GOVERNOR RECOMMENDS	2022-2023 GOVERNOR RECOMMENDS
CATEGORY 04 OPERATING EXPENSES:				
7000 OPERATING	0	-7,023	0	0
7020 OPERATING SUPPLIES	688	2,015	1,689	1,689
7026 OPERATING SUPPLIES-F	138	382	138	138
7030 FREIGHT CHARGES	305	102	305	305
7044 PRINTING AND COPYING - C	582	515	582	582
7045 STATE PRINTING CHARGES	30	0	30	30
7050 EMPLOYEE BOND INSURANCE	22	18	17	17
7051 AGENCY OWNED - PROP. & CONT. INSURANCE	77	0	0	0
7054 AG TORT CLAIM ASSESSMENT	514	512	513	512
705A NON B&G - PROP. & CONT. INSURANCE	0	24	26	26
705B B&G - PROP. & CONT. INSURANCE	0	52	0	0
7060 CONTRACTS	160	0	160	160
7100 STATE OWNED BLDG RENT-B&G	3,843	3,940	0	0
7103 STATE OWNED MEETING ROOM RENT	0	600	0	0
7110 NON-STATE OWNED OFFICE RENT	28,138	28,138	29,935	30,407
7255 B & G LEASE ASSESSMENT	227	227	227	227
7285 POSTAGE - STATE MAILROOM	594	545	594	594
7286 MAIL STOP-STATE MAILROM	4,978	4,978	2,457	2,457
7290 PHONE, FAX, COMMUNICATION LINE	1,956	1,826	1,956	1,956
7291 CELL PHONE/PAGER CHARGES	3,148	3,339	3,148	3,148
7294 CONFERENCE CALL CHARGES	443	239	443	443
7296 EITS LONG DISTANCE CHARGES	237	153	237	237
7370 PUBLICATIONS AND PERIODICALS	391	250	883	488
7430 PROFESSIONAL SERVICES	0	50	0	0
7460 EQUIPMENT PURCHASES < \$1,000	0	723	0	0
7637 NOTARY FEE APPLY OR RENEW	0	30	0	0
7980 OPERATING LEASE PAYMENTS	2,030	2,031	2,030	2,030
TOTAL FOR CATEGORY 04:	48,501	43,666	45,370	45,446
CATEGORY 11 COURT REPORTING SERVICES:				
7060 CONTRACTS	10,128	11,742	11,742	11,742
7750 NON EMPLOYEE IN-STATE TRAVEL	0	-6,111	0	0
TOTAL FOR CATEGORY 11:	10,128	5,631	11,742	11,742

	2019-2020 ACTUAL	2020-2021 WORK PROGRAM	2021-2022 GOVERNOR RECOMMENDS	2022-2023 GOVERNOR RECOMMENDS
CATEGORY 15 INVESTIGATIONS/PARALEGAL COSTS:				
7060 CONTRACTS	3,815	3,824	2,928	2,928
TOTAL FOR CATEGORY 15:	3,815	3,824	2,928	2,928
CATEGORY 26 INFORMATION SERVICES:				
7000 OPERATING	0	-119	0	0
7020 OPERATING SUPPLIES	48	95	48	48
7026 OPERATING SUPPLIES-F	0	71	0	0
7065 CONTRACTS - E	7,200	7,350	7,200	7,200
7073 SOFTWARE LICENSE/MNT CONTRACTS	150	0	150	150
7290 PHONE, FAX, COMMUNICATION LINE	4,404	5,472	4,404	4,404
7531 EITS DISK STORAGE	401	181	227	227
7532 EITS SHARED WEB SERVER HOSTING	1,383	1,328	705	705
7542 EITS SILVERNET ACCESS	3,192	3,192	2,160	2,160
7547 EITS BUSINESS PRODUCTIVITY SUITE	7,183	6,982	5,760	5,760
7548 EITS SERVER HOSTING - VIRTUAL	724	966	852	852
7554 EITS INFRASTRUCTURE ASSESSMENT	1,663	1,659	1,947	1,947
7556 EITS SECURITY ASSESSMENT	697	695	765	764
8371 COMPUTER HARDWARE <\$5,000 - A	9,138	2,125	7,320	0
TOTAL FOR CATEGORY 26:	36,183	29,997	31,538	24,217
CATEGORY 30 TRAINING:				
6100 PER DIEM OUT-OF-STATE	2,213	2,892	2,213	2,213
6130 PUBLIC TRANS OUT-OF-STATE	231	181	231	231
6150 COMM AIR TRANS OUT-OF-STATE	1,131	1,201	1,131	1,131
6240 PERSONAL VEHICLE IN-STATE	0	97	0	0
7000 OPERATING	0	-3,025	0	0
7300 DUES AND REGISTRATIONS	0	474	0	0
7301 MEMBERSHIP DUES	445	445	445	445
7302 REGISTRATION FEES	1,200	1,525	1,350	1,350
7306 DUES & REG - EMPLOYEE REIMBURSEMENT	646	0	646	646
TOTAL FOR CATEGORY 30:	5,866	3,790	6,016	6,016

	2019-2020 ACTUAL	2020-2021 WORK PROGRAM	2021-2022 GOVERNOR RECOMMENDS	2022-2023 GOVERNOR RECOMMENDS
CATEGORY 82 DEPT COST ALLOCATION: 7389 16-17 CENTRALIZED PERSONNEL SERVICES COST ALLOC	1.041	2 701	2 244	2,244
7389 IO-17 CENTRALIZED PERSONNEL SERVICES COST ALLOC 7439 DEPT OF ADMIN - ADMIN SER DIV	1,941 31,747	2,701 35,432	2,244 28,604	2,244 28,604
7506 EITS PC/LAN SUPPORT	0	0	· · · · ·	4,543
7507 EITS AGENCY IT SUPPORT	0	0	3,632	3,632
TOTAL FOR CATEGORY 82:	33,688	38,133	39,023	39,023
CATEGORY 86 RESERVE:				
9178 RESERVE - BAL FWD TO SUBSEQUENT FY	0	56,412	56,412	56,412
TOTAL FOR CATEGORY 86:	0	56,412	56,412	56,412
CATEGORY 87 PURCHASING ASSESSMENT:				
7393 PURCHASING ASSESSMENT	321	468	320	334
TOTAL FOR CATEGORY 87:	321	468	320	334
CATEGORY 88 STATEWIDE COST ALLOCATION PLAN:				
7384 STATEWIDE COST ALLOCATION	9,962	9,584	14,026	1,635
TOTAL FOR CATEGORY 88:	9,962	9,584	14,026	1,635
CATEGORY 93 RESERVE FOR REVERSION TO GENERAL FUND:				
9169 TRANSFER OF GENERAL FD APPROPS	5,208	0	0	0
TOTAL FOR CATEGORY 93:	5,208	0	0	0
TOTAL EXPENDITURES:	864,155	906,507	947,315	929,561
PERCENT CHANGE:		4.90%	4.50%	-1.87%
TOTAL POSITIONS:	6.00	6.00	6.00	6.00

Agenda Item 6



State of Nevada COMMISSION ON ETHICS

MEMORANDUM

DATE:	May 12, 2021
TO:	Nevada Commission on Ethics
FROM: RE:	Tracy L. Chase, Commission Counsel Public Meeting for May 19, 2021, Item 6 - 2021 Legislative Session Update

The Nevada Commission on Ethics has been proactively presenting and monitoring legislation affecting the Nevada Ethics in Government Law set forth in NRS Chapter 281A ("Ethics Law") or agency operations. This memorandum provides a status update on pending legislation relating thereto. Many of the bills that were monitored failed to proceed past established deadlines, and this memorandum highlights only those monitored bills that are still active with the possibility to revise the Ethics Law or affect agency operations.

Assembly Bill 65 – Revises provisions relating to ethics in government

AB 65 revises certain provisions of Nevada's Ethics in Government Law and was drafted under the stewardship of the Commission and its Bill Draft Request Subcommittee in consultation with stakeholders including state and local agencies. See attached text of AB 65 as introduced and the Quick Reference Guide.

The Commission presented AB 65 to the Assembly Committee on Legislative Operations and Elections on April 4, 2021 Outreach was completed to provide legislators, state agencies and local agencies with information on the bill and reasons why the agency pursued the legislation. After meetings with various members of the Assembly Committee on Legislative Operations and Elections, the Quick Reference Guide was provided on March 4, 2021 to assist in its considerations. Bill status updates are regularly requested, and the Commission has been advised that amendments are being prepared to revise the proposed legislation.

The bill has received a waiver from all deadlines and is pending in the Assembly Committee on Legislative Operations and Elections. **Assembly Bill 2** - Revises provisions relating to appointments to public bodies.

AB 2 relates to the authority of the Governor to make appointments to boards, and commissions or similar bodies. In particular, the bill revises the prohibition against gubernatorial appointees serving simultaneously on multiple boards.

NRS 281A.200 precludes members of the Commission from holding any other public office. The bill is monitored to determine whether, through amendment, there would be any effect on the Ethics Law or agency operations.

<u>Assembly Bill 43</u> – Requests that the Nevada Supreme Court study certain issues relating to the Commission on Judicial Discipline.

AB 43 requests the Nevada Supreme Court study and make recommendations concerning the procedural and substantive statutes and rules of the Commission on Judicial Discipline relating to its work associated with public censure, removal and other matters relating to the discipline of judges. Since some of the processes of the Nevada Commission on Ethics have certain similarities to Judicial Discipline, the bill is monitored to determine whether the study will be requested and if the study's recommendations could affect agency operations.

Assembly Bill 253 – Revises provisions relating to governmental administration.

AB 253 revises certain provisions of Nevada's Open Meeting Law relating to subcommittee or working groups, use of remote technology systems for public meetings and revising the notice requirements for a meeting of a public body.

The bill is being monitored to determine if some of the pandemic protocols associated with public meetings, as authorized by Governor directives, will become law establishing the parameters of virtual public meetings. AB 253 has passed out of the Assembly Government Affairs Committee and is referred to the Senate Government Affairs Committee.

<u>Assembly Bill 385</u> - Revises provisions relating to compensation received by public officers and employees

AB 385 prohibits a public body from entering into an employment contract that entitles an officer or employee of the public body to receive fringe benefits, bonuses (unless authorized by policy, based on merit, and awarded at a public meeting). and certain wages or other payments upon the termination of the employment.

The bill does not currently affect agency operations; however, it is monitored to determine whether through amendment there will be an effect on agency operations.

Senate Bill 77 - Revises provisions relating to public bodies.

SB 77 revises Nevada's Open Meeting Law to exempt deliberative discussions relating to the federal National Environmental Policy Act of 1969 (42 U.S.C. §§ 4321 et seq.), such as environmental impact statements. The bill does not currently affect agency operations; however, it is monitored to determine whether through amendment there will be an effect on agency operations.

Senate Bill 222 - Revises provisions relating to governmental administration.

SB 222 requires a state agency to collaborate with minority groups (with certain exceptions) and to designate a diversity and inclusion liaison, for purposes of making a reasonable effort to collaborate with minority groups and communicate effectively by making information about programs and services available in multiple languages whenever possible and to the extent practicable.

The bill is monitored to determine whether there will be compliance obligations associated with the Commission's programs and services. The bill has passed out of the Senate Government Affairs Committee and is referred to the Assembly Committee on Government Affairs.

Senate Bill 286 - Revises provisions relating to public employees.

SB 386 revises the definitions of "supervisory employee" and "employee" for purpose of collective bargaining for local government and state employees. Currently, managerial employees and confidential employees are not afforded rights of collective bargaining. The bill is being monitored to determine whether it will revise the status of agency employees for purposes of collective bargaining.

The bill has passed out of the Senate Government Affairs Committee and is referred to the Committee on Finance.

Senate Bill 302 - Revises provisions relating to governmental administration.

SB 302 revises provisions relating to the Office pertains to the Office of Minority Health and Equity of the Department of Health and Human Services. It is being monitored so the Commission may comply with its provisions related to training, if passed. Among other matters, the bill declares the policy of this State concerning employee diversity for state employers; requiring public employers to provide racial equity training to the extent of money available and requiring public officers and employees to complete the offered training.

The bill has an exemption and has passed out of the State Government Affairs Committee and is referred to the Committee on Finance. **Senate Bill 360** - Revises provisions relating to public employment.

SB 360 pertains to the qualifications and terms of the members of the Public Employees' Benefits Program. It is being monitored to determine whether through an amendment the benefits afforded public employees are revised. The bill does not currently address public employee benefits and it proceeded out of the State Government Affairs Committee to the Assembly Government Affairs Committee.

Senate Bill 402 – Revises provisions related to regulatory bodies.

SB 402 is lengthy and most of its provisions amend NRS Chapters 622 through 656 applicable to licensing boards. However, sections 242 to 244 amend certain processes applicable to all board's and commission's adoption of permanent regulations, as set forth in NRS Chapter 233B (Nevada Administrative Procedure Act). The bill has been provided a deadline exemption and has passed out of the Assembly Committee on Commerce and Labor to the Committee on Finance.

Attachments: AB 65, as introduced, and Quick Reference Guide

State of Nevada Commission on Ethics 704 W. Nye Lane, Suite 204



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Assembly Bill 65 - Nevada Ethics Commission Quick Reference Guide

In 2017, significant changes were made to NRS Chapter 281A, the Nevada Ethics in Government Law ("Ethics Law"). As the Nevada Ethics Commission applied this law, it saw the need to implement some further changes to enable it to fulfill the intent of the 2017 statute in a more streamlined and efficient manner. In FY20, the Commission formed a Bill Draft Request ("BDR") Subcommittee, consisting of Vice-Chair Wallin and Commissioners Duffrin and Gruenewald to formulate the needed changes. After numerous public meetings by the Commission and the Subcommittee, and consultations with stakeholders, the recommendations of the Subcommittee were approved by the Commission. These will be presented, with the Governor's sponsorship, in the 81st Session of the Nevada Legislature as Assembly Bill 65 ("AB 65"). Some major issues that AB65 will address are:

- A large backlog of cases due to unnecessarily cumbersome procedures.
- Protection of complainants' identities.
- Providing a uniform procedure for notifying subjects of complaints while protecting the identity of the requestor.
- Limited time and personnel.
- Lack of clarity about the rights and obligations of the Commission, witnesses, complainants and others.

Passage of AB65 will assist the Commission to carry out its duties under a more easily understood protocol, act in a more-timely manner, protect the rights of all parties and generally apply its limited resources in a more efficient and less costly manner. The following provides a quick-reference guide to AB 65 by section, detailing the main intent on the proposed amendments:

	AB 65: SECTION AND PURPOSE		
1-6	Definitional clean-up.		
7	Clarifies the application of the Ethics Law, consistent with NRS 281A.410 and NRS 281A.550, to former public officers and employees after the end of public service if they violate the cooling-off provisions of the Ethics Law. Paragraph 2 confirms the Commission has the ability to consider whether more than one violation of the Ethics Law applies to a given set of facts.		
8	Seeks reasonable assistance and cooperation of public officers and employees in the Commission's proceedings. Often public officers/employees are reluctant to communicate with investigatory staff out of fear that their public employment		

would be affected. This inhibits the ability to conduct appropriate and thorough investigations. Without cooperation, subpoenas must be issued, which is resource and time consuming and has associated costs. This section operates to protect public officers/employees who are witnesses and reduces investigatory costs, while maintaining all the associated legal defenses and rights to be represented, and reduces the need to issue subpoenas, which are resource and time consuming.

The Commission has coordinated with local agencies and is requesting this section be amended to exclude the Subject's attorney from the duty to cooperate since they represent them in the matter before the Commission. Other NRS provisions have similar duties to cooperate in investigations. Cf., NRS 218G.550 (Legislative Audits - agency duty to cooperate), NRS 634.214 (Chiropractic physicians and Assistants - duty to cooperate with licensing board law enforcement investigations), NRS 679.660 (Commissioner of Insurance – duty to cooperate with AG, investigative and law enforcement agencies).

9 Creates consistency between the Ethics Law and Nevada's Open Meeting Law and confirms the Commission may provide litigation direction on judicial review related to confidential advisory opinions. This serves to preserve the confidentiality protections afforded to Requesters of advisory opinions in NRS Ch. 281A when the Requester seeks judicial review. Otherwise, Requesters may be disincentivized from seeking judicial review on an otherwise confidential matter.

10 Revises NRS 281A.400 to include an additional code of conduct for a gross or unconscionable abuse of power. By way of background, the Commission has received a number of cases over the years that have been dismissed based upon lack of jurisdiction because the allegations at issue do not relate to the Subject's pecuniary interest or commitment in a private capacity, as those terms are now defined. For example, if a public officer/employee has an animosity against a person and they inappropriately use their public position to cause harm to matters the person has before the public agency, the case could be considered by the Commission to determine if the circumstances constitute a gross or unconscionable abuse of power.

- 11 Institutes a requirement that is consistent with and already imposed by the Secretary of State (NRS 281.574) for those governmental entities who have public officers within the jurisdiction of the Ethics Law to provide a list of appointed and elected public officers. Currently, there is no mechanism for the Commission to check and secure compliance with NRS 281A.500 except for processing of a formal ethics complaint. The list will assist in securing compliance through outreach and education, without proceeding with a formal complaint.
- 12 Allows the Commission to provide information obtained during an Ethics investigation to state or federal law enforcement agencies. Given current provisions, the Commission declines these requests because such information is protected as confidential. Often Commission resources must then defend the confidentiality protections in subpoena requests from law enforcement agencies in court proceedings.
 13-19 Clean-up of definitions and language.
- 20 Clarifies the Vice-Chair may perform the duties of the Chair in the Chair's absence.

21	Establishes a mediation and settlement process with consent of the parties for
	complaint cases referred for adjudication. This section also permits panel
	members to conduct confidential mediations and settlement negotiations
	including authorizing and approving deferral agreements. The mediation and
	settlement process assists in early resolution of cases and results in savings of
	administrative resources and reduces litigation costs for a subject of an ethics
	complaint.
22	To be deleted. The Commission requests that the requirement that the Executive
	Director be a Nevada licensed attorney be deleted by amendment.
23-24	Section 23 has miscellaneous language clean-up and section 24 confirms the
	boundaries of the legal representation of Commission Counsel to assure there is
	no impingement on the due process rights of the parties in complaint proceedings.
25	Establishes that the 2-year statute of limitations applies to advisory opinions on
	past conduct.
26	Permits a certified court reporter or other authorized person to administer oaths
	in Commission meetings and proceedings.
27	Clarifies that subpoena's would apply to personnel records of public
	officers/employees relevant to the consideration of the ethics complaints. Current
	law provides the Commission the ability to issue subpoenas during the course of
	an investigation or to compel the attendance of witnesses and production of
	documents for any hearing before the Commission. With the exception of records
	relating to criminal proceedings.
	If the agency records are nereconnel records, the Commission would maintain the
	If the agency records are personnel records, the Commission would maintain the
	confidentiality associated with those records in its proceedings and would expand
	its regulations to address motions to address evidentiary objections, stipulations
	and sealing of otherwise confidential records. Nevada Courts have similar
	provisions to consider confidential records in proceedings at which the record relates to the case or matter under consideration. Similar rules are utilized by the
	courts. See, Nevada Rules Governing Sealing and Redacting Court Records.
28	Permits the Commission to accept requests for advice as a referral on behalf of
20	the public officer or employee whose conduct is at issue. It is a streamlining
	measure intended to assist local ethics committees and public officers/employees
	with navigation of the requirements to obtain preventative advice on the
	application of the Ethics Law. Currently, the Commission must dismiss these
	requests for lack of jurisdiction because the local committee is not a public
	officer/employee who may request the advisory opinion under the Ethics Law.
29	Clarifies the policy provision of the "limited use exception" and permits otherwise
	improper use of government property and resources if the use is permitted by way
	of an existing written policy and the limited use does not create an appearance of
	impropriety. The Commission has considered ethics cases in which the public
	officer or employee had authority to create a policy, and did so post conduct, to
	excuse their own improper use of government resources and facilities, which was
	not distributed in writing to agency personnel. This section also includes a
	definition for "appearance of impropriety" consistent with established case law.
30	Miscellaneous language clean-up.
31	Clarifies that NRS 281A.420 does not require the disclosure of otherwise
	confidential information if a disclosure is made on non-confidential information
	and there is a recusal. Separately, this section establishes the same abstention

32	process for disclosures of paid representation as is required of all other disclosures. As an example of the lack of application of the abstention requirements in current law is that it would allow the public officer, who is a lawyer and has represented a person before public agencies on the matter before them, to disclose and vote on the matter before the public agency. Replaces the term "willful" with "refuses" with respect to a violation for failure to file an acknowledgment form and removes the failure to file the form as an event of nonfeasance of office.
	Many public officers do not know they are required to file the acknowledgment form. To educate public officers, the Commission believes it in the best interest of the public to first promote acknowledgment filing compliance before proceeding with a complaint case.
33	Consistent with feedback from legislators and locals on SB 129 (2019), the Commission seeks to limit cooling-off prohibitions to certain management-level employees, while the one-year prohibitions against private employment with agency contract vendors will include employees who have material influence in management or administration of those contracts in addition to public officers/employees whose position could influence the award of contracts.
	The bill will assist requesters in presenting good cause factors for the Commission's consideration on whether relief should be granted if they are able to contact the potential future employer, which contact is not currently allowed by the statute.
34	Miscellaneous language clean-up.
35	Clarifies that the Commission may gather additional information needed from the Requester to process the advisory opinion to create a more streamlined and collaborative process and tolls the 45-day deadline for the period of time until the additional information is provided by the Requester. The amendment will assist requesters in processing their advisory opinion.
36	1) Provides statutory discretion for the Commission to grant appropriate extensions of statutory deadlines for good cause to issue advisory opinions. Any extensions would have a designated deadline. Good cause is a significant threshold and is variable based upon the circumstances. Examples are State closures, emergency situations, Covid-19 pandemic, family medical leave or vacancies in positions.
	2) Permits the Commission to stay advisory opinion proceedings when ethics complaints are filed on the same or similar matter, which is appropriate because the Commission should not render advice on the conduct before a pending ethics complaint process has been completed.
	3) Establishes a process for informal advice rendered by the Executive Director or Commission Counsel based upon and consistent with established precedent and provides the Requester the ability to obtain review by the Commission, which will streamline and make the Commission's services more efficient to meet the needs of public employees/officers.

	4) Clarifies judicial review is not afforded on guidance applicable to past conduct (consistent with an <i>En Banc</i> Order issued by the Nevada Supreme Court in interpreting application of the Ethics Law in a confidential advisory matter on past conduct).
37	Establishes a process permitting the Executive Director or Commission Counsel to render informal advice and confirms that process is afforded the same confidentiality protections as a formal request for advisory opinion.
38	Confirms that when a requester seeks a public meeting or hearing in proceedings related to requester's confidential advisory opinion, the Commission will provide one in accordance with the regulations of the Commission but the meeting or hearing is not subject to the notice requirements of the Open Meeting Law. With no requirement to serve an Open Meeting Law notice, the Commission may provide the timely rendering of advisory opinions within the 45-day statutory deadline.
39-40 41-42	Miscellaneous language clean-up. Allows the ability to conduct a preliminary investigation into jurisdictional facts to assist the Commission with ascertaining whether it has jurisdiction or if an early resolution through training is appropriate. Early case resolution will save resources and costs.
	This section also allows the Commission to consider good cause extensions and establish deadlines based upon a request from the Executive Director. For example, extensions may be needed to obtain public records, obtain subpoenaed records, and to conduct the investigation, which are case and fact dependent.
43	Authorizes the presiding officer to grant good cause extensions during the investigative phase, which occurs after the Commission accepts jurisdiction and directs an investigation into the allegations, by setting a specific and reasonable deadline, in order to process cases efficiently and to prevent dismissals caused by the delay of others or circumstances beyond the Executive Director's control. Current law only provides the subject the ability to waive time requirements and there is no mechanism for a good cause extension.
44	Provides the Review Panel the ability to grant good cause extensions of time and provides the Review Panel will have 45 days (rather than 15 days) to conclude the investigation after receipt of the Executive Director's written recommendation. The additional 30-day time period will provide members of the Review Panel time for questions, requests for additional evidence, preparation of the written determination and statutory options to issue letters of caution or process deferral agreements in resolution of the case. This change will assist the Review Panel to address complex cases and complete its statutory duty of considering whether there is sufficient cause or the case to proceed to the Commission for further proceedings.
45	As part of the notice system established by the bill, the Executive Director will serve a notice to detail the violations the Review Panel refers to the Commission for further proceedings, which serves to provide relevant details to subjects of the complaint and to protect the identity of requesters filing complaints (complainants) that are entitled to maintain their name as confidential under current law (NRS 281A.750 – whistle-blower protections). The Commission has received comments that certain subjects determined the identity of confidential complainants, entitled to protection under NRS 281A.750, on the basis of agency

	size or the complaint (even though the complaint was redacted), which result would be alleviated by institution of a notice system that adds protection of the identity of confidential requesters (complainants).
46	Provides confidentiality protection for those persons who file complaints by instituting a "notice of allegations" instead of providing a copy of the Complaint. The Commission has received cases where the identity of the requester is required to be maintained as confidential but the complaint and submitted materials has been used to ascertain the identity. Often such heavy redactions are needed to protect the identity of the person filing the complaint, that subjects complain that they do not know what is being charged. The formal notice system and the schedule of discovery for cases adjudicated before the Commission serve to address these issues.
47	Adds a schedule for discovery, which identifies parties, provides discovery, a notice of hearing and addresses other procedural matters.
48	Makes the final action of the Commission exempt from the procedural requirements of the OML, which require special notice and publication of public meeting materials, which notice is hindered given the dynamics of the confidential adjudicatory process, including confidential settlement negotiations. Current law provides the Commission with an exemption from Nevada's Open Meeting Law to receive and deliberate on evidence in complaint cases but requires notice of a public hearing to take final action. See NRS 281A.760.
	This bill would assure transparency in rendering the final decision of the Commission, including any records relied upon by the Commission that are not otherwise confidential, would be made public after the Commission renders its decision. In particular, the Open Meeting Law notice requirements of NRS 241.033 for the Commission to hold a public hearing to discuss a Subject's character and competence in regards to whether there is a violation of the Ethics Law (5 days personal service or 21 working days) is inconsistent with the short deadline of 60 days to adjudicate the case after referral by the Review Panel.
49	Includes miscellaneous clean up.
50-53	Clarifies that the requirement for the Commission to treat comparable situations in a comparable manner is qualified by practicality, which is appropriate given the number of opinions that the Commission has rendered over the last 40+ years. These sections also include miscellaneous language clean-up.
54	Gives safe harbor protections for public officers/employees seeking preventative informal ethics advice and confirms that separate violations of the ethics law may be treated cumulatively for purpose of application of the penalty provisions of NRS 281A.790.

ASSEMBLY BILL NO. 65–COMMITTEE ON LEGISLATIVE OPERATIONS AND ELECTIONS

(ON BEHALF OF THE COMMISSION ON ETHICS)

PREFILED NOVEMBER 18, 2020

Referred to Committee on Legislative Operations and Elections

SUMMARY—Revises provisions relating to ethics in government. (BDR 23-257)

FISCAL NOTE: Effect on Local Government: May have Fiscal Impact. Effect on the State: Yes.

> CONTAINS UNFUNDED MANDATE (§ 11) (NOT REQUESTED BY AFFECTED LOCAL GOVERNMENT)

EXPLANATION - Matter in *bolded italics* is new; matter between brackets [omitted material] is material to be omitted.

AN ACT relating to ethics in government; making various changes relating to the provisions governing ethics in government; providing penalties; and providing other matters properly relating thereto.

Legislative Counsel's Digest:

With certain exceptions, the Nevada Ethics in Government Law (Ethics Law) governs the conduct of public officers and employees and, in certain situations, former public officers and employees after the end of their period of public service or employment. The Ethics Law is carried out and enforced by the Commission on Ethics, which is authorized to issue opinions interpreting the statutory ethical standards established by the Ethics Law and applying those standards to a given set of facts and circumstances. The Ethics Law also authorizes any state agency or the governing body of a county or city to establish a specialized or local ethics committee to complement the functions of the Commission. (Chapter 281A of NRS)

11 Under the Ethics Law, the Commission is authorized to issue advisory opinions 12 requested by current and former public officers and employees who are: (1) seeking 13 guidance on matters which directly relate to the propriety of their own past, present 14 or future conduct under the statutory ethical standards; or (2) requesting relief from 15 certain provisions of the Ethics Law that allow the Commission to grant such relief. 16 (NRS 281A.670-281A.690) The Commission is also authorized to issue opinions in 17 response to ethics complaints filed with or initiated by the Commission regarding 18 the propriety of the conduct of current and former public officers and employees 19 under the statutory ethical standards. (NRS 281A.700-281A.790)





This bill amends the Ethics Law by clarifying, revising and adding to existing provisions which govern: (1) the operation, powers, functions and duties of the Commission, its members and staff and any specialized or local ethics committees; (2) the statutory ethical standards that apply to the conduct of current and former public officers and employees; and (3) the proceedings concerning requests for advisory opinions and ethics complaints and the issuance of opinions and the imposition of remedies and penalties by the Commission. Sections 3, 8, 9, 12, 20-24 and 26-28 of this bill make various changes to

Sections 3, 8, 9, 12, 20-24 and 26-28 of this bill make various changes to $\overline{28}$ existing provisions of the Ethics Law which govern the operation, powers, $\overline{29}$ functions and duties of the Commission, its members and staff and any specialized 30 or local ethics committees. (NRS 281A.200-281A.350) Under the Ethics Law, the 31 Commission is required to annually elect a Chair and Vice Chair who are assigned 32 33 certain powers, functions and duties. (NRS 281A.210, 281A.220, 281A.240, 281A.300) Sections 3 and 20 of this bill provide for the Chair's powers, functions 34 and duties to be assigned for a particular matter to the Vice Chair or another 35 member of the Commission under certain circumstances. Section 27 of this bill 36 additionally authorizes the administration of oaths by a member of the Commission 37 when appointed by the Chair to preside over any meetings, hearings or proceedings 38 and by a certified court reporter. Section 27 also specifically authorizes the Chair to 39 issue a subpoena during the course of an investigation for information, records and 40 documentation regarding confidential personnel records maintained by a state or 41 local governmental agency that relate to issues under consideration in an ethics 42 complaint. Under section 47 of this bill, these records are made part of the 43 investigative file. Section 27 further provides that any court proceeding 44 commenced relating to a subpoena is deemed good cause for the Commission to 45 grant an extension of the time limits that apply to proceedings concerning ethics 46 complaints.

47 The Ethics Law requires the Chair to appoint review panels, consisting of three 48 members of the Commission, to review ethics complaints during the investigatory 49 stage of the proceedings, and if a review panel determines that there is just and 50 sufficient cause for the Commission to render an opinion in a matter, the members 51 of the review panel generally cannot participate in any further proceedings of the 52 53 Commission relating to that matter. (NRS 281A.220) However, the Ethics Law allows the members of the review panel to authorize the development of and 54 approve a deferral agreement in the proceedings. (NRS 281A.730) Section 21 of 55 this bill allows one or more members of the review panel, with the consent of the 56 parties, to participate as mediators or facilitators in any settlement negotiations 57 between the parties that are conducted in the proceedings before the Commission 58 holds an adjudicatory hearing in the matter.

The Ethics Law requires the Commission to appoint and prescribe the duties of the Executive Director who must have experience in administration, investigations and law. (NRS 281A.230) **Section 22** of this bill adds to these qualifications by requiring the Executive Director to be an attorney who is licensed to practice law in Nevada.

64 Under the Ethics Law, the Commission may conduct investigations and 65 proceedings and secure the participation and attendance of witnesses and the 66 production of any books and papers. (NRS 281A.290, 281A.300) Section 8 67 requires public officers and employees to cooperate with the Commission in its 68 investigations and proceedings and to furnish information and reasonable assistance 69 to the Commission, except to the extent that they are entitled to the protection of 70 certain rights, privileges or immunities or any confidentiality or other protection 71 recognized by law. Section 8 is modeled, in part, on similar provisions governing 72 the Commission on Judicial Discipline. (NRS 1.460)





73 Section 12 authorizes the Commission to cooperate in investigations of other 74 state and local governmental agencies to make appropriate referrals of ethics 75 complaints.

76 The Ethics Law requires the Commission on Ethics to appoint and prescribe the 77 duties of the Commission Counsel who is the legal adviser to the Commission and 78 who, in most cases, is directed by the Commission to act as legal counsel in any 79 litigation in which the Commission or its members or staff are parties in an official 80 capacity. (NRS 281A.250, 281A.260) Under Nevada's Open Meeting Law, the 81 Commission may receive information regarding any litigation from its legal 82 counsel and deliberate toward a decision regarding the litigation without holding a 83 public meeting that complies with the Open Meeting Law. (NRS 241.015) Existing 84 law authorizes a public body to delegate authority to the chair or the executive 85 director of a public body, or an equivalent position, to make any decision regarding 86 litigation concerning any action or proceeding in which the public body or any 87 member or employee of the public body is a party in an official capacity or 88 participates or intervenes in an official capacity. (NRS 241.0357)

89 Section 9 provides that during any period in which proceedings concerning a 90 request for an advisory opinion or an ethics complaint are confidential under the 91 Ethics Law, the Open Meeting Law does not apply to any meetings, hearings, 92 deliberations or actions of the Commission involving: (1) any decisions in litigation 93 concerning any judicial action or proceeding related to the request for an advisory 94 opinion or the ethics complaint; and (2) any delegation of authority to make such 95 decisions in the litigation to the Chair or the Executive Director, or both. Section 96 24 of this bill specifies the powers and duties of the Commission Counsel regarding 97 any litigation in which the Commission or its members or staff are parties in an 98 official capacity. Section 24 clarifies that the Commission Counsel does not 99 represent the interests of the Executive Director in a judicial action or proceeding in 100 which the Executive Director is named as a party based on the conduct of the 101 Executive Director in his or her official conduct as a party to an adjudicative 102 proceeding.

103 Under the Ethics Law, the Commission is required to adopt procedural rules to 104 carry out the functions of the Commission, accept acknowledgments of statutory 105 ethical standards, conduct necessary investigations, recommend legislation to 106 promote ethics in government and publish a manual explaining the Ethics Law. 107 (NRS 281A.290) The Executive Director is required to conduct training on the 108 requirements of the Ethics Law for public officers and employees. (NRS 281A.240) 109 Section 26 of this bill replaces the requirement to publish a manual with a 110 requirement to publish materials to educate public officers and employees on the 111 requirements of the Ethics Law.

112 Under the Ethics Law, a specialized or local ethics committee may: (1) 113 establish its own code of ethical standards suitable for the particular ethical 114 problems encountered in its sphere of activity; and (2) render opinions upon the 115 request of public officers and employees subject to its jurisdiction seeking an 116 interpretation of its own ethical standards on certain questions. However, a 117 specialized or local ethics committee may not attempt to interpret or render an 118 opinion regarding the statutory ethical standards subject to the jurisdiction of the 119 Commission, but it may refer such questions to the Commission. (NRS 281A.350) 120 Section 28 of this bill clarifies the circumstances when such questions may be 121 referred to the Commission as a request for an advisory opinion. Section 28 also 121 122 123 makes conforming changes to ensure consistency with the other revisions that this bill makes to the Ethics Law. Section 28 of this bill also removes the authority of a 124 specialized or local ethics committee in existing law to require the filing of 125 financial disclosure statements if the form has been approved by the Secretary 126 of State. Section 1 of this bill makes a conforming change related to the removal of 127 this authority.





The Ethics Law establishes statutory ethical standards that are intended to enhance the people's faith in the integrity and impartiality of public officers and employees by requiring appropriate separation between the roles of persons who are both public servants and private citizens in order to avoid conflicts between their private interests and the interests of the general public whom they serve. (NRS 281A.020, 281A.400-281A.550) **Sections 6, 7, 10, 16 and 29-33** of this bill make various changes to the statutory ethical standards.

Sections 6 and 7 restate more clearly the existing scope of the statutory ethical standards and their applicability to the conduct of current and former public officers and employees. Section 7 also codifies the existing rule of construction that the standards are cumulative and supplement each other and all such standards are enforceable to the extent that they apply to the given set of facts and circumstances.

140 The Ethics Law prohibits public officers and employees from engaging in 141 certain unethical conduct that benefits themselves, any business entities in which 142 they have a significant pecuniary interest or any persons to whom they have a 143 commitment in a private capacity. (NRS 281A.400, 281A.420) The Ethics Law 144 defines the persons to whom public officers and employees have a "commitment in 145 a private capacity" to include: (1) the spouse or domestic partner of the public 146 officer or employee, any member of his or her household or any relative within the 147 third degree of consanguinity or affinity; (2) any person who employs the public 148 officer or employee, his or her spouse or domestic partner or any member of his or 149 her household; (3) any person with whom the public officer or employee has a 150 substantial and continuing business relationship; or (4) any person with whom the 151 public officer or employee has any other commitment, interest or relationship that 152 is substantially similar to the foregoing commitments, interests or relationships. 153 (NRS 281A.065) Section 16 makes technical revisions to the definition of 154 "commitment in a private capacity" that do not change its substantive meaning.

155 The Ethics Law prohibits public officers and employees from using their 156 position in government to secure or grant any unwarranted privileges, preferences, 157 exemptions or advantages for themselves, any business entities in which they have 158 a significant pecuniary interest or any persons to whom they have a commitment in 159 a private capacity. (NRS 281A.400) Section 10 adds to the statutory ethical 160 standards by prohibiting public officers and employees from using their position or 161 power in government to take any actions or compel a subordinate to take any 162 actions that a reasonable person would find, based on the given set of facts and 163 circumstances, to be a gross or unconscionable abuse of official position or power 164 that would undermine the integrity or impartiality of a reasonable person in the 165 public officer's or employee's position under the same or similar facts and 166 circumstances. However, the prohibition in section 10 does not apply to any 167 allegations claiming only bias, error or abuse of discretion in any actions taken by 168 public officers and employees within the normal course and scope of their position 169 or power in government.

170 The Ethics Law contains a general provision that prohibits public officers and 171 employees from using governmental time, property, equipment or other facility to 172 benefit a significant personal or pecuniary interest of the public officers and 173 employees or any persons to whom they have a commitment in a private capacity. 174 By contrast, the Ethics Law also contains a specific provision that prohibits State 175 Legislators from using governmental time, property, equipment or other facility for 176 a nongovernmental purpose or for the private benefit of the Legislators or any other 177 persons. Both of these prohibitions contain separate limited-use exceptions that 178 allow a limited use of governmental property, equipment or other facility for 179 personal purposes if the limited use meets certain requirements. (NRS 281A.400) 180 Section 29 of this bill revises these prohibitions and limited-use exceptions in 181 several ways.





First, section 29 aligns the prohibitions so they employ the same prohibitive language for Legislators and other public officers and employees. As a result, subject to the limited-use exceptions, section 29 prohibits all public officers and employees from using governmental time, property, equipment or other facility to benefit a significant personal or pecuniary interest of the public officers and employees or any persons to whom they have a commitment in a private capacity.

Second, with regard to the limited-use exceptions that apply to public officers and employees other than Legislators, one of the existing requirements for the exceptions is that the public officer or employee who is responsible for and has authority to authorize the limited use for personal purposes must have established a policy allowing the limited use. (NRS 281A.400) **Section 29** clarifies the exception by providing that the limited use must be authorized by a written policy which was adopted before the limited use occurs.

195 Finally, with regard to the limited-use exceptions that apply to Legislators and 196 other public officers and employees, one of the existing requirements for the 197 exceptions is that the limited use for personal purposes must not create the 198 appearance of impropriety. (NRS 281A.400) Section 29 defines the term 199 "appearance of impropriety" to mean a reasonable person would find, based on the 200given set of facts and circumstances, that the limited use for personal purposes is 201 inappropriate, disproportionate, excessive or unreasonable under that given set of 202facts and circumstances.

203With certain exceptions, the Ethics Law prohibits public officers and 204 employees from acting upon a matter in which their personal or private interests 205 may create potential conflicts of interests unless, at the time the matter is 206 considered, they make a disclosure that is sufficient to inform the public of their 207potential conflicts of interests. (NRS 281A.420) Section 31 of this bill provides 208that, when public officers and employees make such a public disclosure, they are 209 not required to disclose any information which is confidential as a result of a bona 210fide relationship that protects the confidentiality of the information under the terms 211of a contract or as a matter of law, such as the attorney-client relationship, if they: 212(1) disclose all nonconfidential information and describe the general nature of the 213 protected relationship; and (2) abstain from acting upon the matter.

214 The Ethics Law allows certain public officers to represent or counsel private 215 persons for compensation before state or local agencies in which they do not serve. 216 In addition, although the Ethics Law requires public officers to disclose such 217 private representation or counseling when it may create potential conflicts of 218interests with their public duties, they are not required to abstain from acting on a 219 matter because of those potential conflicts of interests. (NRS 281A.410, 281A.420) 220 Section 31 requires public officers to abstain from acting on a matter under certain 221 circumstances when such private representation or counseling results in conflicts of $\bar{2}\bar{2}\bar{2}$ interests with their public duties.

 $\bar{2}\bar{2}\bar{3}$ The Ethics Law prohibits certain former public officers and employees, for a 1-year "cooling-off" period after the termination of their public service or 224 225 employment, from soliciting or accepting private employment from any entities 226 regulated or awarded certain contracts by the agencies that employed the former $\bar{2}\bar{2}\bar{7}$ public officers and employees. However, the Ethics Law also allows the $\overline{228}$ Commission to grant relief from the strict application of the prohibition in specified 229 circumstances. (NRS 281A.550) Section 33 of this bill provides that certain current 230 and former public officers and management-level public employees are subject to 231 the "cooling-off" period both during and after their public service or employment 232 and cannot solicit or accept private employment from such entities under similar 233 circumstances. Section 33 also provides that the "cooling-off" period applies when 234 certain current and former public officers and employees are or were materially 235 involved in the implementation, management or administration of certain contracts 236 awarded by their employing agencies.





237 The Ethics Law requires public officers to execute and timely file with the 238 Commission written acknowledgments that they have received, read and 239 understand the statutory ethical standards and that they have a responsibility to 240become familiar with any amendments to those standards. (NRS 281A.500) 241 **Section 11** of this bill requires the appropriate appointing authorities and 242administrative officials at the state and local level to: (1) compile a list of the public 243 officers within their purview who must file the written acknowledgment of the 244 statutory ethical standards; and (2) submit the list annually to the Commission. 245 Under existing law, these same appointing authorities and administrative officials 246 must compile and submit a similar list annually to the Secretary of State concerning 247 public officers who must file financial disclosure statements with the Secretary of 248 State. (NRS 281.574)

The Ethics Law contains existing provisions which govern the proceedings concerning requests for advisory opinions and ethics complaints and the issuance of opinions and the imposition of remedies and penalties by the Commission. (NRS 281A.665-281A.790) Sections 4, 5, 14, 15, 17-19, 25 and 34-54 of this bill make various changes to these existing provisions.

254 Under the Ethics Law, the Commission issues opinions interpreting the 255 statutory ethical standards and applying those standards to a given set of facts and 256 circumstances. (NRS 281A.680, 281A.710) The Ethics Law also directs the 257 Legislative Counsel to prepare annotations of the Commission's published opinions 258 for inclusion in the Nevada Revised Statutes. (NRS 281A.290) Section 5 defines 259 "published opinion" as an opinion issued by the Commission that is publicly 260available on the Internet website of the Commission. Sections 26 and 34 of this bill 261 move and recodify within the Ethics Law the existing provision that directs the 262Legislative Counsel to prepare annotations of the Commission's published opinions 263 for inclusion in the Nevada Revised Statutes.

The Ethics Law authorizes public officers and employees to file with the Commission requests for advisory opinions to: (1) seek guidance relating to the propriety of their own past, present or future conduct under the statutory ethical standards; or (2) request relief from the strict application of certain provisions of the Ethics Law. (NRS 281A.675) **Section 35** of this bill authorizes the Commission to request additional information relating to the request for an advisory opinion from the requester or his or her legal counsel.

271 If the requester properly files a request for an advisory opinion, the Ethics Law 272 requires the Commission to render an advisory opinion in the matter within a 273 certain time limit after receiving the request, unless the requester waives the time 274 limit. (NRS 281A.680) Sections 25 and 36 of this bill revise the Commission's 275 jurisdiction and procedures regarding a request for an advisory opinion. Under the 276 Ethics Law, the Commission generally has jurisdiction over ethics complaints filed 277 or initiated within 2 years after the alleged violation or reasonable discovery of the alleged violation. (NRS 281A.280) Section 25 similarly provides that the 278 279 Commission's jurisdiction over a request for an advisory opinion extends only to 280past conduct occurring within 2 years before the date on which the request is filed. 281Section 36 allows the Commission to stay or dismiss the proceedings concerning 282the request for an advisory opinion under certain circumstances when an ethics 283 complaint is also filed or pending that involves some or all of the same issues or 284 facts and circumstances as the request for an advisory opinion or when the 285 requester has not complied with any procedural requirements of the Ethics Law. 286Section 36 further requires the requester to confirm in writing, signed under oath, 287that any written information related to the request is truthful. Section 36 also 288requires the Commission to render a decision regarding the request for an advisory 289 opinion within the existing time limit, subject to certain exceptions. However, 290section 36 provides the Commission with more time to prepare the written advisory





opinion in the matter by requiring the Commission to issue the written advisory opinion within a specified time limit after the decision is rendered.

293 Section 36 further authorizes the Commission to determine which decisions 294 and opinions related to a request for an advisory opinion will be binding on a 295 requester and constitute administrative precedent to be followed in the adjudication 296and disposition of future requests for an advisory opinion or ethics complaint. 297 Section 36 confirms that a written advisory opinion related to a request for relief 298 from the strict application of the cooling-off provisions or to the past conduct of the 299 requester are not subject to judicial review under the Nevada Administrative 300 Procedure Act. Finally, section 36 authorizes the Executive Director and the 301 Commission Counsel to issue informal advice to a public officer or employee 302 regarding the application of the statutory ethical standards to a given set of facts 303 and circumstances that is not contrary to a published opinion of the Commission. 304 Section 36 provides that such advice is not binding on the requester or subject to 305 judicial review and good faith reliance on such advice protects the public officer or 306 employee from a future finding of a violation of the Ethics Law. Section 36 307 provides that any dispute related to such advice is resolved pursuant to a request for 308 an advisory opinion from the Commission and any decision or opinion of the 309 Commission or advice provided by the Executive Director or Commission Counsel 310 does not divest the Commission of its jurisdiction over an ethics complaint which 311 alleges facts separate from those relied upon to render advice.

312 Under the Ethics Law, certain materials relating to a request for an advisory 313 opinion are confidential and not public records unless the requester: (1) authorizes 314 the Commission to disclose the materials; or (2) voluntarily discloses the materials 315 to persons other than those specified in the statute. (NRS 281A.685) Section 37 of 316 this bill clarifies that any authorization given by the requester is limited to the 317 specific materials that the requester authorizes the Commission to disclose. Section 318 **37** also revises the specified persons to whom the requester may voluntarily 319 disclose the materials without waiving the confidentiality of the materials. In 320 addition, section 37 provides that a request for advice from the Executive Director 321 322 or Commission Counsel receives the same confidentiality protections as a request for an advisory opinion from the Commission.

323 With certain exceptions, the Commission is subject to the Open Meeting Law, 324 which generally requires most meetings of public bodies to be open to the public. 325 (Chapter 241 of NRS) However, under the Ethics Law, the Open Meeting Law does 326 not apply to meetings, hearings, deliberations and actions of the Commission 327 relating to requests for advisory opinions, although the requester of the advisory 328 opinion may file a request with the Commission to hold a public meeting or hearing 329 regarding the matter. (NRS 281A.690) Section 38 of this bill provides that if the 330 Commission grants such a request for a public meeting or hearing regarding the 331 matter, the Commission must provide public notice of the meeting or hearing and 332 the meeting or hearing must be open to the public and conducted in accordance 333 with the regulations of the Commission, but the meeting or hearing is not subject to 334 specific requirements of the Open Meeting Law.

335 In addition to rendering advisory opinions, the Commission is also authorized 336 by the Ethics Law to render opinions regarding the propriety of the conduct of 337 public officers and employees under the statutory ethical standards in response to 338 ethics complaints. (NRS 281A.710) Not later than 45 days after receiving an ethics 339 complaint, the Ethics Law requires the Commission to determine initially whether 340 it has jurisdiction over the ethics complaint and whether an investigation is 341 warranted in the matter, unless the subject of the ethics complaint waives the time 342 limit. (NRS 281A.715) Section 41 of this bill authorizes the Executive Director, 343 during this initial period, to conduct a preliminary investigation to obtain additional 344 information concerning the allegations in the ethics complaint to assist the 345 Commission in making its initial determination. In addition, section 41: (1) allows





346 the Commission to extend the time limit for good cause but requires the 347 Commission to set a specific and reasonable time period for such an extension; and 348 (2) eliminates, as unnecessary, the provision authorizing the subject to waive the 349 time limit because the subject does not receive notice of the matter during this 350 initial period, but only receives notice of the matter if the Commission determines 351 that it has jurisdiction and an investigation is warranted. Section 41 also allows the 352 Commission to dismiss an ethics complaint initiated on its own motion if it 353 determines that the evidence is not sufficient to warrant an investigation in the 354 matter but requires the Commission to issue a letter of caution or instruction in 355 those circumstances.

356 Under the Ethics Law, if the Commission determines that it has jurisdiction 357 over an ethics complaint and an investigation is warranted, the subject of the ethics complaint is served with a notice of the investigation and provided with an 358 359 opportunity to submit a response to that notice. (NRS 281A.720) Section 42 of this 360 bill authorizes the Executive Director to grant, under certain circumstances, 361 extensions of the time limit to submit the response, including that the subject must 362 waive the time limit for the investigation, but the Executive Director must set a 363 specific and reasonable time period for such an extension.

As part of the investigation, the Ethics Law permits the Executive Director to secure the subject's participation, attendance as a witness or production of books and papers under existing procedures. (NRS 281A.300) Section 42 clarifies that, regardless of whether the subject submits a response to the investigation, the Executive Director retains the authority during the course of the investigation to secure the subject's participation, attendance as a witness or production of books and papers under existing procedures.

371 Within 70 days after the Commission directs the Executive Director to 372 investigate an ethics complaint, the Ethics Law requires the Executive Director to 373 present a written recommendation to the review panel regarding the sufficiency of 374 the evidence concerning the ethics complaint, unless the subject waives the time 375 limit. (NRS 281A.725) Section 43 of this bill allows the presiding officer of the 376 review panel to grant the Executive Director extensions of the time limit for good 377 cause but requires the presiding officer to set a specific and reasonable time period 378 for such an extension.

379 Within 15 days after the Executive Director presents the written 380 recommendation to the review panel, the Ethics Law requires the review panel to 381 determine whether there is just and sufficient cause for the Commission to render 382 an opinion regarding the ethics complaint, unless the subject waives the time limit. 383 (NRS 281A.730) Section 44 of this bill extends the time for the panel to issue its 384 determination to 45 days. If the review panel determines that there is not just and 385 sufficient cause, the Ethics Law requires the review panel to dismiss the matter, but 386 the review panel may issue a confidential letter of caution or instruction to the 387 subject as part of the dismissal. If the review panel determines that there is just and 388 sufficient cause but reasonably believes that the conduct at issue may be 389 appropriately addressed through additional training or other corrective action, the 390 Ethics Law authorizes the review panel to approve a deferral agreement between 391 the Executive Director and the subject to defer further proceedings in the matter 392 under the terms and conditions of the deferral agreement. If the subject complies 393 with the terms and conditions of the deferral agreement, the matter must be 394 dismissed. However, if the subject fails to comply with the terms and conditions of 395 the deferral agreement, the deferral agreement may be vacated and further 396 proceedings conducted in the matter before the Commission. If the review panel 397 does not believe that a deferral agreement is appropriate or if the subject declines to 398 enter into such a deferral agreement, the Ethics Law requires the review panel to 399 refer the matter to the Commission for further proceedings. (NRS 281A.730, 400 281A.740)





401 **Section 44** of this bill provides that after the review panel makes its 402 determination in the matter, it must serve written notice of its determination on the 403 subject.

404 The Ethics Law establishes various requirements regarding the adjudication of 405 ethics complaints referred to the Commission for further proceedings. (NRS 406 281A.745-281A.760) Sections 4 and 45 of this bill clarify that the parties to the 407 proceedings are: (1) the Executive Director or his or her designee, who present 408 the case to the Commission at the adjudicatory hearing in the matter; and (2) the 409 subject of the ethics complaint, who has the right to written notice of the hearing, to 410 be represented by legal counsel and to hear the evidence presented to the 411 Commission and to present his or her own case. Section 45 also requires: (1) the 412 Executive Director to issue a formal notice of charges to the subject of the ethics 413 complaint regarding the allegations to be presented at an adjudicatory hearing; and 414 (2) the Commission to provide the parties with a written schedule for discovery in 415 order to prepare for the hearing.

416 The Ethics Law requires the Commission to hold the hearing and render an 417 opinion in the matter within a certain time limit, unless waived by the subject, and 418requires the opinion to include findings of fact and conclusions of law. (NRS 419 281A.745, 281A.765) Section 45 requires the Commission to hold a hearing and 420 render a decision in the matter within the existing time limit, unless waived by the 421 subject or extended by the Commission for good cause with a specific and 422 reasonable time period, but section 45 provides the Commission with more time to 423 prepare the written opinion in the matter by requiring the Commission to issue the 424 written opinion within a specified time limit after the decision is rendered. Section 425 **45** also clarifies that, in addition to including findings of fact and conclusions of 426 law, the written opinion must otherwise comply with the requirements for a final 427 decision under Nevada's Administrative Procedure Act. (NRS 233B.125) Section 428 **49** of this bill makes a conforming change related to the contents of a written 429 opinion.

430 With certain exceptions, the Ethics Law requires, or in some cases allows, the 431 Commission to keep the identity of certain persons who file ethics complaints 432 confidential in order to protect those persons from potential harm. (NRS 281A.750) 433 Section 46 of this bill extends the confidentiality of the requester to persons who 434 worked for the same public body, agency or employer as the subject of the ethics 435 complaint at the time of the alleged conduct, or if revealing the identity of the 436 requester would otherwise reveal the identity of witnesses who work for the same 437 public body, agency or employer. Section 46 also clarifies that such confidentiality 438 extends to all materials that, if disclosed, would reveal the identity of the 439 confidential requester. Section 46 also clarifies that the identity of the confidential 440 requester remains protected if the Executive Director does not intend to present the 441 testimony of the confidential requester as evidence in the matter. However, if the 442 Executive Director intends to present the testimony of the confidential requester as 443 evidence in the matter, section 46 provides that the Executive Director must 444 disclose the name of the confidential requester only as a proposed witness in 445 accordance with the schedule for discovery in the matter.

446 Under the Ethics Law, the subject of an ethics complaint may submit a written 447 discovery request for a list of proposed witnesses and a copy of any materials in the 448 investigative file that the Executive Director intends to present as evidence in the 449 matter. The Ethics Law also provides that the materials in the investigative file are 450 confidential, except that any materials which the Executive Director presents as 451 evidence in the matter become public records. (NRS 281A.755) Section 47 requires 452 any written discovery request to be submitted in accordance with the schedule for 453 discovery in the matter. Section 47 also provides that any materials which the 454 Executive Director presents as evidence in the matter become public records after 455 the Commission takes final action concerning the ethics complaint in a public





456 meeting or hearing held under **section 48** of this bill, but provides an exception if 457 any of the materials are declared confidential by another law.

458 In proceedings concerning an ethics complaint, the Ethics Law exempts from 459 the Open Meeting Law: (1) any meeting or hearing held by the Commission to 460 receive information or evidence concerning the ethics complaint; and (2) any 461 deliberations of the Commission on such information or evidence. However, the 462 Ethics Law does not exempt the Commission's actions concerning the ethics 463 complaint from the Open Meeting Law. (NRS 281A.760) Section 48 generally 464 exempts the Commission's actions concerning the ethics complaint from the Open 465 Meeting Law. However, section 48 requires the Commission to take final action 466 concerning the ethics complaint in a public meeting or hearing for which the 467 Commission provides public notice and which is open to the public and conducted 468 in accordance with the regulations of the Commission, but the meeting or hearing is 469 not subject to specific requirements of the Open Meeting Law.

470 The Ethics Law establishes various requirements regarding the disposition of 471 ethics complaints and the imposition of remedies and penalties. (NRS 281A.765-472 281A.790) Under the Ethics Law, there are two types of violations: (1) willful 473 violations that require proof of specific mental elements showing that the subject of 474 an ethics complaint committed the violations intentionally and knowingly; and (2) 475 other violations that do not require proof of those specific mental elements. (NRS 476 281A.170) To determine whether violations are willful, the Ethics Law requires the 477 Commission to: (1) consider a nonexclusive list of aggravating and mitigating 478 factors, as well as any other reasonably related factors; and (2) ensure when it 479 applies those factors that the disposition of the matter bears a reasonable 480 relationship to the severity of the violations. (NRS 281A.775) For any violations, 481 whether or not willful, the Ethics Law authorizes the Commission to impose certain 482 remedies, such as training, a remedial course of action or public admonishment. 483 (NRS 281A.785) However, for willful violations, the Ethics Law also authorizes 484 more severe remedies and penalties, such as substantial civil penalties and public 485 reprimand or censure. In some cases involving willful violations, the Ethics Law 486 further requires the Commission to seek removal of certain public officers through 487 court proceedings or to submit the matter to the appropriate House of the 488 Legislature for consideration of additional remedies and penalties against certain 489 public officers, including removal through impeachment or expulsion. (NRS 490 281A.785, 281A.790) With respect to certain dispositions of ethics complaints and 491 in determining whether a violation is willful, existing law requires the Commission 492 to treat comparable situations in a comparable manner. (NRS 281A.770, 281A.775) 493 Sections 50 and 51 of this bill require the Commission to carry out that duty to the 494 extent practicable based on the given set of facts and circumstances. Section 54 of 495 this bill clarifies that in determining whether the subject has committed one or more 496 violations, each separate act or event that constitutes a violation, or course of 497 conduct that the Commission interprets as constituting a separate violation, must be 498 treated as a separate violation that is cumulative to all other violations, whenever 499 committed, without regard to the sequence of the violations or whether the 500 violations are established in the same or separate proceedings.

501 The Ethics Law prohibits any person from preventing, interfering with or 502 attempting to prevent or interfere with investigations or proceedings or the 503 discovery of violations under the Ethics Law and authorizes the Commission to 504 impose civil penalties and, under certain circumstances, assess against such a 505 person certain attorney's fees and costs incurred by others as a result of the act. 506 (NRS 281A.790) Sections 25 and 54 of this bill: (1) deem the person's act to be a 507 violation of the Ethics Law; (2) specify that the Commission has jurisdiction to 508investigate and take appropriate action regarding the violation in any proceeding 509 commenced within 2 years after the violation or reasonable discovery thereof; and 510 (3) require the Commission, before taking appropriate action, to provide the person





511 with a written notice of the charges and an opportunity for a hearing in accordance 512 with the regulations of the Commission. **Section 54** also authorizes the 513 Commission, under certain circumstances, to assess against the person certain 514 attorney's fees and costs incurred by the Commission as a result of the violation.

515 Section 55 of this bill makes a conforming change relating to the confidentiality of materials provided by the Commission pursuant to section 12.
517 Section 56 of this bill makes a conforming change relating to section 9. Section 58 of this bill addresses the applicability of the amendatory provisions of this bill to administrative proceedings and conduct that occurred before July 1, 2021.

THE PEOPLE OF THE STATE OF NEVADA, REPRESENTED IN SENATE AND ASSEMBLY, DO ENACT AS FOLLOWS:

1 **Section 1.** NRS 281.5584 is hereby amended to read as 2 follows:

281.5584 "Financial disclosure statement" or "statement"
means a financial disclosure statement in the electronic form or
other authorized form prescribed by the Secretary of State pursuant
to NRS 281.5555 to 281.581, inclusive . [, or in the form approved

7 by the Secretary of State for a specialized or local ethics committee 8 pursuant to NRS 281A.350.1

9 Sec. 2. Chapter 281A of NRS is hereby amended by adding 10 thereto the provisions set forth as sections 3 to 12, inclusive, of this 11 act.

12 Sec. 3. "Chair" means:

13 1. The Chair of the Commission; or

14 2. The Vice Chair or another member of the Commission 15 serving in the capacity of the Chair pursuant to NRS 281A.210.

16 Sec. 4. "Party" means, for the purposes of the adjudication 17 and disposition of proceedings concerning an ethics complaint 18 pursuant to this chapter:

1. The Executive Director or his or her designee; and

20 2. The public officer or employee who is the subject of the 21 ethics complaint.

22 Sec. 5. "Published opinion" means an opinion issued by the 23 Commission that is publicly available on the Internet website of 24 the Commission.

25 **Sec. 6.** *"Statutory ethical standards" means the statutory* 26 *ethical standards set forth in the provisions of this chapter.*

27 Sec. 7. 1. The provisions of this chapter establish statutory 28 ethical standards to govern the conduct of:

29 (a) Public officers and employees; and

30 (b) Former public officers and employees in situations where 31 the statutory ethical standards apply to the conduct of former 32 public officers and employees after the end of any period of public 33 service or employment.



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1 2. The statutory ethical standards are cumulative and 2 supplement each other, and the application of any one of the 3 statutory ethical standards to a given set of facts and 4 circumstances does not bar the application of any other of the 5 statutory ethical standards that also apply to the given set of facts 6 and circumstances.

7 Sec. 8. 1. Except as otherwise provided in this section, 8 every public officer or employee of the State or one of its political subdivisions, regardless of whether he or she is otherwise subject 9 to the provisions of this chapter, shall cooperate with the 10 11 Commission in any lawful investigations or proceedings of the 12 Commission and furnish information and reasonable assistance to 13 the Commission or its authorized representative, except to the 14 extent that the public officer or employee is entitled to:

(a) Any right, privilege or immunity recognized by law, other
 than any common-law privilege or immunity abrogated pursuant
 to NRS 281A.185; or

(b) Any confidentiality or other protection recognized by law.

19 2. If a public officer or employee is entitled to any protection 20 pursuant to paragraph (a) or (b) of subsection 1, that protection 21 extends only to matters within the scope of the protection, and the 22 public officer or employee shall comply with the provisions of 23 subsection 1 to the fullest extent possible regarding all matters 24 outside of the scope of the protection.

3. Before a public officer or employee is required to comply with the provisions of subsection 1 and during the course of any investigations or proceedings of the Commission or its authorized representative, the public officer or employee is entitled to be represented by and consult with legal counsel, including, without limitation, the legal counsel of his or her public body, agency or employer.

32 Sec. 9. During any period in which proceedings concerning 33 a request for an advisory opinion or an ethics complaint are 34 confidential pursuant to this chapter, the provisions of chapter 241 35 of NRS do not apply to any meeting or hearing held by the 36 Commission or any deliberations or actions of the Commission 37 involving:

Any decisions in litigation concerning any judicial action
 or proceeding related to the request for an advisory opinion or the
 ethics complaint; or

41 2. Any delegation of authority to make such decisions in the 42 litigation to the Chair or the Executive Director, or both, pursuant 43 to NRS 241.0357.

44 Sec. 10. 1. A public officer or employee shall not use the 45 public officer's or employee's position or power in government to



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1 take any actions or compel a subordinate to take any actions that a

2 reasonable person would find, based on the given set of facts and 3 circumstances, to be a gross or unconscionable abuse of official 4 position or power that would undermine the integrity or 5 impartiality of a reasonable person in the public officer's or 6 employee's position under the same or similar facts and

7 circumstances.

8 2. The provisions of this section must not be interpreted to 9 apply to any allegations claiming only bias, error or abuse of 10 discretion in any findings, decisions, policy-making or other 11 actions taken by a public officer or employee within the normal 12 course and scope of his or her position or power in government.

13 Sec. 11. A list of each public officer who is required to file an 14 acknowledgment of the statutory ethical standards in accordance 15 with NRS 281A.500 must be submitted electronically to the 16 Commission, in the form prescribed by the Commission, on or 17 before December 1 of each year by:

18 1. For an appointed public officer, the appointing authority 19 of the public officer, including, without limitation:

20 (a) The manager of each local agency for a public officer of a 21 local agency;

22 (b) The Director of the Legislative Counsel Bureau for a 23 public officer of the Legislative Department of the State 24 Government; and

(c) The Director of the Department of Administration, or his
 or her designee, for a public officer of the Executive Department
 of the State Government; and

28 2. For an elected public officer of:

(a) A county and other political subdivisions within the county
 except cities, the county clerk;

31 (b) A city, the city clerk;

(c) The Legislative Department of the State Government, the
 Director of the Legislative Counsel Bureau; and

(d) The Executive Department of the State Government, the
 Director of the Department of Administration, or his or her
 designee.

37 Sec. 12. 1. After the resolution of an ethics complaint, the 38 Commission may provide any information obtained during the 39 course of an investigation of the ethics complaint to:

40 (a) The Attorney General or appropriate district attorney for 41 the purpose of prosecuting a criminal action in this State; or

42 (b) Any federal law enforcement agency investigating a 43 criminal violation of federal law by a public officer or employee.

44 2. If the Commission determines that it does not have 45 jurisdiction or does not direct the Executive Director to conduct an





investigation of an ethics complaint pursuant to NRS 281A.715, 1 2 and the Executive Director reasonably believes that the alleged 3 conduct may be properly addressed within the jurisdiction of another state or local agency, the Executive Director may refer all 4 or a portion of the information, communications, records, 5 6 documents or other materials in the possession of the Commission 7 or its staff that are related to the allegations in the ethics 8 complaint to that state or local agency. Such a referral must not 9 include a copy of any ethics complaint or reveal the identity of the requester of any such ethics complaint. 10

11 All information, communications, records, documents and 3. 12 other materials that are related to the allegations in an ethics 13 complaint that are provided to another agency pursuant to this 14 section are confidential and are not public records pursuant to chapter 239 of NRS, unless those materials become publicly 15 16 available in a manner authorized by applicable state law. 17

Sec. 13. NRS 281A.030 is hereby amended to read as follows:

281A.030 As used in this chapter, unless the context otherwise 18 requires, the words and terms defined in NRS 281A.032 to 19 20 281A.170, inclusive, and sections 3 to 6, inclusive, of this act have 21 the meanings ascribed to them in those sections.

22 **Sec. 14.** NRS 281A.032 is hereby amended to read as follows: 23 281A.032 "Adjudicatory hearing" means a hearing held by the 24 Commission pursuant to NRS 281A.745 to receive evidence and 25 *render a decision* concerning an ethics complaint. [and render an

- 26 opinion in the matter.
- 27 **Sec. 15.** NRS 281A.033 is hereby amended to read as follows: 28 281A.033 "Advisory opinion" means an advisory opinion 29 **[rendered]** issued by the Commission pursuant to NRS 281A.670 to 30 281A.690, inclusive.

31 Sec. 16. NRS 281A.065 is hereby amended to read as follows:

32 281A.065 "Commitment in a private *capacity*," with respect to the interests of another person,] capacity" means a private 33 commitment, interest or relationship of a public officer or employee 34 35 to : [a person:]

36 [Who is the] The spouse or domestic partner of the public 1. 37 officer or employee;

38 2. [Who is a] A member of the household of the public officer 39 or employee;

[Who is related to] A relative of the public officer or 40 3. employee, or **to** the spouse or domestic partner of the public 41 42 officer or employee, by blood, adoption, marriage or domestic partnership within the third degree of consanguinity or affinity; 43

44 4. [Who employs] The employer of the public officer or 45 employee, the spouse or domestic partner of the public officer





1 or employee or a member of the household of the public officer or 2 employee;

3 5. [With] A person with whom the public officer or employee 4 has a substantial and continuing business relationship; or

5 6. [With] A person with whom the public officer or employee 6 has any other *private* commitment, interest or relationship that is substantially similar to a *private* commitment, interest or 7 8 relationship described in subsections 1 to 5, inclusive.

9 Sec. 17. NRS 281A.088 is hereby amended to read as follows: "Ethics complaint" means [a request for an opinion] 10 281A.088 an ethics complaint which is filed with the Commission or initiated 11 12 by the Commission on its own motion pursuant to NRS 281A.710 13 regarding the propriety of the conduct of a public officer or

14 employee under the statutory ethical standards. [set forth in this 15 chapter.]

16 Sec. 18. NRS 281A.135 is hereby amended to read as follows: 17 281A.135 1. "Opinion" means an opinion [rendered] issued 18 by the Commission in accordance with the provisions of this

19 chapter.

20 2. The term includes, without limitation, the disposition of an 21 ethics complaint by stipulation, agreed settlement, consent order or 22 default as authorized by NRS 233B.121.

23 **Sec. 19.** NRS 281A.161 is hereby amended to read as follows:

"Request for an advisory opinion" means a request 24 281A.161 25 for an advisory opinion which is filed with the Commission 26 pursuant to NRS 281A.675. [by a public officer or employee who 27 is:

28 1. Seeking guidance on matters which directly relate to the 29 propriety of his or her own past, present or future conduct as a 30 public officer or employee under the statutory ethical standards set

31 forth in this chapter; or

-2. Requesting relief pursuant to NRS 281A.410, 281A.430 or 32 281A.550.1 33

Sec. 20. NRS 281A.210 is hereby amended to read as follows: 34 35

281A.210 1. The Commission shall f:

36 (a) At] at its first meeting and annually thereafter elect a Chair 37 and Vice Chair from among its members.

38 (b) Meet

If the Chair is prohibited from acting on a particular 39 *2*. 40 matter or is otherwise unable to act on a particular matter, the Vice Chair shall exercise the powers and functions and perform 41 42 the duties of the Chair concerning that particular matter. If the 43 Chair and Vice Chair are prohibited from acting on a particular 44 matter or are otherwise unable to act on a particular matter, 45 another member of the Commission who is designated in





1 accordance with the regulations of the Commission shall exercise

2 the powers and functions and perform the duties of the Chair 3 concerning that particular matter.

3. The Commission shall meet regularly at least once in each
calendar quarter, unless there are no ethics complaints or requests
for advisory opinions pursuant to this chapter, and at other times
upon the call of the Chair.

8 [2.] 4. Members of the Commission are entitled to receive a 9 salary of not more than \$80 per day, as fixed by the Commission, 10 while engaged in the business of the Commission.

11 [3.] 5. While engaged in the business of the Commission, each 12 member and employee of the Commission is entitled to receive the 13 per diem allowance and travel expenses provided for state officers 14 and employees generally.

15 [4.] 6. The Commission may, within the limits of legislative 16 appropriation, maintain such facilities as are required to carry out its 17 functions.

Sec. 21. NRS 281A.220 is hereby amended to read as follows:

19 281A.220 1. The Chair shall appoint one or more review 20 panels of three members of the Commission on a rotating basis to 21 perform the functions assigned to such review panels pursuant to 22 this chapter.

23 2. The Chair and Vice Chair of the Commission may not serve 24 together on a review panel.

25 3. Not more than two members of a review panel may be 26 members of the same political party.

4. If a review panel determines that there is just and sufficient cause for the Commission to render *a decision and issue* an opinion in a matter, the members of the review panel shall not participate in any further proceedings of the Commission relating to that matter [.], except that:

(a) One or more members of the review panel may, with the
consent of the parties, participate as mediators or facilitators in
any settlement negotiations between the parties that are conducted
before an adjudicatory hearing in the matter.

36 (b) The members of the review panel may authorize the 37 development of or approve a deferral agreement pursuant to 38 NRS 281A.730.

39 Sec. 22. NRS 281A.230 is hereby amended to read as follows:

281A.230 1. The Commission shall appoint, within the limits
of legislative appropriation, an Executive Director who shall
perform the duties set forth in this chapter and such other duties as
may be prescribed by the Commission.



18



1 2. The Executive Director must *be an attorney who is licensed* 2 to practice law in this State and must have experience in 3 administration, investigations and law.

The Executive Director is in the unclassified service of the 4 3. 5 State.

6 4. The Executive Director shall devote the Executive Director's 7 entire time and attention to the business of the Commission and 8 shall not pursue any other business or occupation or hold any other 9 office of profit that detracts from the full and timely performance of the Executive Director's duties. 10

11

The Executive Director may not: 5.

12 (a) Be actively involved in the work of any political party or 13 political campaign; or

14 (b) Except in pursuit of the business of the Commission, 15 communicate directly or indirectly with a State Legislator or a 16 member of a local legislative body on behalf of someone other than 17 the Executive Director to influence:

18 (1) The State Legislator with regard to introducing or voting 19 upon any matter or taking other legislative action; or

20 (2) The member of the local legislative body with regard to 21 introducing or voting upon any ordinance or resolution, taking other 22 legislative action or voting upon:

23

24

(I) The appropriation of public money;

(II) The issuance of a license or permit; or

25 (III) Any proposed subdivision of land or special 26 exception or variance from zoning regulations. 27

Sec. 23. NRS 281A.240 is hereby amended to read as follows:

28 281A.240 1. In addition to any other duties imposed upon the 29 Executive Director, the Executive Director shall:

30 (a) Maintain complete and accurate records of all transactions 31 and proceedings of the Commission.

32 (b) Receive ethics complaints and requests for advisory opinions 33 pursuant to this chapter.

(c) Gather information and conduct investigations regarding 34 35 ethics complaints and requests for advisory opinions pursuant to this 36 chapter.

37 (d) [Submit] Present recommendations to the review panel regarding whether there is just and sufficient cause for the 38 39 Commission to render *a decision and issue* an opinion in a matter.

40 (e) Recommend to the Commission any regulations or legislation that the Executive Director considers desirable or 41 42 necessary to improve the operation of the Commission and maintain 43 high standards of ethical conduct in government.

44 (f) Upon the request of any public officer or the employer of a 45 public employee, conduct training on the requirements of this





1 chapter, the rules and regulations adopted by the Commission and 2 **[previous]** the published opinions of the Commission. In any such 3 training, the Executive Director shall emphasize that the Executive Director is not a member of the Commission and that only the 4 5 Commission may issue opinions concerning the application of the 6 statutory ethical standards to any given set of facts and circumstances. The Commission may charge a reasonable fee to 7 cover the costs of training provided by the Executive Director 8 9 pursuant to this paragraph.

10 (g) Perform such other duties, not inconsistent with law, as may 11 be required by the Commission.

2. The Executive Director shall, within the limits of legislative
appropriation, employ such persons as are necessary to carry out any
of the Executive Director's duties relating to:

15 (a) The administration of the affairs of the Commission; and

16 (b) The investigation of matters under the jurisdiction of the 17 Commission.

3. If the Executive Director is prohibited from acting on a particular matter or is otherwise unable to act on a particular matter, the Chair [of the Commission] shall designate a qualified person to perform the duties of the Executive Director with regard to that particular matter.

23 Sec. 24. NRS 281A.260 is hereby amended to read as follows:

24 281A.260 1. The Commission Counsel is the legal adviser to 25 the Commission. For each *written* opinion of the Commission, 26 the Commission Counsel shall prepare, at the direction of the 27 Commission [,] or as required pursuant to this chapter, the 28 appropriate findings of fact and conclusions as to the relevant 29 *statutory ethical* standards and the propriety of particular conduct. The Commission Counsel shall not issue written opinions 30 concerning the applicability of the statutory ethical standards to a 31 32 given set of facts and circumstances except as directed by the 33 Commission.

2. The Commission may rely upon the legal advice of the Commission Counsel in conducting its daily operations.

36 *Except as otherwise provided in this section or directed by* 3. 37 the Commission, in litigation concerning any judicial action or 38 proceeding in which the Commission or any member or employee 39 of the Commission is a party in an official capacity or participates 40 or intervenes in an official capacity, the Commission Counsel shall represent and act as legal counsel to the Commission or any 41 member or employee of the Commission in the action or 42 43 proceeding.





The provisions of subsection 3 do not apply to litigation 1 4. 2 concerning any judicial action or proceeding in which the 3 Commission:

(a) Requests that the Attorney General appoint a deputy to act 4 5 in the place of the Commission Counsel; or (b) Employs outside legal counsel.

6

7 The Commission Counsel shall not represent and act as 5. 8 legal counsel for the Executive Director in any judicial action or proceeding in which the Executive Director is named as a party 9 based upon conduct in the official capacity of the Executive 10 11 Director as a party to an adjudicatory proceeding.

12 If the Commission Counsel is prohibited from acting on a 6. 13 particular matter or is otherwise unable to act on a particular matter, 14 the Commission may:

15 (a) Request that the Attorney General appoint a deputy to act in 16 the place of the Commission Counsel; or

- 17 (b) Employ outside legal counsel.
- 18 **Sec. 25.** NRS 281A.280 is hereby amended to read as follows:

19 281A.280 1. Except as otherwise provided in this section, the 20 Commission has jurisdiction to **[investigate]**:

21 (a) Gather information and issue an advisory opinion in any 22 proceeding commenced by a request for an advisory opinion that 23 is filed with the Commission, except that the Commission does not 24 have jurisdiction to issue an advisory opinion on matters which 25 directly relate to the propriety of past conduct occurring more than 26 2 years before the date on which the request for an advisory 27 opinion is filed with the Commission.

28 (b) *Investigate* and take appropriate action regarding an alleged 29 violation of this chapter by a **[public officer or employee]** current or 30 former public officer or employee in any proceeding commenced by an ethics complaint, which is filed with the Commission or initiated 31 32 by the Commission on its own motion, within 2 years after the 33 alleged violation or reasonable discovery of the alleged violation.

34 (c) Investigate and take appropriate action regarding an 35 alleged violation of subsection 3 of NRS 281A.790 by a current or former public officer or employee or any other person in any 36 37 proceeding commenced by a written notice of the charges, which is initiated by the Commission on its own motion, within 2 years 38 after the alleged violation or reasonable discovery of the alleged 39 40 violation.

The Commission does not have jurisdiction regarding 41 2. 42 alleged conduct by a **[public officer or employee]** current or former 43 public officer or employee for which:

44 (a) A complaint may be filed or, if the applicable limitations 45 period has expired, could have been filed with the United States





Equal Employment Opportunity Commission or the Nevada Equal
 Rights Commission; or

3 (b) A complaint or employment-related grievance may be filed 4 or, if the applicable limitations period has expired, could have been 5 filed with another appropriate agency with jurisdiction to redress 6 alleged discrimination or harassment, including, without limitation, 7 a state or local employee-management relations board or similar 8 state or local agency,

9 \rightarrow but any bar on the Commission's jurisdiction imposed by this 10 subsection applies only to the extent that it pertains to the alleged 11 discrimination or harassment, and this subsection does not deprive 12 the Commission of jurisdiction regarding the alleged conduct if such 13 conduct is sanctionable separately or concurrently under the 14 provisions of this chapter, irrespective of the alleged discrimination 15 or harassment.

3. For the purposes of this section, a proceeding is commenced *i* by an ethics complaint:

18 (a) On the date on which <u>[an]</u> *the* ethics complaint is filed in the 19 proper form with the Commission in accordance with the 20 regulations of the Commission; or

(b) If the ethics complaint is initiated by the Commission on its
own motion, on the date on which the Commission serves the
[public officer or employee] current or former public officer or
employee with a written notice of the investigation of the ethics
complaint in accordance with the regulations of the Commission.

Sec. 26. NRS 281A.290 is hereby amended to read as follows:
27 281A.290 The Commission shall:

Adopt procedural regulations that are necessary and proper
 to carry out the provisions of this chapter, including, without
 limitation:

31 (a) To facilitate the receipt of inquiries by the Commission;

32 (b) For the filing of an ethics complaint or a request for an 33 advisory opinion with the Commission;

(c) For the withdrawal of an ethics complaint or a request for an
 advisory opinion by the person who filed the ethics complaint or
 request;

37 (d) To facilitate the prompt rendition of decisions and the
38 issuance of opinions by the Commission; and

(e) For proceedings concerning an ethics complaint, to facilitate
written discovery requests submitted pursuant to NRS 281A.750
and 281A.755 and the disclosure of evidence in the manner required
by those sections, including, without limitation, the disclosure of
evidence obtained by or on behalf of the Executive Director during
the course of the investigation that affirmatively and substantively
disproves any alleged violation of this chapter that is related to the





ethics complaint and has been referred to the Commission for an
 adjudicatory hearing.

2. Prescribe, by regulation, forms and procedures for the
submission of [statements of acknowledgment] acknowledgments
of the statutory ethical standards filed by public officers pursuant
to NRS 281A.500, maintain files of such [statements]
acknowledgments and make the [statements] acknowledgments
available for public inspection.

9 3. Cause the making of such investigations as are reasonable 10 and necessary for the rendition *of decisions and the issuance* of 11 [its] opinions pursuant to this chapter.

4. Inform the Attorney General or district attorney of all casesof noncompliance with the requirements of this chapter.

5. Recommend to the Legislature such further legislation as the Commission considers desirable or necessary to promote and maintain high standards of ethical conduct in government.

17 6. Publish [a manual] *materials* for the use of public officers 18 and employees that [explains] *explain* the requirements of this 19 chapter.

20 [- The Legislative Counsel shall prepare annotations to this chapter

21 for inclusion in the Nevada Revised Statutes based on the published 22 opinions of the Commission.]

23 Sec. 27. NRS 281A.300 is hereby amended to read as follows:

24 281A.300 1. The Chair [and Vice Chair] or a member of the 25 Commission appointed by the Chair to preside over any meetings, 26 hearings and proceedings may administer oaths [.] or direct a 27 certified court reporter or other authorized person to administer 28 oaths.

29 2. The Commission, upon majority vote, may issue a subpoena
30 to compel the attendance of a witness and the production of any
31 books and papers for any hearing before the Commission.

32 [Upon] Except as otherwise provided in this subsection, 3. 33 *upon* the request of the Executive Director, the Chair for, in the Chair's absence, the Vice Chair, may issue a subpoena *during the* 34 35 *course of any investigation* to compel the participation of a potential witness and the production of any books and papers 36 37 [during the course of any investigation.], including, without limitation, information, records and documentation regarding 38 personnel records maintained by an agency concerning the 39 conduct of a public officer or 40 employee, including. notwithstanding any other provision of law to the contrary, 41 42 records otherwise deemed by law to be confidential, that relate to issues under consideration in an ethics complaint. A request by 43 44 the Executive Director for a subpoena pursuant to this subsection 45 may not include a request for records related to a concurrent,





pending criminal investigation where such records are otherwise
 protected as confidential.

3 Upon the request of the Executive Director or the public 4. 4 officer or employee who is the subject of an ethics complaint, the 5 Chair for, in the Chair's absence, the Vice Chair, may issue a 6 subpoena to compel the attendance of a witness and the production 7 of any books and papers for any hearing before the Commission. A 8 public officer or employee who requests the issuance of a subpoena 9 pursuant to this subsection must serve the subpoena in the manner provided in the Nevada Rules of Civil Procedure for service of 10 11 subpoenas in a civil action and must pay the costs of such service.

5. Before **[issuing]** *the Chair issues* a subpoena *directed* to **[a]** *the* public officer or employee who is the subject of an ethics complaint to compel his or her participation in any investigation, his or her attendance as a witness or his or her production of any books and papers, the Executive Director shall submit a written request to the public officer or employee requesting:

(a) The voluntary participation of the public officer or employeein the investigation;

20 (b) The voluntary attendance of the public officer or employee 21 as a witness; or

(c) The voluntary production by the public officer or employeeof any books and papers relating to the ethics complaint.

6. Each written request submitted by the Executive Director pursuant to subsection 5 must specify the time and place for the voluntary participation of the public officer or employee in the investigation, attendance of the public officer or employee as a witness or production of any books and papers, and designate with certainty the books and papers requested, if any.

30 7. If the public officer or employee fails or refuses to respond 31 to the Executive Director's written request pursuant to subsection 5 32 to voluntarily participate or attend at the time and place specified or 33 produce the books and papers requested by the Executive Director 34 within 5 business days after receipt of the written request, the Chair 35 [or, in the Chair's absence, the Vice Chair,] may issue the subpoena. 36 Failure of the public officer or employee to comply with the written 37 request of the Executive Director shall be deemed a waiver by the 38 public officer or employee of the time limits set forth in NRS 281A.700 to 281A.790, inclusive, and section 12 of this act, that 39 40 apply to proceedings concerning the ethics complaint.

8. If any witness fails or refuses to participate, attend, testify or
produce any books and papers as required by the subpoena, the
Chair [or, in the Chair's absence, the Vice Chair,] may report to the
district court by petition, setting forth that:



1 (a) Due notice has been given of the time and place of the 2 participation or attendance of the witness or the production of the 3 books and papers;

4 (b) The witness has been subpoenaed pursuant to this section; 5 and

6 (c) The witness has failed or refused to participate, attend, 7 testify or produce the books and papers as required by the subpoena, 8 or has failed or refused to answer questions propounded to the 9 witness,

10 \rightarrow and asking for an order of the court compelling the witness to 11 participate, attend, testify or produce the books and papers as 12 required by the subpoena.

9. Upon such a petition, the court shall enter an order directing the witness to appear before the court at a time and place to be fixed by the court in its order, the time to be not more than 10 days after the date of the order, and then and there show cause why the witness has not participated, attended, testified or produced the books or papers as required by the subpoena. A certified copy of the order must be served upon the witness.

20 If *[it appears to]*, *at the hearing to show cause*, the court 10. 21 *finds* that the subpoena was regularly issued pursuant to this section 22 f and that the witness has not proven a reason recognized by law for the failure to comply with its provisions, the court shall enter an 23 order that the witness comply with the subpoena, at the time and 24 place fixed in the order, and participate, attend, testify or produce 25 26 the required books and papers. Upon failure to obey the order, the 27 witness must be dealt with as for contempt of court.

11. Any court proceeding commenced pursuant to this section
is deemed good cause for the Commission to grant an extension of
the time limits set forth in NRS 281A.700 to 281A.790, inclusive,
and section 12 of this act, that apply to proceedings concerning the
ethics complaint.

33 Sec. 28. NRS 281A.350 is hereby amended to read as follows:

281A.350 1. Any state agency or the governing body of a
county or an incorporated city may establish a specialized or local
ethics committee to complement the functions of the Commission.
A specialized or local ethics committee may:

(a) Establish a code of ethical standards suitable for the
particular ethical problems encountered in its sphere of activity. The
standards may not be less restrictive than the statutory ethical
standards.

42 (b) Render *a decision and issue* an opinion upon the request of 43 any public officer or employee of its own organization or level 44 seeking an interpretation of its *code of* ethical standards on 45 questions directly related to the propriety of the public officer's or





3 request requires the Commission to interpret the statutory ethical standards and apply those standards to the given set of facts and 4 5 circumstances. If the request is referred to the Commission, it 6 shall be deemed to be a request for an advisory opinion filed by the public officer or employee with the Commission pursuant to NRS 7 281A.675. Any public officer or employee subject to the jurisdiction 8 9 of the committee shall direct the public officer's or employee's **[inquiry]** request to that committee *first* instead of the Commission. 10 [(c) Require the filing of financial disclosure statements by 11 12 public officers on forms prescribed by the committee or the city 13 clerk if the form has been: 14 (1) Submitted, at least 60 days before its anticipated distribution, to the Secretary of State for review: and 15 16 (2) Upon review, approved by the Secretary of State. The 17 Secretary of State shall not approve the form unless the form 18 contains all the information required to be included in a financial 19 disclosure statement pursuant to NRS 281.571.]

20 2. [The Secretary of State is not responsible for the costs of
 21 producing or distributing a form for filing a financial disclosure
 22 statement pursuant to the provisions of subsection 1.

A specialized or local ethics committee shall not attempt to interpret *the statutory ethical standards* or render *a decision and issue* an opinion regarding the statutory ethical standards.

26 [4.] 3. Each request for an opinion submitted by a public 27 officer or employee to a specialized or local ethics committee, each 28 hearing held by the committee to obtain information on which to 29 [base] render a decision and issue an opinion, all deliberations by 30 the committee relating to [an] the decision and opinion, each 31 **[opinion]** decision rendered and opinion issued by **[a]** the 32 committee and any motion relating to the *decision and* opinion are 33 confidential unless:

34 (a) The [public officer or employee] requester acts in
 35 contravention of the *decision or* opinion; or

36 (b) The requester discloses the **[content]** contents of the 37 decision or opinion.

38 Sec. 29. NRS 281A.400 is hereby amended to read as follows:

281A.400 [A code of ethical standards is hereby established to
 govern the conduct of public officers and employees:]

41 1. A public officer or employee shall not seek or accept any 42 gift, service, favor, employment, engagement, emolument or 43 economic opportunity, for the public officer or employee or any 44 person to whom the public officer or employee has a commitment in 45 a private capacity, which would tend improperly to influence a



1 2



employee's own future official conduct [or], but the committee

may refer the request to the Commission \square *if the response to the*

reasonable person in the public officer's or employee's position to
 depart from the faithful and impartial discharge of the public
 officer's or employee's public duties.

4 2. A public officer or employee shall not use the public 5 officer's or employee's position in government to secure or grant 6 unwarranted privileges, preferences, exemptions or advantages for 7 the public officer or employee, any business entity in which the public officer or employee has a significant pecuniary interest or 8 any person to whom the public officer or employee has a 9 commitment in a private capacity. As used in this subsection, 10 11 "unwarranted" means without justification or adequate reason.

3. A public officer or employee shall not participate as an agent of government in the negotiation or execution of a contract between the government and the public officer or employee, any business entity in which the public officer or employee has a significant pecuniary interest or any person to whom the public officer or employee has a commitment in a private capacity.

4. A public officer or employee shall not accept any salary, retainer, augmentation, expense allowance or other compensation from any private source, for the public officer or employee or any person to whom the public officer or employee has a commitment in a private capacity, for the performance of the public officer's or employee's duties as a public officer or employee.

5. If a public officer or employee acquires, through the public officer's or employee's public duties or relationships, any information which by law or practice is not at the time available to people generally, the public officer or employee shall not use the information to further a significant pecuniary interest of the public officer or employee or any other person or business entity.

6. A public officer or employee shall not suppress any
governmental report or other official document because it might
tend to affect unfavorably a significant pecuniary interest of the
public officer or employee or any person to whom the public officer
or employee has a commitment in a private capacity.

7. Except for State Legislators who are subject to the restrictions set forth in subsection 8, a public officer or employee shall not use governmental time, property, equipment or other facility to benefit a significant personal or pecuniary interest of the public officer or employee or any person to whom the public officer or employee has a commitment in a private capacity. This subsection does not prohibit:

42 (a) A limited use of governmental property, equipment or other43 facility for personal purposes if:

44 (1) [The] At the time that the use occurs, the use is:





(I) Authorized by a written policy which was adopted
 before the use occurs by the public officer or employee who is
 responsible for and has authority to authorize the use of such
 property, equipment or other facility [has established a policy
 allowing the use or the use is necessary]; or
 (II) Necessary as a result of emergency circumstances [;]

7 , whether or not the use is authorized by such a written policy;

8 (2) The use does not interfere with the performance of the 9 public officer's or employee's public duties;

10 11 (3) The cost or value related to the use is nominal; and

(4) The use does not create the appearance of impropriety;

(b) The use of mailing lists, computer data or other information
 lawfully obtained from a governmental agency which is available to
 members of the general public for nongovernmental purposes; or

15 (c) The use of telephones or other means of communication if 16 there is not a special charge for that use.

17 \rightarrow If a governmental agency incurs a cost as a result of a use that is authorized pursuant to this subsection or would ordinarily charge a 19 member of the general public for the use, the public officer or 20 employee shall promptly reimburse the cost or pay the charge to the 21 governmental agency.

22

8. A State Legislator shall not:

(a) Use governmental time, property, equipment or other facility
[for a nongovernmental purpose or for the private] to benefit a
significant personal or pecuniary interest of the State Legislator or
any [other] person [.] to whom the State Legislator has a
commitment in a private capacity. This paragraph does not prohibit:

(1) A limited use of [state] governmental property [and
 resources], equipment or other facility for personal purposes if:

30 (I) The use does not interfere with the performance of the 31 State Legislator's public duties;

32

(II) The cost or value related to the use is nominal; and

33 (III) The use does not create the appearance of 34 impropriety;

(2) The use of mailing lists, computer data or other
information lawfully obtained from a governmental agency which is
available to members of the general public for nongovernmental
purposes; or

39 (3) The use of telephones or other means of communication40 if there is not a special charge for that use.

(b) Require or authorize a legislative employee, while on duty,to perform personal services or assist in a private activity, except:

43 (1) In unusual and infrequent situations where the *legislative* 44 employee's service is reasonably necessary to permit the State





1 Legislator or legislative employee to perform that person's official 2 duties: or

3 (2) Where such service has otherwise been established as 4 legislative policy.

5 A public officer or employee shall not attempt to benefit a 9. 6 significant personal or pecuniary interest of the public officer or 7 employee or any person to whom the public officer or employee has 8 a commitment in a private capacity through the influence of a 9 subordinate.

10. A public officer or employee shall not seek other 10 employment or contracts for the public officer or employee or any 11 12 person to whom the public officer or employee has a commitment in 13 a private capacity through the use of the public officer's or 14 employee's official position.

15 11. As used in this section, "appearance of impropriety" means a reasonable person would find, based on the given set of 16 17 facts and circumstances, that a public officer's or employee's 18 limited use of governmental property, equipment or other facility 19 for personal purposes is inappropriate, disproportionate, excessive 20 or unreasonable under that given set of facts and circumstances.

Sec. 30. NRS 281A.410 is hereby amended to read as follows:

21 22 281A.410 [In addition to the requirements of the code of 23 ethical standards and the other provisions of this chapter:]

24 If a public officer or employee serves in a state agency of the 25 Executive Department or an agency of any county, city or other 26 political subdivision, the public officer or employee:

27 (a) Shall not accept compensation from any private person to 28 represent or counsel the private person on any issue pending before 29 the agency in which that public officer or employee serves, if the 30 agency makes decisions; and

31 (b) If the public officer or employee leaves the service of the 32 agency, shall not, for 1 year after leaving the service of the agency, 33 represent or counsel for compensation a private person upon any 34 issue which was under consideration by the agency during the 35 public officer's or employee's service. As used in this paragraph, "issue" includes a case, proceeding, application, contract or 36 37 determination, but does not include the proposal or consideration of 38 legislative measures or administrative regulations.

Except as otherwise provided in subsection 3, a State 39 2. 40 Legislator or a member of a local legislative body, or a public officer or employee whose public service requires less than half of 41 42 his or her time, may represent or counsel a private person before an 43 agency in which he or she does not serve.

44 A member of a local legislative body shall not represent or 3. 45 counsel a private person for compensation before another local





agency if the territorial jurisdiction of the other local agency
 includes any part of the county in which the member serves. The
 Commission may relieve the member from the strict application of
 the provisions of this subsection if:

(a) The member files a request for an advisory opinion from the
 Commission pursuant to NRS 281A.675; and

7 (b) The Commission determines that such relief is not contrary 8 to:

9

(1) The best interests of the public;

10 (2) The continued ethical integrity of each local agency 11 affected by the matter; and

12

22

(3) The provisions of this chapter.

4. For the purposes of subsection 3, the request for an advisory opinion, *the decision rendered*, the advisory opinion and all meetings, hearings and proceedings of the Commission in such a matter are governed by the provisions of NRS 281A.670 to 281A.690, inclusive.

18 5. Unless permitted by this section, a public officer or 19 employee shall not represent or counsel a private person for 20 compensation before any state agency of the Executive or 21 Legislative Department.

Sec. 31. NRS 281A.420 is hereby amended to read as follows:

23 281A.420 1. Except as otherwise provided in this section, a
24 public officer or employee shall not approve, disapprove, vote,
25 abstain from voting or otherwise act upon a matter:

(a) Regarding which the public officer or employee has accepteda gift or loan;

(b) In which the public officer or employee has a significant
 pecuniary interest;

30 (c) Which would reasonably be affected by the public officer's 31 or employee's commitment in a private capacity to the interests of 32 another person; or

(d) Which would reasonably be related to the nature of any
representation or counseling that the public officer or employee
provided to a private person for compensation before another
agency within the immediately preceding year, provided such
representation or counseling is permitted by NRS 281A.410,

38 → without disclosing information concerning the gift or loan, the 39 significant pecuniary interest, the commitment in a private capacity 40 to the interests of the other person or the nature of the representation 41 or counseling of the private person that is sufficient to inform the 42 public of the potential effect of the action or abstention upon the 43 person who provided the gift or loan, upon the public officer's or 44 employee's significant pecuniary interest, upon the person to whom the public officer or employee has a commitment in a private 45





1 capacity or upon the private person who was represented or 2 counseled by the public officer or employee. Such a disclosure must be made at the time the matter is considered. If the public officer or 3 4 employee is a member of a body which makes decisions, the public 5 officer or employee shall make the disclosure in public to the chair 6 and other members of the body. If the public officer or employee is 7 not a member of such a body and holds an appointive office, the 8 public officer or employee shall make the disclosure to the 9 supervisory head of the public officer's or employee's organization or, if the public officer holds an elective office, to the general public 10 11 in the area from which the public officer is elected.

12

The provisions of subsection 1 do not require [a]: 2.

13

(a) A public officer to disclose: [(a)] (1) Any campaign contributions that the public officer 14 15 reported in a timely manner pursuant to NRS 294A.120 or 16 294A.125; or

17 (b) (2) Any contributions to a legal defense fund that 18 the public officer reported in a timely manner pursuant to 19 NRS 294A.286.

20 (b) A public officer or employee to disclose any information 21 which is confidential as a result of a bona fide relationship that 22 protects the confidentiality of the information under the terms of a 23 contract or as a matter of law, including, without limitation, the 24 attorney-client relationship, if the public officer or employee:

25 (1) In the disclosure made pursuant to subsection 1, 26 discloses all nonconfidential information that is required to be 27 disclosed and describes the general nature of the relationship that 28 protects the confidential information from being disclosed; and

29 (2) Abstains from advocating the passage or failure of and 30 from approving, disapproving, voting or otherwise acting upon the matter, regardless of whether the public officer or employee would 31 32 be required to abstain pursuant to subsection 3.

33 Except as otherwise provided in this section, in addition to 34 the requirements of subsection 1, a public officer shall not vote upon 35 or advocate the passage or failure of, but may otherwise participate in the consideration of, a matter with respect to which the 36 37 independence of judgment of a reasonable person in the public 38 officer's situation would be materially affected by:

39 (a) The public officer's acceptance of a gift or loan;

40 (b) The public officer's significant pecuniary interest; [or]

41 (c) The public officer's commitment in a private capacity to the 42 interests of another person []; or

(d) The public officer's representation or counseling of a 43 44 private person for compensation before another agency within the





1 immediately preceding year, provided such representation or 2 counseling is permitted by NRS 281A.410.

3

4. In interpreting and applying the provisions of subsection 3:

4 (a) It must be presumed that the independence of judgment of a 5 reasonable person in the public officer's situation would not be materially affected by the public officer's acceptance of a gift or 6 loan, significant pecuniary interest, for commitment in a private 7 capacity to the interests of another person or representation or 8 9 counseling of a private person for compensation as permitted by **NRS 281A.410** where the resulting benefit or detriment accruing to 10 the public officer, or if the public officer has a commitment in a 11 12 private capacity to the interests of another person [] or has 13 represented or counseled a private person for compensation as 14 *permitted by NRS 281A.410*, accruing to the other person, is not 15 greater than that accruing to any other member of any general 16 business, profession, occupation or group that is affected by the 17 matter. The presumption set forth in this paragraph does not affect 18 the applicability of the requirements set forth in subsection 1 19 relating to the duty of the public officer to make a proper disclosure at the time the matter is considered and in the manner required by 20 21 subsection 1.

22 (b) The Commission must give appropriate weight and proper 23 deference to the public policy of this State which favors the right of 24 a public officer to perform the duties for which the public officer 25 was elected or appointed and to vote or otherwise act upon a matter, 26 provided the public officer makes a proper disclosure at the time the 27 matter is considered and in the manner required by subsection 1. 28 Because abstention by a public officer disrupts the normal course of 29 representative government and deprives the public and the public 30 officer's constituents of a voice in governmental affairs, the 31 provisions of this section are intended to require abstention only in 32 clear cases where the independence of judgment of a reasonable 33 person in the public officer's situation would be materially affected 34 by the public officer's acceptance of a gift or loan, significant 35 pecuniary interest, [or] commitment in a private capacity to the 36 interests of another person \square or representation or counseling of a 37 private person for compensation as permitted by NRS 281A.410.

5. Except as otherwise provided in NRS 241.0355, if a public officer declares to the body or committee in which the vote is to be taken that the public officer will abstain from voting because of the requirements of this section, the necessary quorum to act upon and the number of votes necessary to act upon the matter, as fixed by any statute, ordinance or rule, is reduced as though the member abstaining were not a member of the body or committee.





1 6. The provisions of this section do not, under any 2 circumstances:

3 (a) Prohibit a member of a local legislative body from 4 requesting or introducing a legislative measure; or

5 (b) Require a member of a local legislative body to take any 6 particular action before or while requesting or introducing a 7 legislative measure.

8 The provisions of this section do not, under any 7. 9 circumstances, apply to State Legislators or allow the Commission to exercise jurisdiction or authority over State Legislators. The 10 responsibility of a State Legislator to make disclosures concerning 11 [gifts, loans, interests or commitments] a matter and the 12 13 responsibility of a State Legislator to abstain from voting upon or advocating the passage or failure of a matter are governed by the 14 Standing Rules of the Legislative Department of the State 15 16 Government which are adopted, administered and enforced exclusively by the appropriate bodies of the Legislative Department 17 18 of *the* State Government pursuant to Section 6 of Article 4 of the 19 Nevada Constitution.

20 8. As used in this section, "public officer" and "public 21 employee" do not include a State Legislator.

22 Sec. 32. NRS 281A.500 is hereby amended to read as follows:

23 281A.500 1. On or before the date on which a public officer 24 swears or affirms the oath of office, the public officer must be 25 informed of the statutory ethical standards and the duty to file an 26 acknowledgment of the statutory ethical standards in accordance 27 with this section by:

(a) For an appointed public officer, the appointing authority ofthe public officer; and

(b) For an elected public officer of:

31 (1) The county and other political subdivisions within the 32 county except cities, the county clerk;

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39

30

(2) The city, the city clerk; (3) The Legislative Depart

(3) The Legislative Department of the State Government, the
 Director of the Legislative Counsel Bureau; and

36 (4) The Executive Department of the State Government, the
37 Director of the Department of Administration, or his or her
38 designee.

2. Within 30 days after a public employee begins employment:

40 (a) The Director of the Department of Administration, or his or 41 her designee, shall provide each new public employee of a state 42 agency with the information prepared by the Commission 43 concerning the statutory ethical standards; and

(b) The manager of each local agency, or his or her designee,shall provide each new public employee of the local agency with the





information prepared by the Commission concerning the statutory
 ethical standards.

3 3. Each public officer shall acknowledge that the public 4 officer:

5 (a) Has received, read and understands the statutory ethical 6 standards; and

7 (b) Has a responsibility to inform himself or herself of any
8 amendments to the statutory ethical standards as soon as reasonably
9 practicable after each session of the Legislature.

10 4. The acknowledgment must be executed on a form prescribed 11 by the Commission and must be filed with the Commission:

12 (a) If the public officer is elected to office at the general 13 election, on or before January 15 of the year following the public 14 officer's election.

(b) If the public officer is elected to office at an election other
than the general election or is appointed to office, on or before the
30th day following the date on which the public officer swears or
affirms the oath of office.

19 Except as otherwise provided in this subsection, a public 5. 20 officer shall execute and file the acknowledgment once for each 21 term of office. If the public officer serves at the pleasure of the 22 appointing authority and does not have a definite term of office. 23 the public officer, in addition to executing and filing the 24 acknowledgment after the public officer swears or affirms the oath 25 of office in accordance with subsection 4, shall execute and file the 26 acknowledgment on or before January 15 of each even-numbered 27 year while the public officer holds that office.

6. For the purposes of this section, the acknowledgment is timely filed if, on or before the last day for filing, the acknowledgment is filed in one of the following ways:

31 (a) Delivered in person to the principal office of the 32 Commission in Carson City.

(b) Mailed to the Commission by first-class mail, or other class
of mail that is at least as expeditious, postage prepaid. Filing by mail
is complete upon timely depositing the acknowledgment with the
United States Postal Service.

(c) Dispatched to a third-party commercial carrier for delivery to
the Commission within 3 calendar days. Filing by third-party
commercial carrier is complete upon timely depositing the
acknowledgment with the third-party commercial carrier.

(d) Transmitted to the Commission by facsimile machine or
other electronic means authorized by the Commission. Filing by
facsimile machine or other electronic means is complete upon
receipt of the transmission by the Commission.





- 33 -

1 7. If a public officer is serving in a public office and executes 2 and files the acknowledgment for that office as required by the 3 applicable provisions of this section, the public officer shall be 4 deemed to have satisfied the requirements of this section for any 5 other office held concurrently by him or her.

6

8. The form for making the acknowledgment must contain:

7 (a) The address of the Internet website of the Commission where 8 a public officer may view the statutory ethical standards and print a 9 copy of the standards; and

10 (b) The telephone number and mailing address of the 11 Commission where a public officer may make a request to obtain a 12 printed copy of the statutory ethical standards from the Commission.

9. Whenever the Commission, or any public officer or
employee as part of the public officer's or employee's official
duties, provides a public officer with a printed copy of the form for
making the acknowledgment, a printed copy of the statutory ethical
standards must be included with the form.

18 10. The Commission shall retain each acknowledgment filed 19 pursuant to this section for 6 years after the date on which the 20 acknowledgment was filed.

11. [Willful refusal] *A public officer who refuses* to execute and file the acknowledgment required by this section shall be deemed to [be:

24 (a) A willful] *have committed a* violation of this chapter for the 25 purposes of NRS 281A.785 and 281A.790. [; and

26 (b) Nonfeasance in office for the purposes of NRS 283.440 and,

27 if the public officer is removable from office pursuant to NRS

28 283.440, the Commission may file a complaint in the appropriate

29 court for removal of the public officer pursuant to that section. This

30 paragraph grants an exclusive right to the Commission, and no other

31 person may file a complaint against the public officer pursuant to
 32 NRS 283.440 based on any violation of this section.]

As used in this section, "general election" has the meaning
ascribed to it in NRS 293.060.

35 Sec. 33. NRS 281A.550 is hereby amended to read as follows:

36 281A.550 1. A former member of the Public Utilities
37 Commission of Nevada shall not:

(a) Be employed by a public utility or parent organization orsubsidiary of a public utility; or

40 (b) Appear before the Public Utilities Commission of Nevada to 41 testify on behalf of a public utility or parent organization or 42 subsidiary of a public utility,

43 → for 1 year after the termination of the member's service on the
44 Public Utilities Commission of Nevada.





1 2. A former member of the Nevada Gaming Control Board or 2 the Nevada Gaming Commission shall not:

3 (a) Appear before the Nevada Gaming Control Board or the
4 Nevada Gaming Commission on behalf of a person who holds a
5 license issued pursuant to chapter 463 or 464 of NRS or who is
6 required to register with the Nevada Gaming Commission pursuant
7 to chapter 463 of NRS; or

8 (b) Be employed by such a person,

9 → for 1 year after the termination of the member's service on the
 10 Nevada Gaming Control Board or the Nevada Gaming Commission.

In addition to the prohibitions set forth in subsections 1 and 11 3. 12 2, and except as otherwise provided in subsections 4 and 6, a 13 *current or* former public officer or *management-level public* 14 employee of a board, commission, department, division or other 15 agency of the Executive Department of *the* State Government 16 except a clerical employee,] shall not solicit or accept employment 17 from a business or industry whose activities are governed by regulations adopted or administered by the board, commission, 18 19 department, division or other agency, as applicable, during the public officer's or employee's period of public service or 20 21 *employment or* for 1 year after the termination of [the former public 22 officer's or employee's his or her period of public service or 23 [period of] employment if:

(a) The [former] public officer's or employee's principal duties
 include or included the formulation of policy contained in the
 regulations governing the business or industry;

(b) [During] Within the immediately preceding year, [the 27 28 former during the public officer's or employee's period of public 29 service or employment or within the year immediately preceding the termination of the public officer's or employee's period of 30 31 *public service or employment, the* public officer or employee 32 directly performed activities, or controlled or influenced an audit, 33 decision, investigation or other action, which significantly affected 34 the business or industry; [which might, but for this section, employ 35 the former public officer or employee;] or

(c) As a result of the [former] public officer's or employee's
governmental service or employment, the [former] public officer or
employee possesses knowledge of the trade secrets of a direct
business competitor.

40 4. The provisions of subsection 3 do not apply to a *current or* 41 former [public officer who was a] member of a board, commission 42 or similar body of the State if:

(a) The [former public officer] member is engaged in the
 profession, occupation or business regulated by the board,
 commission or similar body;





1 (b) The [former public officer] *member* holds a license issued 2 by the board, commission or similar body; and

3 (c) Holding a license issued by the board, commission or similar 4 body is a requirement for membership on the board, commission or 5 similar body.

6 Except as otherwise provided in subsection 6, a *current or* 5. 7 former public officer or employee of the State or a political subdivision, except a clerical employee, shall not solicit or accept 8 9 employment from a person to whom a contract for supplies, materials, equipment or services was awarded by the State or 10 political subdivision, as applicable, or was implemented, managed 11 12 or administered by the State or political subdivision, as applicable, 13 during the public officer's or employee's period of public service 14 or employment or for 1 year after the termination of [the officer's or 15 employee's] his or her period of public service or [period of] 16 employment, if: 17

(a) The amount of the contract exceeded \$25,000;

18 (b) The contract was awarded or was implemented, managed or 19 administered by the State or political subdivision, as applicable, within the immediately preceding year during the public officer's 20 21 or employee's period of public service or employment or within the 22 [12-month period] year immediately preceding the termination of 23 the *public* officer's or employee's *period of public* service or 24 [period of] employment; and

25 (c) The position held by the **[former]** public officer or employee 26 at the time the contract was awarded or while it was implemented, managed or administered by the State or political subdivision, as 27 28 *applicable*, allowed the *former* public officer or employee to 29 *materially* affect or influence the awarding of the contract H or its30 implementation, management or administration.

31 6. A current or former public officer or employee may file a 32 request for an advisory opinion pursuant to NRS 281A.675 33 concerning the application of the relevant facts in that person's case to the provisions of subsection 3 or 5, as applicable, and the 34 35 *Commission may* determine whether relief from the strict 36 application of those provisions is proper. For the purposes of 37 submitting all necessary information for the Commission to render 38 a decision and issue an advisory opinion in the matter, a current or former public officer or employee may request information 39 40 concerning potential employment from any business, industry or 41 other person without violating the provisions of subsection 3 or 5, 42 as applicable. If the Commission determines that relief from the 43 strict application of the provisions of subsection 3 or 5, as 44 applicable, is not contrary to:

45 (a) The best interests of the public;





1 (b) The continued ethical integrity of the State Government or 2 political subdivision, as applicable; and

(c) The provisions of this chapter,

3

4 \rightarrow it may issue an advisory opinion to that effect and grant such 5 relief.

6 7. For the purposes of subsection 6, the request for an advisory 7 opinion, *the decision rendered*, the advisory opinion and all 8 meetings, hearings and proceedings of the Commission in such a 9 matter are governed by the provisions of NRS 281A.670 to 10 281A.690, inclusive.

11 8. The advisory opinion does not relieve the current or former 12 public officer or employee from the strict application of any 13 provision of NRS 281A.410.

14 9. [For] *Except as otherwise provided in subsection 6, for* the 15 purposes of this section:

16 (a) A former member of the Public Utilities Commission of
17 Nevada, the Nevada Gaming Control Board or the Nevada Gaming
18 Commission; or

(b) Any other *current or* former public officer or employeegoverned by this section,

→ is employed by or is soliciting or accepting employment from a 21 22 business, industry or other person described in this section if any 23 oral or written agreement is sought, negotiated or exists during the 24 restricted period pursuant to which the personal services of 25 the public officer or employee are provided or will be provided to 26 the business, industry or other person, even if such an agreement does not or will not become effective until after the restricted 27 28 period.

10. As used in this section, "regulation" has the meaning ascribed to it in NRS 233B.038 and also includes regulations adopted *or administered* by a board, commission, department, division or other agency of the Executive Department of *the* State Government that is exempted from the requirements of chapter 233B of NRS.

35 Sec. 34. NRS 281A.665 is hereby amended to read as follows:

281A.665 1. The Legislative Counsel shall prepare
annotations to this chapter for inclusion in the Nevada Revised
Statutes based on the published opinions of the Commission.

39 **2.** The [Commission's] opinions of the Commission may 40 include guidance to a public officer or employee on questions 41 whether:

42 [1.] (*a*) A conflict exists between the public officer's or 43 employee's personal interest and the public officer's or employee's 44 official [duty.] duties.





1 [2.] (b) The public officer's or employee's official duties 2 involve the use of discretionary judgment whose exercise in the 3 particular matter would have a significant effect upon the 4 disposition of the matter.

5 [3.] (c) The conflict would materially affect the independence 6 of the judgment of a reasonable person in the public officer's or 7 employee's situation.

[4.] (d) The public officer or employee possesses special
knowledge which is an indispensable asset of [the public officer's or
employee's public] his or her public body, agency or employer and
is needed by it to reach a sound decision.

12 [5.] (e) It would be appropriate for the public officer or 13 employee to withdraw or abstain from participation, disclose the 14 nature of the public officer's or employee's conflicting personal 15 interest or pursue some other designated course of action in the 16 matter.

17 Sec. 35. NRS 281A.675 is hereby amended to read as follows:

281A.675 1. [A] *Except as otherwise provided in this section and NRS 281A.280, a* public officer or employee may file
with the Commission a request for an advisory opinion to:

(a) Seek guidance on matters which directly relate to the
propriety of his or her own past, present or future conduct as a
public officer or employee under the statutory ethical standards ;
[set forth in this chapter;] or

25 (b) Request relief pursuant to NRS 281A.410, 281A.430 or 26 281A.550.

27 2. The request for an advisory opinion must be:

28 (a) Filed on a form prescribed by the Commission; and

29 (b) Submitted with all necessary information for the 30 Commission to render *a decision and issue* an advisory opinion in 31 the matter.

32 3. At any time after a request for an advisory opinion is filed 33 with the Commission, the Commission may request additional 34 information relating to the request for an advisory opinion from 35 the requester and his or her legal counsel.

4. The Commission may decline to render *a decision and issue*an advisory opinion if the [public officer or employee] requester
does not:

39 (a) Submit all necessary information for the Commission to40 render *a decision and issue* an advisory opinion in the matter; or

41 (b) Declare by oath or affirmation that he or she will testify 42 truthfully regarding the matter [-] or confirm in writing, signed 43 under oath, that any facts provided to the Commission for 44 consideration of the request for an advisory opinion is truthful.





Sec. 36. NRS 281A.680 is hereby amended to read as follows:

2 281A.680 1. [If a public officer or employee] Except as 3 otherwise provided in this section, if a requester properly files a 4 request for an advisory opinion, the Commission shall render a 5 decision and issue an advisory opinion that interprets the statutory 6 ethical standards and applies those standards to the given set of facts 7 and circumstances.

8 2. The Commission shall render a decision concerning the 9 request for an advisory opinion within 45 days after receiving a 10 written confirmation, signed under oath, from the requester of the 11 truth of the proposed findings of fact to be submitted to the 12 Commission for consideration of the request, unless [the]:

13 (a) The requester waives this time limit [-

 $14 - \frac{2}{2};$

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15 (b) The Commission determines that there is good cause to 16 extend this time limit and sets a specific and reasonable time 17 period for such an extension;

18 (c) The Commission stays or dismisses the proceedings 19 concerning the request for an advisory opinion because:

20 (1) An ethics complaint is filed or pending that involves 21 some or all of the same issues or facts and circumstances that are 22 involved in the request for an advisory opinion; and

23 (2) The Commission determines that staying or dismissing 24 the proceedings concerning the request for an advisory opinion is 25 necessary for the just adjudication and disposition of the 26 proceedings concerning the ethics complaint; or

27 (d) The requester has not complied with any applicable
28 procedural requirements related to the request for an advisory
29 opinion as set forth in this chapter or regulations adopted thereto.

30 3. If the Commission renders a decision concerning the 31 request for an advisory opinion pursuant to this section, the 32 Commission shall issue a written advisory opinion for any 33 decision which the Commission determines:

(a) To be binding upon the requester with regard to the future
 conduct of the requester; or

(b) Constitute administrative precedent with persuasive value
that the Commission may consider and follow in the adjudication
and disposition of any request for an advisory opinion or ethics
complaint.

40 **4**. If the *Commission issues a written* advisory opinion 41 [rendered by the Commission] to a requester who filed the request 42 for an advisory opinion pursuant to paragraph (a) of subsection 1 43 of NRS 281A.675 and the advisory opinion relates to the propriety 44 of the present or future conduct of the requester, the advisory 45 opinion is [:





1 (a) Binding upon the requester with regard to the future conduct 2 of the requester: and

3 (b) A a final decision that is subject to judicial review pursuant 4 to NRS 233B.130.

5 [3.] If the requester seeks judicial review pursuant to NRS 6 233B.130, any proceedings concerning such judicial review must be 7 confidential and held in closed court without admittance of persons 8 other than those necessary to the proceedings, unless the requester 9 waives this right to confidential proceedings. 10

If the Commission issues a written advisory opinion: 5.

11 (a) To a requester who filed the request for an advisory 12 opinion pursuant to paragraph (b) of subsection 1 of NRS 13 281A.675; and

(b) Which relates to the past conduct of a public officer or 14 15 employee,

16 the advisory opinion is not a final decision that is subject to 17 judicial review pursuant to NRS 233B.130.

18 Upon the request of a public officer or employee, the 6. Executive Director or Commission Counsel may advise a public 19 officer or employee regarding the application of the statutory 20 21 ethical standards to a given set of facts and circumstances. Such 22 advice may not be contrary to a published opinion of the 23 *Commission or otherwise expand a precedential interpretation of* 24 the Commission in a published opinion. The Executive Director 25 shall keep a written record of any advice offered to a public officer 26 or employee pursuant to this subsection. Any act or failure to act 27 by a current or former public officer or employee relating to this 28 chapter is not a violation of this chapter pursuant to subsection 6 29 of NRS 281A.790 if the public officer or employee establishes by 30 sufficient evidence that he or she relied upon the advice of the 31 Executive Director or Commission Counsel pursuant to this 32 subsection before the public officer or employee acted or failed to 33 act. Any advice rendered by the Executive Director or Commission Counsel pursuant to this subsection is not binding on the public 34 35 officer or employee and is not subject to judicial review pursuant 36 to NRS 233B.130. Any dispute regarding the advice rendered by 37 the Executive Director or Commission Counsel may be resolved 38 through the filing of a request for an advisory opinion with the 39 Commission pursuant to subsection 1.

40 7. Any decision rendered or advisory opinion issued by the 41 Commission in response to a request for an advisory opinion or 42 advice provided by the Executive Director or Commission Counsel 43 pursuant to subsection 6 does not divest the Commission of its 44 jurisdiction to initiate or accept jurisdiction of an ethics complaint 45 and direct the Executive Director to conduct an investigation of





1 the ethics complaint if the ethics complaint alleges facts that are

2 supported by sufficient evidence which are different from the facts 3 relied upon by the Commission, Executive Director or

4 Commission Counsel, as applicable, in rendering advice pursuant 5 to this section.

6 Sec. 37. NRS 281A.685 is hereby amended to read as follows:

7 281A.685 1. Except as otherwise provided in this section, the 8 following materials are confidential and are not public records 9 pursuant to chapter 239 of NRS:

10 (a) A request for an advisory opinion [;] or a request for the 11 advice of the Executive Director or Commission Counsel provided 12 pursuant to subsection 6 of NRS 281A.680;

13 (b) The *decision rendered and the* advisory opinion [rendered] 14 *issued* by the Commission in response to the request [;] for an 15 *advisory opinion or the advice of the Executive Director or* 16 *Commission Counsel provided pursuant to subsection 6 of* 17 NRS 281A.680;

18 (c) Any information, communications, records, documents or 19 other materials in the possession of the Commission or its staff that 20 are related to the request [;] for an advisory opinion or the advice of 21 the Executive Director or Commission Counsel pursuant to 22 subsection 6 of NRS 281A.680; and

23 (d) Any information, communications, records, documents or 24 other materials in the possession of the requester of the *request for* 25 an advisory opinion or the request for the advice of the Executive 26 Director or Commission Counsel provided pursuant to subsection 27 6 of NRS 281A.680 that are related to the request and, if disclosed 28 by the requester, would reveal the existence, nature or content of the 29 request, *the decision rendered* or the advisory opinion \square *issued by* 30 the Commission or the advice of the Executive Director or 31 Commission Counsel provided pursuant to subsection 6 of 32 NRS 281A.680;

2. The provisions of subsection 1 do not create or impose any duty on the Commission or its staff to protect or defend against the disclosure of any materials not in the possession of the Commission or its staff, regardless of whether the materials are related to [the] arequest.

38 3. The provisions of subsection 1 do not apply to any materials 39 in the possession of the Commission or its staff that are related to 40 the request if the requester of [the] a request for an advisory opinion [+] or the request for the advice of the Executive Director 41 42 Commission Counsel pursuant to subsection or 6 0f NRS 281A.680: 43

44 (a) Acts in contravention of *the decision rendered or* the 45 advisory opinion [.] issued by the Commission or the advice of the





Executive Director or Commission Counsel provided pursuant to 1 subsection 6 of NRS 281A.680, in which case the Commission may 2

3 disclose the request, *the decision rendered*, the advisory opinion or the advice of the Executive Director or Commission Counsel 4 5 provided pursuant to subsection 6 of NRS 281A.680 and any information, communications, records, documents or other materials 6 in the possession of the Commission or its staff that are related to 7 8 the request;

9 (b) Authorizes the Commission, in writing, to make the request, the decision rendered, the advisory opinion, the advice of the 10 Executive Director or Commission Counsel provided pursuant to 11 12 of NRS 281A.680 subsection 6 or anv information. 13 communications, records, documents or other materials in the 14 possession of the Commission or its staff that are related to the request publicly available [;], except that any disclosure of 15 16 materials pursuant to this paragraph is limited to the specific 17 materials that the requester authorizes the Commission, in writing, 18 to make publicly available; or

19 (c) Voluntarily discloses, in any manner, the request, *the* decision rendered, the advisory opinion, the advice of the 20 Executive Director or Commission Counsel provided pursuant to 21 22 subsection 6 of NRS 281A.680 or any information, 23 communications, records, documents or other materials in the 24 possession of the Commission or its staff that are related to the 25 request, except to:

26 (1) The supervisory head or the legal counsel of his or her 27 public body, agency or employer **of the requester or the or to any** 28 other public officer or employee of that public body, agency or 29 employer to whom the supervisory head or the legal counsel 30 authorizes such a disclosure in writing; 31

(2) The legal counsel of the requester $\frac{1}{2}$

(2)] to facilitate legal representation when the requester is 32 not represented by the legal counsel of his or her public body, 33 34 agency or employer;

35 (3) Any *other* person to whom the Commission authorizes the requester to make such a disclosure; or 36

37 (3) (4) Any other person to whom the requester makes 38 such a disclosure for the purposes of judicial review pursuant to 39 subsection 4 of NRS 281A.680.

40 **Sec. 38.** NRS 281A.690 is hereby amended to read as follows:

41 281A.690 1. Except as otherwise provided in this section, 42 the] *The* provisions of chapter 241 of NRS do not apply to:

43 (a) Any meeting or hearing held by the Commission to receive 44 information or evidence concerning a request for an advisory 45 opinion; and





1 (b) Any deliberations or actions of the Commission on such 2 information or evidence.

The [public officer or employee] requester who files the 3 2. request for an advisory opinion may also file a request with 4 5 the Commission to hold a public meeting or hearing regarding the 6 request for an advisory opinion. If the Commission grants the request to hold a public meeting or hearing, the Commission shall 7 8 provide public notice of the meeting or hearing, and the meeting 9 or hearing must be open to the public and conducted in accordance with the regulations of the Commission, but the 10 meeting or hearing is not subject to the provisions of chapter 241 11 12 of NRS.

Sec. 39. NRS 281A.700 is hereby amended to read as follows:

14 281A.700 The provisions of NRS 281A.700 to 281A.790, 15 inclusive, *and section 12 of this act* apply to proceedings 16 concerning an ethics complaint.

17 Sec. 40. NRS 281A.710 is hereby amended to read as follows:

18 281A.710 1. Except as otherwise provided in this section and 19 NRS 281A.280, the Commission may render *a decision and issue* 20 an opinion that interprets the statutory ethical standards and applies 21 those standards to a given set of facts and circumstances regarding 22 the propriety of the conduct of a public officer or employee if an 23 ethics complaint is:

(a) Filed by a specialized or local ethics committee establishedpursuant to NRS 281A.350.

(b) Filed by any person, except a person who is incarcerated in acorrectional facility in this State or any other jurisdiction.

(c) Initiated by the Commission on its own motion, except the
Commission shall not initiate such an ethics complaint based solely
upon an anonymous complaint.

2. An ethics complaint filed by a specialized or local ethics *committee or* person *pursuant to paragraph (a) or (b) of subsection I* must be:

(a) Verified under oath and filed on a form prescribed by theCommission; and

(b) Submitted with sufficient evidence to support the allegations
in order for the Commission to make a determination of whether it
has jurisdiction in the matter and whether an investigation is
warranted in the matter pursuant to NRS 281A.715 and 281A.720.

3. The Commission may decline to render a decision or issue
an opinion if the specialized or local ethics committee or person
[who files] filing the ethics complaint pursuant to paragraph (a) or
(b) of subsection 1 does not submit all necessary evidence in the
matter.



13



1 Sec. 41. NRS 281A.715 is hereby amended to read as follows: 2 281A.715 1. Based on the evidence submitted with an ethics 3 complaint filed with the Commission by a specialized or local 4 ethics committee or person pursuant to paragraph (a) or (b) of subsection 1 of NRS 281A.710 [] and any additional evidence 5 6 obtained by the Executive Director pursuant to subsection 2, the Commission shall determine whether it has jurisdiction in the matter 7 8 and whether an investigation is warranted in the matter. The 9 Commission shall make its determination within 45 days after receiving the ethics complaint, unless the **[public officer or** 10 employee who is the subject of the ethics complaint waives this time 11

12 limit.] Commission determines that there is good cause to extend 13 this time limit and sets a specific and reasonable time period for 14 such an extension.

15 2. To assist the Commission in making its determination 16 pursuant to subsection 1 whether it has jurisdiction in the matter 17 and whether an investigation is warranted in the matter, the 18 Executive Director may conduct a preliminary investigation to 19 obtain additional evidence concerning the allegations in the ethics 20 complaint.

3. If the Commission determines *pursuant to subsection 1* that
it does not have jurisdiction in the matter, the Commission shall
dismiss the matter.

[3.] 4. If the Commission determines *pursuant to subsection 1*that it has jurisdiction in the matter but the evidence [submitted with
the ethics complaint] is not sufficient to warrant an investigation in
the matter, the Commission shall dismiss the matter, with or without
issuing a letter of caution or instruction to the public officer or
employee pursuant to NRS 281A.780.

[4.] 5. If the Commission determines *pursuant to subsection 1*that it has jurisdiction in the matter and the evidence [submitted with
the ethics complaint] is sufficient to warrant an investigation in the
matter, the Commission may direct the Executive Director to
investigate the ethics complaint pursuant to NRS 281A.720.

6. If the Commission initiates an ethics complaint on its own
motion pursuant to paragraph (c) of subsection 1 of NRS
281A.710 and the Commission determines that the evidence:

(a) Is not sufficient to warrant an investigation in the matter,
the Commission may dismiss the matter, with or without prejudice.
If the Commission dismisses the matter, it shall issue a letter of
caution or instruction to the public officer or employee pursuant
to NRS 281A.780.

(b) Is sufficient to warrant an investigation in the matter, the
Commission may direct the Executive Director to investigate the
ethics complaint pursuant to NRS 281A.720.





1 Sec. 42. NRS 281A.720 is hereby amended to read as follows: 2 281A.720 1. If the Commission directs the Executive Director to investigate an ethics complaint pursuant to NRS 3 4 281A.715, for if the Commission initiates an ethics complaint on its 5 own motion pursuant to NRS 281A.710,] the Executive Director 6 shall investigate the facts and circumstances relating to the ethics complaint to determine whether the Executive Director believes that 7 8 there is just and sufficient cause for the Commission to render *a* 9 *decision and issue* an opinion in the matter in order to present a written recommendation to the review panel pursuant 10 to 11 NRS 281A.725.

12 2. The Executive Director shall [provide] prepare and serve a 13 *written* notice of the investigation of the ethics complaint pursuant 14 to this section **to** the public officer or employee who is the 15 subject of the ethics complaint and provide the public officer or 16 employee an opportunity to submit to the Executive Director a 17 response to the **Jallegations against the public officer or employee in** 18 the ethics complaint.] written notice of the investigation. The response must be submitted within 30 days after the date on which 19 20 the public officer or employee *[receives]* is served with the written 21 notice of the investigation pursuant to this section, unless the *public* 22 officer or employee waives the time limit set forth in subsection 1 23 of NRS 281A.725 and the Executive Director grants one or more 24 extensions for good cause shown and sets a specific and 25 *reasonable time period for such* an extension.

3. The purpose of the response submitted pursuant to this section is to provide the Executive Director and the review panel with any information relevant to the ethics complaint which the public officer or employee believes may assist:

30 (a) The Executive Director in performing his or her investigation 31 and other functions pursuant to this section and NRS 281A.725; and

32 (b) The review panel in performing its review and other 33 functions pursuant to NRS 281A.730.

4. The public officer or employee is not required in the response submitted pursuant to this section or in any proceedings before the review panel to assert, claim or raise any objection or defense, in law or fact, to the allegations against the public officer or employee, and no objection or defense, in law or fact, is waived, abandoned or barred by the failure to assert, claim or raise it in the response or in any proceedings before the review panel.

41 5. Whether or not the public officer or employee submits a 42 response pursuant to this section, the Executive Director may take 43 action, in the manner authorized by NRS 281A.300, to secure the 44 public officer's or employee's participation, attendance as a





witness and production of any books and papers during the course 1

2 of the investigation.

3 **Sec. 43.** NRS 281A.725 is hereby amended to read as follows: 4 281A.725 1. [Except as otherwise provided in this 5 subsection, the] The Executive Director shall complete the investigation required by NRS 281A.720 and present a written 6 7 recommendation to the review panel within 70 days after the 8 Commission directs the Executive Director to investigate the ethics 9 complaint for after the Commission initiates the ethics complaint on 10 its own motion, as applicable.], except that:

11 (a) The public officer or employee who is the subject of the 12 ethics complaint may waive this time limit []; or

13 (b) Upon the request of the Executive Director, the presiding 14 officer of the review panel may grant one or more extensions of this time limit for good cause shown. If the presiding officer 15 16 grants such an extension, the presiding officer must set a specific 17 and reasonable time period for such an extension.

18 The *written* recommendation *that the Executive Director* 2. 19 *presents to the review panel* must:

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(a) Set forth the factual and legal basis for the recommendation;

21 (b) State whether the Executive Director believes that there is 22 just and sufficient cause for the Commission to render *a decision* 23 *and issue* an opinion in the matter; and

24 (c) If the Executive Director believes that a disposition of the 25 matter without an adjudicatory hearing is appropriate under the facts and circumstances, state any suggested disposition that is consistent 26 27 with the provisions of this chapter, including, without limitation, 28 whether the Executive Director believes that the conduct at issue 29 may be appropriately addressed through additional training or other 30 corrective action under the terms and conditions of a deferral agreement. 31

Sec. 44. NRS 281A.730 is hereby amended to read as follows:

33 281A.730 1. Except as otherwise provided in this section, the 34 review panel shall determine whether there is just and sufficient 35 cause for the Commission to render *a decision and issue* an opinion 36 in the matter within [15] 45 days after the Executive Director 37 **provides** presents to the review panel **with** the recommendation 38 required by NRS 281A.725. The public officer or employee who is 39 the subject of the ethics complaint may waive this time limit. *The* 40 review panel shall serve on the public officer or employee who is the subject of the ethics complaint a written notice of its 41 42 determination.

43 2. The review panel shall cause a record of its proceedings to 44 be kept.





3. The review panel shall not determine that there is just and sufficient cause for the Commission to render *a decision and issue* an opinion in the matter unless the Executive Director has provided the public officer or employee an opportunity to respond [to the allegations] as required by NRS 281A.720.

4. If the review panel determines that there is not just and sufficient cause for the Commission to render *a decision and issue* an opinion in the matter, it shall dismiss the matter, with or without prejudice, and with or without issuing a letter of caution or instruction to the public officer or employee pursuant to NRS 281A.780.

5. If the review panel determines that there is just and sufficient cause for the Commission to render *a decision and issue* an opinion in the matter but reasonably believes that the conduct at issue may be appropriately addressed through additional training or other corrective action under the terms and conditions of a deferral agreement, the review panel may:

(a) Approve a deferral agreement proposed by the Executive
Director and the public officer or employee instead of referring the
ethics complaint to the Commission for further proceedings in the
matter; or

(b) Authorize the Executive Director and the public officer or
employee to develop such a deferral agreement and may thereafter
approve such a deferral agreement instead of referring the ethics
complaint to the Commission for further proceedings in the matter.

6. If the review panel does not approve a deferral agreement pursuant to subsection 5 or if the public officer or employee declines to enter into such a deferral agreement, the review panel shall refer the ethics complaint to the Commission for further proceedings in the matter.

7. If the review panel determines that there is just and sufficient cause for the Commission to render *a decision and issue* an opinion in the matter and reasonably believes that the conduct at issue may not be appropriately addressed through additional training or other corrective action under the terms and conditions of a deferral agreement, the review panel shall refer the ethics complaint to the Commission for further proceedings in the matter.

Sec. 45. NRS 281A.745 is hereby amended to read as follows:

281A.745 1. If the review panel refers an ethics complaint to
the Commission for further proceedings in the matter pursuant to
NRS 281A.730 or if the Commission vacates a deferral agreement
and conducts further proceedings in the matter pursuant to NRS
281A.740 [, the] :

44 (a) The Executive Director shall issue a formal notice of 45 charges to the public officer or employee who is the subject of the



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1 ethics complaint regarding the allegations to be presented at an 2 adjudicatory hearing; and

3 (b) The Commission shall hold an adjudicatory hearing and 4 render [an opinion in the matter] a decision concerning the ethics 5 *complaint* within 60 days after the date on which the review panel 6 refers the ethics complaint to the Commission or the Commission vacates the deferral agreement, as appropriate, unless the public 7 8 officer or employee who is the subject of the ethics complaint waives this time limit [] or the Commission determines that there 9 is good cause to extend this time limit and sets a specific and 10 11 reasonable time period for such an extension.

12 2. [If] *Before* the Commission holds an adjudicatory hearing 13 [to receive evidence] concerning an ethics complaint, the 14 Commission shall:

(a) [Notify] *Provide* the public officer or employee who is the
subject of the ethics complaint *with a written notice* of the date,
time and place of the hearing; *and*

18 (b) *Provide the parties with a written schedule for discovery* 19 *relating to the hearing.*

20 3. At the adjudicatory hearing:

(a) The Executive Director or his or her designee shall present
 the case to the Commission; and

23 (b) The Commission shall:

24 (1) Allow the public officer or employee to be represented by25 legal counsel; and

26 [(c)] (2) Allow the public officer or employee to hear the
27 [evidence] case presented to the Commission by the Executive
28 Director or his or her designee and to [respond and] present
29 [evidence on] his or her own [behalf.] case to the Commission.

30 [3.] 4. Unless the public officer or employee agrees to a 31 shorter time, an adjudicatory hearing may not be held less than 10 32 days after the date on which the *written* notice of the hearing is 33 [given] *provided* to the public officer or employee.

34 [4.] 5. For good cause shown, the Commission may take 35 testimony from a person by telephone or video conference at an 36 adjudicatory hearing or at any other proceedings concerning the 37 ethics complaint.

6. After the Commission renders a decision concerning the ethics complaint, the Commission shall issue a written opinion on or before the date of the next meeting of the Commission that is held after the date on which the decision is rendered, unless the Chair determines that there is good cause to extend this time limit and sets a specific and reasonable time period for such an extension.





The written opinion issued by the Commission must 1 7. include findings of fact and conclusions of law and otherwise 2 3 comply with the requirements for a final decision set forth in NRS 233B.125. 4 5

Sec. 46. NRS 281A.750 is hereby amended to read as follows:

6 281A.750 1. Except as otherwise provided in this section and 7 NRS 281A.755, all information, communications, records. 8 documents or other materials in the possession of the Commission, 9 the review panel or their staff that are related to an ethics complaint are confidential and are not public records pursuant to chapter 239 10 11 of NRS until:

12 (a) The review panel determines whether there is just and 13 sufficient cause for the Commission to render *a decision and issue* 14 an opinion in the matter and serves *the* written notice of its determination on the public officer or employee who is the subject 15 16 of the ethics complaint [;] *pursuant to NRS 281A.730*; or

17 (b) The public officer or employee who is the subject of the 18 ethics complaint authorizes the Commission, in writing, to make the 19 information, communications, records, documents or other materials 20 that are related to the ethics complaint publicly available,

21 ➡ whichever occurs first.

22 Except as otherwise provided in subsection $\begin{bmatrix} 3 \\ -3 \end{bmatrix}$, if a person 2. 23 who files an ethics complaint asks that his or her identity as the 24 requester be kept confidential, the Commission:

25 (a) Shall keep the identity of the requester confidential if he or 26 she is a public officer or employee who works for the same public 27 body, agency or employer as the public officer or employee who is 28 the subject of the ethics complaint [.], worked for the same public 29 body, agency or employer during the time of the alleged conduct at 30 issue or if revealing the identity of the requester would reveal the identity of witnesses who work for the same public body, agency or 31 32 employer.

33 (b) May keep the identity of the requester confidential if he or she offers sufficient facts and circumstances showing a reasonable 34 35 likelihood that disclosure of his or her identity will subject the 36 requester or a member of his or her household to a bona fide threat 37 of physical force or violence.

If the Commission keeps the identity of the requester of an 38 3. ethics complaint confidential pursuant to this section, the 39 40 following materials are confidential and are not public records pursuant to chapter 239 of NRS: 41

42 (a) All information, communications, records, documents or 43 other materials in the possession of the Commission that, if disclosed by the Commission, would reveal that the requester 44 45 filed the ethics complaint. Notwithstanding the provisions of





1 chapter 239 of NRS, in denying a request for public records based 2 on the confidentiality provided by this paragraph, the Commission 3 is not required to provide any information that, if disclosed by the Commission in denying the request for public records, would 4

5 reveal that the requester filed the ethics complaint.

6 (b) All information, communications, records, documents or other materials in the possession of the requester of the ethics 7 8 complaint or his or her public body, agency or employer that, if 9 disclosed by either of them, would reveal that the requester filed the ethics complaint. Notwithstanding the provisions of chapter 10 239 of NRS, in denying a request for public records based on the 11 12 confidentiality provided by this paragraph, the requester of the 13 ethics complaint or his or her public body, agency or employer is 14 not required to provide any information that, if disclosed by either 15 of them in denying the request for public records, would reveal 16 that the requester filed the ethics complaint.

17 4. If the Commission keeps the identity of the requester of an ethics complaint confidential H pursuant to this section and the 18 19 Executive Director does not intend to present the testimony of 20 the requester as evidence for consideration by the Commission at 21 the adjudicatory hearing or in rendering a decision and issuing an 22 opinion in the matter, the Commission shall not render a decision 23 and issue an opinion in the matter unless there is sufficient evidence 24 without the testimony of the requester to consider the propriety of 25 the conduct of the public officer or employee who is the subject of 26 the ethics complaint. The provisions of this subsection do not 27 abrogate or otherwise alter or affect the confidentiality of the 28 identity of the requester of the ethics complaint.

29 5. If the Commission keeps the identity of the requester of an ethics complaint confidential pursuant to this section and the 30 31 Executive Director intends to present the testimony of the requester 32 as evidence for consideration by the Commission at the adjudicatory 33 hearing or in rendering *a decision and issuing* an opinion in the matter and the public officer or employee who is the subject of 34 35 the ethics complaint submits a written discovery request to the 36 Commission pursuant to NRS 281A.755, the [Commission] 37 *Executive Director* shall disclose the name of the requester only as a 38 proposed witness [within a reasonable time before the adjudicatory 39 hearing on the matter.] in accordance with the schedule for 40 discovery provided to the parties pursuant to NRS 281A.745. 41

Sec. 47. NRS 281A.755 is hereby amended to read as follows:

42 281A.755 1. Except as otherwise provided in this section, the 43 investigative file related to an ethics complaint is confidential and is 44 not a public record pursuant to chapter 239 of NRS.





1 2. [At any time after being served with written notice of the 2 determination of the review panel regarding the existence of just and sufficient cause for the Commission to render an opinion in the 3 4 matter,] In accordance with the schedule for discovery provided to 5 the parties pursuant to NRS 281A.745, the public officer or 6 employee who is the subject of the ethics complaint may submit a 7 written discovery request to the Commission for a list of proposed 8 witnesses and a copy of any portion of the investigative file that the 9 Executive Director intends to present as evidence for consideration by the Commission at the adjudicatory hearing or in rendering *a* 10 11 *decision and issuing* an opinion in the matter.

12 3. [Any] Unless otherwise declared confidential by law, any 13 portion of the investigative file which the Executive Director 14 presents as evidence for consideration by the Commission at the 15 adjudicatory hearing or in rendering *a decision and issuing* an 16 opinion in the matter becomes a public record and must be open for 17 inspection pursuant to chapter 239 of NRS *H after the Commission* 18 takes final action concerning the ethics complaint in a public 19 meeting or hearing pursuant to subsection 2 of NRS 281A.760. 20 4. For the purposes of this section:

20 21 (a) The investigative file includes, without limitation:

(1) Any response concerning the ethics complaint prepared
 by the public officer or employee pursuant to NRS 281A.720 and
 submitted to the Executive Director and the review panel during the
 course of the investigation and any proceedings before the review
 panel;

(2) Any recommendation concerning the ethics complaint
prepared by the Executive Director pursuant to NRS 281A.725 and
[submitted] *presented* to the review panel during the course of the
investigation and any proceedings before the review panel; and

31 (3) Any other information provided to or obtained by or on 32 behalf of the Executive Director through any form of 33 communication during the course of the investigation, *including*, 34 without limitation, information, records and documentation obtained pursuant to subsection 3 of NRS 281A.300, and any 35 36 proceedings before the review panel and any records, documents or 37 other materials created or maintained during the course of the investigation and any proceedings before the review panel which 38 relate to the public officer or employee who is the subject of the 39 40 ethics complaint, including, without limitation, a transcript, 41 regardless of whether such information, records, documents or other 42 materials are obtained pursuant to a subpoena.

43 (b) The investigative file does not include any deferral 44 agreement.





Sec. 48. NRS 281A.760 is hereby amended to read as follows:

2 281A.760 1. The provisions of chapter 241 of NRS do not 3 apply to:

(a) Any meeting or hearing held by the Commission to 4 5 receive information or evidence concerning an ethics complaint; and 6 (2.) (b) Any deliberations or actions of the Commission on 7 such information or evidence.

8 2. The Commission shall take final action concerning an 9 ethics complaint in a public meeting or hearing. The Commission shall provide public notice of the meeting or hearing, and the 10 meeting or hearing must be open to the public and conducted in 11 12 accordance with the regulations of the Commission, but the 13 meeting or hearing is not subject to the provisions of chapter 241 14 of NRS. 15

Sec. 49. NRS 281A.765 is hereby amended to read as follows:

16 281A.765 [1. If the Commission renders an opinion in 17 proceedings concerning an ethics complaint, the opinion must include findings of fact and conclusions of law. 18

19 <u>2. If, in] In proceedings concerning an ethics complaint, if the</u> 20 Commission determines that a violation of this chapter:

21 (a) 1. Has not been proven, the Commission shall dismiss the 22 matter, with or without prejudice, and with or without issuing a 23 letter of caution or instruction to the public officer or employee 24 pursuant to NRS 281A.780.

25 (b) 2. Has been proven, the Commission may take any action 26 authorized by this chapter. 27

Sec. 50. NRS 281A.770 is hereby amended to read as follows:

28 281A.770 In any matter in which the Commission disposes of 29 an ethics complaint by stipulation, agreed settlement or consent 30 order or in which the review panel approves a deferral agreement, 31 the Commission or the review panel, as appropriate, shall :

32 To the extent practicable based on the given set of facts 1. 33 and circumstances, treat comparable situations in a comparable manner ; and [shall ensure] 34

35 2. *Ensure* that the disposition of the matter bears a reasonable 36 relationship to the severity of the violation or alleged violation.

Sec. 51. NRS 281A.775 is hereby amended to read as follows: 37

38 281A.775 1. The Commission, in determining whether a 39 violation of this chapter is a willful violation and, if so, the penalty to be imposed on a [public officer or employee] current or former 40 41 public officer or employee pursuant to NRS 281A.785 or 281A.790, 42 or the review panel, in determining whether to approve a deferral 43 agreement regarding an alleged violation, shall consider, without 44 limitation:



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1 (a) The seriousness of the violation or alleged violation, 2 including, without limitation, the nature, circumstances, extent and 3 gravity of the violation or alleged violation;

4 (b) The number and history of previous warnings, letters of 5 caution or instruction, deferral agreements or violations or alleged 6 violations of the provisions of this chapter relating to the public 7 officer or employee;

8 (c) The cost to conduct the investigation and any meetings, 9 hearings or other proceedings relating to the violation or alleged 10 violation;

(d) Any mitigating factors, including, without limitation, any
self-reporting, prompt correction of the violation or alleged
violation, any attempts to rectify the violation or alleged violation
before any ethics complaint is filed and any cooperation by the
public officer or employee in resolving the ethics complaint;

16 (e) Any restitution or reimbursement paid to parties affected by 17 the violation or alleged violation;

(f) The extent of any financial gain resulting from the violationor alleged violation; and

20

(g) Any other matter justice may require.

21 2. The factors set forth in this section are not exclusive or 22 exhaustive, and the Commission or the review panel, as appropriate, 23 may consider other factors in the disposition of the matter if they 24 bear a reasonable relationship to the determination of the severity of 25 the violation or alleged violation.

26 3. In applying the factors set forth in this section, the 27 Commission or the review panel, as appropriate, shall :

(a) To the extent practicable based on the given set of facts and
 circumstances, treat comparable situations in a comparable manner
 and [shall ensure]

(b) Ensure that the disposition of the matter bears a reasonable
 relationship to the severity of the violation or alleged violation.

33 Sec. 52. NRS 281A.780 is hereby amended to read as follows:

281A.780 1. In proceedings concerning an ethics complaint, the Commission or the review panel, as appropriate, may issue a letter of caution or instruction to the public officer or employee who is the subject of the ethics complaint to caution or instruct the public officer or employee regarding the propriety of his or her conduct under the statutory ethical standards . [set forth in this chapter.]

40 2. If the Commission or the review panel issues a letter of 41 caution or instruction to the public officer or employee, the letter:

42 (a) Is confidential and is not a public record pursuant to chapter 43 239 of NRS.

(b) May be considered in deciding the appropriate action to be taken on any subsequent ethics complaint involving the public





officer or employee, unless the letter is not relevant to the issues
 presented by the subsequent ethics complaint.

3 **Sec. 53.** NRS 281A.785 is hereby amended to read as follows: 4 281A.785 [Except as otherwise provided in this section, 1. 5 in In proceedings concerning an ethics complaint, the Commission, 6 based on a finding that a violation of this chapter has been proven, or the review panel, as part of the terms and conditions of a deferral 7 8 agreement, may, in addition to any other [penalty] penalties 9 provided by law and in accordance with the provisions of 10 NRS 281A.775:

(a) Require the public officer or employee who is the subject ofthe ethics complaint to:

13 (1) Comply in all respects with the provisions of this chapter 14 for a specified period without being the subject of another ethics 15 complaint arising from an alleged violation of this chapter by the 16 public officer or employee which occurs during the specified period 17 and for which the review panel determines that there is just and 18 sufficient cause for the Commission to render *a decision and issue* 19 an opinion in the matter.

20

(2) Attend and complete training.

(3) Follow a remedial course of action.

21 22 23

(4) Issue a public apology.

(5) Comply with conditions or limitations on future conduct.

(b) Publicly admonish, reprimand or censure the public officeror employee.

(c) Take any combination of such actions or any other
reasonable action that the Commission or the review panel, as
appropriate, determines will remedy the violation or alleged
violation or deter similar violations or conduct.

2. In carrying out the provisions of subsection 1, the
Commission, based on a finding that a violation of this chapter has
been proven, or the review panel, as part of the terms and conditions
of a deferral agreement, may publicly:

(a) Admonish a public officer or employee if it is determined
that the public officer or employee has violated any provision of this
chapter, but the violation is not willful, or if such an admonishment
is imposed as part of the terms and conditions of a deferral
agreement. An admonishment is a written expression of disapproval
of the conduct of the public officer or employee.

40 (b) Reprimand a public officer or employee if it is determined 41 that the public officer or employee has willfully violated any 42 provision of this chapter, but there is no evidence that the willful 43 violation involved bad faith, malicious intent or knowing or reckless 44 disregard of the law, or if such a reprimand is imposed as part of the 45 terms and conditions of a deferral agreement. A reprimand is a





1 severe written reproof for the conduct of the public officer or 2 employee.

3 (c) Censure a public officer or employee if it is determined that 4 the public officer or employee has willfully violated any provision 5 of this chapter and there is evidence that the willful violation 6 involved bad faith, malicious intent or knowing or reckless 7 disregard of the law or there are no substantial mitigating factors 8 pursuant to NRS 281A.775 for the willful violation, or if such a 9 censure is imposed as part of the terms and conditions of a deferral agreement. A censure is a formal written condemnation of the 10 11 conduct of the public officer or employee.

3. Any action taken by the Commission pursuant to this section is a final decision for the purposes of judicial review pursuant to NRS 233B.130. Any action taken by the review panel pursuant to this chapter, including, without limitation, any action relating to a deferral agreement, is not a final decision for the purposes of judicial review pursuant to NRS 233B.130.

Sec. 54. NRS 281A.790 is hereby amended to read as follows:

19 281A.790 1. In addition to any other penalties provided by 20 law and in accordance with the provisions of NRS 281A.775, the 21 Commission may impose on a [public officer or employee] current 22 or former public officer or employee civil penalties:

(a) Not to exceed \$5,000 for a first willful violation of thischapter;

(b) Not to exceed \$10,000 for a separate act or event that
 constitutes a second willful violation of this chapter; and

27 (c) Not to exceed \$25,000 for a separate act or event that 28 constitutes a third willful violation *or any additional violation* of 29 this chapter.

2. [In] For the purposes of this section, in determining 30 31 whether a current or former public officer or employee has 32 committed one or more violations of this chapter, each separate 33 act or event that constitutes a violation of this chapter, or course 34 of conduct that the Commission interprets as constituting a 35 separate violation of this chapter, must be treated as a separate 36 violation that is cumulative to all other violations by that person, 37 whenever committed, without regard to the sequence of the 38 violations or whether the violations are established in the same 39 proceedings concerning the same ethics complaint or in separate 40 proceedings concerning separate ethics complaints.

3. Except as otherwise provided in NR\$ 281A.280, in addition
to any other penalties provided by law, if a current or former *public officer or employee or* any other person prevents, interferes
with or attempts to prevent or interfere with any investigation or
proceedings pursuant to this chapter or the discovery of a violation



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1 of this chapter, such an act shall be deemed to be a violation of this

2 chapter, and the Commission may, [upon its own motion or upon

3 the motion of the current or former public officer or employee who

4 is the subject of the investigation or proceedings:] after providing

5 the person committing such an act with a written notice of the

6 charges and an opportunity for a hearing in accordance with the
7 regulations of the Commission:

8 (a) Impose on the person committing such an act a civil penalty 9 not to exceed \$5,000 [;], *unless a greater civil penalty is* 10 *authorized by subsection 1;* and

(b) If appropriate under the facts and circumstances, assess against the person committing such an act an amount equal to the amount of attorney's fees and costs actually and reasonably incurred *as a result of the act* by the *Commission or any* current or former public officer or employee [as a result of] who is a subject of the *investigation or proceedings and who is harmed or prejudiced by* the act.

18 [3.] **4**. If the Commission finds that a violation of **[a provision**] 19 of this chapter by a **[public officer or employee]** current or former public officer or employee has resulted in the realization of a 20 financial benefit by the [current or former] public officer or 21 22 employee or another person, the Commission may, in addition to 23 any other penalties provided by law, require the *current or former* 24 public officer or employee to pay a civil penalty of not more than 25 twice the amount so realized.

[4.] 5. In addition to any other penalties provided by law, if [a
 proceeding results in] the Commission issues an opinion in which it
 finds that:

(a) One or more willful violations of this chapter have been
committed by a State Legislator removable from office only through
expulsion by the State Legislator's own House pursuant to Section 6
of Article 4 of the Nevada Constitution, the Commission shall:

(1) If the State Legislator is a member of the Senate, submit
the opinion to the Majority Leader of the Senate or, if the Majority
Leader of the Senate is the subject of the opinion or the person who
requested the opinion, to the President Pro Tempore of the Senate;
or

(2) If the State Legislator is a member of the Assembly,
submit the opinion to the Speaker of the Assembly or, if the Speaker
of the Assembly is the subject of the opinion or the person who
requested the opinion, to the Speaker Pro Tempore of the Assembly.

(b) One or more willful violations of this chapter have been
committed by a state officer removable from office only through
impeachment pursuant to Article 7 of the Nevada Constitution, the
Commission shall submit the opinion to the Speaker of the





Assembly and the Majority Leader of the Senate or, if the Speaker
 of the Assembly or the Majority Leader of the Senate is the person
 who requested the opinion, to the Speaker Pro Tempore of the
 Assembly or the President Pro Tempore of the Senate, as
 appropriate.

6 (c) One or more willful violations of this chapter have been 7 committed by a public officer other than a public officer described 8 in paragraphs (a) and (b), the willful violations shall be deemed to 9 be malfeasance in office for the purposes of NRS 283.440 and the 10 Commission:

11 (1) May file a complaint in the appropriate court for removal 12 of the public officer pursuant to NRS 283.440 when the public 13 officer is found in the opinion to have committed fewer than three 14 willful violations of this chapter.

15 (2) Shall file a complaint in the appropriate court for removal 16 of the public officer pursuant to NRS 283.440 when the public 17 officer is found in the opinion to have committed three or more 18 willful violations of this chapter.

¹⁹ This paragraph grants an exclusive right to the Commission, and ²⁰ no other person may file a complaint against the public officer ²¹ pursuant to NRS 283.440 based on any violation found in the ²² opinion.

[5.] 6. Notwithstanding any other provision of this chapter,
any act or failure to act by a [public officer or employee] current or
former public officer or employee relating to this chapter is not a
[willful] violation of this chapter if the public officer or employee
establishes by sufficient evidence that:

(a) The public officer or employee relied in good faith upon the
advice of the *Executive Director or Commission Counsel pursuant to subsection 6 of NRS 281A.680 or* legal counsel *employed or*retained by his or her public body, agency or employer; and

32 (b) The advice of the *Executive Director*, *Commission Counsel* 33 or legal counsel, as applicable, was:

(1) Provided to the public officer or employee before thepublic officer or employee acted or failed to act; and

(2) Based on a reasonable legal determination by the *Executive Director, Commission Counsel or* legal counsel under
the circumstances when the advice was given that the act or failure
to act by the public officer or employee would not be contrary to the
provisions of this chapter as interpreted [by] in the published
opinions of the Commission.

42 [6.] 7. In addition to any other penalties provided by law, if a 43 public employee commits a [willful] violation of this chapter or fails 44 to complete a period of compliance imposed by the Commission 45 pursuant to NRS 281A.785 or by the review panel as part of the





1 terms and conditions of a deferral agreement [, the public employee 2 is subject to disciplinary proceedings by the]:

3 (a) The Commission shall provide that information to the *public body, agency or* employer of the public employee; and 4 5 [must be referred for]

6 (b) The public body, agency or employer may pursue or take 7 appropriate disciplinary action against the public employee in 8 accordance **[to]** with the applicable provisions governing **[the]** his or her public employment. [of the public employee. 9

-7.1 8. The provisions of this chapter do not abrogate or 10 decrease the effect of the provisions of the Nevada Revised Statutes 11 12 which define crimes or prescribe punishments with respect to the 13 conduct of public officers or employees. If the Commission finds 14 that a *current or former* public officer or employee has committed a 15 willful violation of this chapter which it believes may also 16 constitute a criminal offense, the Commission shall refer the matter 17 to the Attorney General or the district attorney, as appropriate, for a 18 determination of whether a crime has been committed that warrants 19 prosecution.

The imposition of a civil penalty pursuant to 20 [8.] 9. 21 [subsection 1, 2 or 3] any provision of subsections 1 to 4, inclusive, 22 is a final decision for the purposes of judicial review pursuant to 23 NRS 233B.130.

24 A finding by the Commission that a *current or former* [9.] 10. 25 public officer or employee or any other person has violated any 26 provision of this chapter must be supported by a preponderance of 27 the evidence unless a greater burden is otherwise prescribed by law. 28

Sec. 55. NRS 239.010 is hereby amended to read as follows:

29 239.010 1. Except as otherwise provided in this section and 30 NRS 1.4683, 1.4687, 1A.110, 3.2203, 41.071, 49.095, 49.293, 62D.420, 62D.440, 62E.516, 62E.620, 62H.025, 62H.030, 62H.170, 31 32 62H.220, 62H.320, 75A.100, 75A.150, 76.160, 78.152, 80.113, 81.850, 82.183, 86.246, 86.54615, 87.515, 87.5413, 87A.200, 33 87A.580, 87A.640, 88.3355, 88.5927, 88.6067, 88A.345, 88A.7345, 34 89.045, 89.251, 90.730, 91.160, 116.757, 116A.270, 116B.880, 35 36 119.260. 119.265. 119.267, 119.280. 118B.026. 119A.280. 119A.653, 119A.677, 119B.370, 119B.382, 120A.690, 125.130, 37 125B.140, 126.141, 126.161, 126.163, 126.730, 127.007, 127.057, 38 127.130, 127.140, 127.2817, 128.090, 130.312, 130.712, 136.050, 39 159.044, 159A.044, 172.075, 172.245, 176.01249, 176.015, 40 176.0625, 176.09129, 176.156, 176A.630, 178.39801, 178.4715, 41 42 178.5691, 179.495, 179A.070, 179A.165, 179D.160, 200.3771, 200.3772, 200.5095, 200.604, 202.3662, 205.4651, 209.392, 43 209.3923, 209.3925, 209.419, 209.429, 209.521, 211A.140, 44 213.010, 213.040, 213.095, 213.131, 217.105, 217.110, 217.464, 45



217.475, 218A.350, 218E.625, 218F.150, 218G.130, 218G.240, 1 2 218G.350, 226.300, 228.270, 228.450, 228.495, 228.570, 231.069, 231.1473, 233.190, 237.300, 239.0105, 239.0113, 239.014, 3 239B.030, 239B.040, 239B.050, 239C.140, 239C.210, 239C.230, 4 5 239C.250, 239C.270, 239C.420, 240.007, 241.020, 241.030. 241.039, 242.105, 244.264, 244.335, 247.540, 247.550, 247.560, 6 250.087, 250.130, 250.140, 250.150, 268.095, 268.0978, 268.490, 7 269.174, 271A.105, 281.195, 281.805, 281A.350, 8 268.910. 281A.680, 281A.685, 281A.750, 281A.755, 281A.780, 284.4068, 9 286.110, 286.118, 287.0438, 289.025, 289.080, 289.387, 289.830, 10 293.4855, 293.5002, 293.503, 293.504, 293.558, 293.5757, 293.870, 11 293.906, 293.908, 293.910, 293B.135, 293D.510, 331.110, 332.061, 12 13 332.351, 333.333, 333.335, 338.070, 338.1379, 338.1593, 338.1725, 349.597, 349.775, 353.205, 353A.049, 14 338.1727. 348.420. 353A.100, 353C.240, 360.240, 360.247, 360.255, 15 353A.085. 360.755, 361.044, 361.2242, 361.610, 365.138, 366.160, 368A.180, 16 17 370.257, 370.327, 372A.080, 378.290, 378.300, 379.0075, 379.008, 379.1495, 385A.830, 385B.100, 387.626, 387.631, 388.1455, 18 388.259, 388.501, 388.503, 388.513, 388.750, 388A.247, 388A.249, 19 20 391.033, 391.035, 391.0365, 391.120, 391.925, 392.029, 392.147, 392.264, 392.271, 392.315, 392.317, 392.325, 392.327, 392.335, 21 22 392.850, 393.045, 394.167, 394.16975, 394.1698, 394.447, 394.460, 23 394.465. 396.3295, 396.405, 396.525, 396.535, 396.9685. 398A.115, 408.3885, 408.3886, 408.3888, 408.5484, 412.153, 24 414.280, 416.070, 422.2749, 422.305, 422A.342, 422A.350, 25 26 425.400, 427A.1236, 427A.872, 432.028, 432.205, 432B.175, 27 432B.280, 432B.290, 432B.407, 432B.430, 432B.560, 432B.5902, 28 432C.140, 432C.150, 433.534, 433A.360, 437.145, 437.207, 439.4941, 439.840, 439.914, 439B.420, 439B.754, 439B.760, 29 440.170, 441A.195, 441A.220, 441A.230, 442.330, 30 442.395. 442.735, 442.774, 445A.665, 445B.570, 445B.7773, 447.345, 31 449.209, 449.245, 449.4315, 449A.112, 450.140, 450B.188, 32 33 453.164, 453.720, 453A.610, 453A.700, 458.055, 458.280, 459.050, 459.3866, 459.555, 459.7056, 459.846, 463.120, 463.15993, 34 35 463.240, 463.3403, 463.3407, 463.790, 467.1005, 480.535, 480.545, 36 480.935, 480.940, 481.063, 481.091, 481.093, 482.170, 482.5536, 37 483.340, 483.363, 483.575, 483.659, 483.800, 484A.469, 484E.070, 485.316, 501.344, 503.452, 522.040, 534A.031, 561.285, 571.160, 38 587.877, 598.0964, 598.098, 598A.110, 39 584.655, 599B.090, 603.070, 603A.210, 604A.303, 604A.710, 612.265, 616B.012, 40 616B.015, 616B.315, 616B.350, 618.341, 618.425, 622.238, 41 42 622.310, 623.131, 623A.137, 624.110, 624.265, 624.327, 625.425, 43 625A.185, 628.418, 628B.230, 628B.760, 629.047, 629.069, 630.133, 630.2673, 630.30665, 630.336, 630A.555, 631.368, 44 45 632.121, 632.125, 632.3415, 632.405, 633.283, 633.301, 633.4715,



633.524, 634.055, 634.214, 634A.185, 635.158, 636.107, 637.085, 1 2 637B.288. 638.087. 638.089. 639.2485. 639.570. 640.075. 3 640A.220, 640B.730, 640C.580, 640C.600, 640C.620, 640C.745, 640C.760, 640D.190, 640E.340, 641.090, 641.221, 641.325, 4 5 641A.191, 641A.262, 641A.289, 641B.170, 641B.282, 641B.460, 641C.760, 641C.800, 6 642.524, 643.189, 644A.870, 645.180. 7 645.625, 645A.050, 645A.082, 645B.060, 645B.092, 645C.220, 8 645C.225, 645D.130, 645D.135, 645G.510, 645H.320, 645H.330, 647.0945, 647.0947, 648.033, 648.197, 649.065, 649.067, 652.228, 9 653.900, 654.110, 656.105, 657A.510, 661.115, 665.130, 665.133, 10 669.275, 669.285, 669A.310, 671.170, 673.450, 673.480, 675.380, 11 12 676A.340, 676A.370, 677.243, 678A.470, 678C.710, 678C.800, 13 679B.122, 679B.124, 679B.152, 679B.159, 679B.190, 679B.285, 679B.690, 680A.270, 681A.440, 681B.260, 681B.410, 681B.540, 14 683A.0873, 685A.077, 686A.289, 686B.170, 686C.306, 687A.110, 15 16 687A.115, 687C.010, 688C.230, 688C.480, 688C.490, 689A.696, 17 692A.117. 692C.190, 692C.3507, 692C.3536, 692C.3538, 692C.354, 692C.420, 693A.480, 693A.615, 696B.550, 696C.120, 18 703.196, 704B.325, 706.1725, 706A.230, 710.159, 711.600, and 19 20 section 12 of this act, sections 35, 38 and 41 of chapter 478, Statutes of Nevada 2011 and section 2 of chapter 391, Statutes of 21 22 Nevada 2013 and unless otherwise declared by law to be 23 confidential, all public books and public records of a governmental 24 entity must be open at all times during office hours to inspection by 25 any person, and may be fully copied or an abstract or memorandum 26 may be prepared from those public books and public records. Any 27 such copies, abstracts or memoranda may be used to supply the 28 general public with copies, abstracts or memoranda of the records or 29 may be used in any other way to the advantage of the governmental entity or of the general public. This section does not supersede or in 30 31 any manner affect the federal laws governing copyrights or enlarge, 32 diminish or affect in any other manner the rights of a person in any 33 written book or record which is copyrighted pursuant to federal law.

2. A governmental entity may not reject a book or record which is copyrighted solely because it is copyrighted.

36 A governmental entity that has legal custody or control of a 3. 37 public book or record shall not deny a request made pursuant to 38 subsection 1 to inspect or copy or receive a copy of a public book or 39 record on the basis that the requested public book or record contains information that is confidential if the governmental entity can 40 redact, delete, conceal or separate, including, without limitation, 41 42 electronically, the confidential information from the information 43 included in the public book or record that is not otherwise 44 confidential.





4. If requested, a governmental entity shall provide a copy of a
 public record in an electronic format by means of an electronic
 medium. Nothing in this subsection requires a governmental entity
 to provide a copy of a public record in an electronic format or by
 means of an electronic medium if:

 (a) The public record:

7 8 (1) \overline{W} as not created or prepared in an electronic format; and

(2) Is not available in an electronic format; or

9 (b) Providing the public record in an electronic format or by 10 means of an electronic medium would:

11

25

(1) Give access to proprietary software; or

12 (2) Require the production of information that is confidential 13 and that cannot be redacted, deleted, concealed or separated from 14 information that is not otherwise confidential.

15 5. An officer, employee or agent of a governmental entity who 16 has legal custody or control of a public record:

(a) Shall not refuse to provide a copy of that public record in the
medium that is requested because the officer, employee or agent has
already prepared or would prefer to provide the copy in a different
medium.

(b) Except as otherwise provided in NRS 239.030, shall, upon
request, prepare the copy of the public record and shall not require
the person who has requested the copy to prepare the copy himself
or herself.

Sec. 56. NRS 241.016 is hereby amended to read as follows:

26 241.016 1. The meetings of a public body that are quasi-27 judicial in nature are subject to the provisions of this chapter.

28 2. The following are exempt from the requirements of this 29 chapter:

30 (a) The Legislature of the State of Nevada.

(b) Judicial proceedings, including, without limitation,
proceedings before the Commission on Judicial Selection and,
except as otherwise provided in NRS 1.4687, the Commission on
Judicial Discipline.

(c) Meetings of the State Board of Parole Commissioners when
 acting to grant, deny, continue or revoke the parole of a prisoner or
 to establish or modify the terms of the parole of a prisoner.

38 3. Any provision of law, including, without limitation, NRS 39 91.270, 219A.210, 228.495, 239C.140, 239C.420, 281A.350, 40 281A.690, 281A.735, 281A.760, 284.3629, 286.150, 287.0415, 41 287.04345, 287.338, 288.220, 288.590, 289.387, 295.121, 360.247, 42 388.261, 388A.495, 388C.150, 388D.355, 388G.710, 388G.730, 43 392.147, 392.467, 394.1699, 396.3295, 414.270, 422.405, 433.534, 44 435.610, 442.774, 463.110, 480.545, 622.320, 622.340, 630.311,





1 630.336, 631.3635, 639.050, 642.518, 642.557, 686B.170, 2 696B.550, 703.196 and 706.1725, *and section 9 of this act*, which:

3 (a) Provides that any meeting, hearing or other proceeding is not
4 subject to the provisions of this chapter; or

5 (b) Otherwise authorizes or requires a closed meeting, hearing 6 or proceeding,

7 \rightarrow prevails over the general provisions of this chapter.

8 4. The exceptions provided to this chapter, and electronic 9 communication, must not be used to circumvent the spirit or letter of 10 this chapter to deliberate or act, outside of an open and public 11 meeting, upon a matter over which the public body has supervision, 12 control, jurisdiction or advisory powers.

13 Sec. 57. The provisions of NRS 354.599 do not apply to any 14 additional expenses of a local government that are related to the 15 provisions of this act.

16 Sec. 58. 1. Except as otherwise provided in this section, the 17 Commission on Ethics:

(a) Shall apply the amendatory provisions of this act which
govern the procedures applicable to administrative proceedings
arising under chapter 281A of NRS to any such proceedings that are
within the jurisdiction of the Commission and are commenced on or
after July 1, 2021, whether or not the conduct at issue in such
proceedings occurred before July 1, 2021.

24 (b) May apply the amendatory provisions of this act which 25 govern the procedures applicable to administrative proceedings 26 arising under chapter 281A of NRS to any such proceedings that 27 were commenced before July 1, 2021, and are still within the 28 jurisdiction of the Commission and pending before the Commission 29 on July 1, 2021, unless the Commission determines that such an 30 application would be impracticable, unreasonable or 31 unconstitutional under the circumstances, in which case the 32 Commission shall apply the procedures in effect before July 1, 33 2021.

2. The amendatory provisions of sections 10, 16, 29, 30, 31 and 35 33 of this act do not apply to any conduct occurring before July 1, 36 2021.

37 Sec. 59. This act becomes effective on July 1, 2021.







Agenda Item 7



State of Nevada COMMISSION ON ETHICS M E M O R A N D U M

DATE: May 12, 2021
TO: Nevada Commission on Ethics
FROM: Tracy L. Chase, Commission Counsel
RE: Public Meeting for May 19, 2021, Agenda Item 7 - Commission FY20 and FY21 Performance measures

The Nevada Commission on Ethics has annual performance measures that are reported on at the end of each fiscal year. Attached are final statistics for the FY20 performance measures and the compiled statistics through the third quarter for FY21. Pages from the Governor's 2021-2023 biennium recommended budget that explain the methodology for each performance measure are included in the packet. This information is provided to assist you in determination of Commission established performance measures for its two public officer positions of Executive Director and Commission Counsel.

Commission Performance measures reflect the main functions of the agency as established in NRS Chapter 281A associated with training, ethics complaints and advisory opinions in comparison to the fiscal year's count of the total number of Nevada State and local public officers and employees subject to the Commission's jurisdiction. In part, annual data is utilized to measure activity relating to: (1) outreach and education; (2) average days to issue advisory opinions; (3) number of complaint hearings before the Commission; and (4) number of judicial cases in which the Commission is named or interested.

FY20 statistics confirm that between July 1, 2019 through June 30, 2020, the Commission had 144,317 public officers and public employees under its jurisdiction. The Executive Director completed 25 trainings; the Commission received and processed 29 advisory opinions that had been filed that year; held 103 hearings; and defended 5 judicial review/litigation cases.

FY21 statistics, as compiled to date, confirm that between July 1, 2020, through the third quarter of 2021, the Commission had 137,160 public officers and public employees under its jurisdiction. The Executive Director completed 13 trainings; the Commission received and processed 12 advisory opinions that had been filed that year; held 66 hearings; and defended 4 judicial review/litigation cases. Notably, FY21 had an emergency event that could skew the reported statistics. In March of 2020, the State offices were closed to the public by Governor directives issued in response to the COVID-19 pandemic, remote operations were instituted; and the Commission provided budget reductions to the State given the economic situation associated with the pandemic.

FY20 NCOE Performance Measures Data

Activity	Population & Workloads	PM Question #	Supporting Questions	2020-Q1 (July-Sept 2019)	2020-Q2 (Oct-Dec 2019)	2020-Q3 (Jan-Mar 2020)	2020-Q4 (April-June 2020)	Quarter Totals (Avg)	Result (FY Total)
1		1	Total number of Ethics in Government Law Trainings provided by the Commission:	11	9	4	1	6	25
		2	Total number of calendar days from the date of receipt of the request for advisory opinion to the date of the final written opinion being issued:	47.5	49	43.7	46.5	46.7	47
			Total number advisory opinions issued during this quarter:	12	6	9	2	7.25	29
		3	Total number of hearings for third-party Requests for Opinion (RFOs) in which deliberation/decisions were made by the Commission:	22	33	21	27	26	103
		4	Total number of judicial cases in which the Commission is a named party or is otherwise interested:	1	1	2	1	1	5
	1		Total number of Nevada State and local public officers and public employees subject to the Commission's jurisdiction:	146,267	147,067	146,533	137,400	144,317	144,317
	2		Total number of Complaints (Third-Party Requests for Opinion) filed with the Commission:	30	27	21	11	22	89
	3		Total number of Compliants (Third-Party Requests for Opinion) investigated by the Commission:	21	14	11	2	12	48
	4		Total number of Advisory Requests (First-Party Requests for Opinion) filed with the Commission:	11	10	14	6	10	41
	5		Total number of Abstract Advisory Opinions processed by the Commission:	0	6	5	14	6	25

FY21 NCOE Performance Measures Data

Activity	Population & Workloads	PM Question #	Supporting Questions	2020-Q1 (July-Sept 2020)	2020-Q2 (Oct-Dec 2020)	2020-Q3 (Jan-Mar 2021)	2020-Q4 (April-June 2021)	Quarter Totals (Avg)	Result (FY Total)
1		1	Total number of Ethics in Government Law Trainings provided by the Commission:	4	5	4			13
		2	Total number of calendar days from the date of receipt of the request for advisory opinion to the date of the final written opinion being issued:	51	62	84			66
			Total number advisory opinions issued during this quarter:	3	1	1			5
		3	Total number of hearings for third-party Requests for Opinion (RFOs) in which deliberation/decisions were made by the Commission:	21	19	21			61
		4	Total number of judicial cases in which the Commission is a named party or is otherwise interested:	2	1	1			4
	1		Total number of Nevada State and local public officers and public employees subject to the Commission's jurisdiction:	135,110	137,043	139,327			137,160
	2		Total number of Complaints (Third-Party Requests for Opinion) filed with the Commission:	15	19	17			51
	3		Total number of Compliants (Third-Party Requests for Opinion) investigated by the Commission:	6	10	4			20
	4		Total number of Advisory Requests (First-Party Requests for Opinion) filed with the Commission:	6	3	3			12
	5		Total number of Abstract Advisory Opinions processed by the Commission:	2	1	0			3

	00100						
Dept/Div: 150 Description: COMM	ISSION ON ETHICS						
Dept Mission							
Statement:							
Div Mission Statement:							
No Major Budget Initiatives found							
Activities:							
Activity Name: Agency Investigation and O	versight of Waste, Fra	ud, and Abuse					
Status: Ongoing							
Division-Specific This activity is responsible for Activity establishes the public policy	or interpreting and enformed and enformed and standards of con	orcing Ethics in C	Bovernment Law.	. The Ethics La	w c duties		
Description: and private interests of state					o dulleo		
Authority: State Statute - Mandatory							
Authority NRS Chapter 281A. All acti	vity is required (manda	atory) pursuant to	o statute.				
Description:							
Activity Funding						2022	2023
No Funding data found							
Performance Measures							
1. Performance Measure Title:	Number of ethics in o	government law o	classes provided				
	Number of Ethics in and attorneys who re	- Government Law	r Trainings provid	led by the Com	mission to public	officers, public e	mployees
Methodology:	The Commission on	• •	•	•		State agency, loc	al
	government or polition will be tracked. The number of training pr	cal subdivision up sum number of t	oon request and a	at the discretion	of the Commissi	ion. Each training	g provided
Status	Revised						
Rationale	Other						
Additional Details for Rationale:	Wording more accurate	ately reflects mea	asure.				
Label/Graph Title:	Trainings Provided						
Measure Type:	Count						
Timeframe:	State Fiscal Year						
Graph Type:	Bar						
	2017	2018	2019	2020	2021	2022	202
Amount	21.00	42.00	33.00	25.00	33.00	33.00	33.00
Amount Type:		Actual	Actual	Actual	Projected	Projected	Projecteo
2. Performance Measure Title:	0,	, ,					
Description:	For all advisory opini advisory opinion and Commission to respo employees.	I the issuance of	the written adviso	ory opinion to d	etermine the ave	rage length of tir	ne for the
Methodology:	Staff tracks each req through the date of it before or written sub Commission must is: opinion, the Commis as guidance to all put this performance me request for advisory Subject. The average number of advisory of	ts final disposition mission to the Cr sue its oral opinic sion issues a fina blic officers and asure will be con Opinion is heard e will be calculate	n (written opinion commission in whi on within 45 days al written opinion, employees. For npiled from that d or submitted to t ed by adding up t). Each request ich the Commis of receipt (NRS , which is bindir each request fo lata, counting the date of the f the date of the f the total numbe	for an advisory of sion renders an of S 281A.440(1). A ng and subject to or advisory opinio ne number of cale inal written opinio	opinion results in oral opinion. Sta After issuance of judicial review a n issued during a endar days from on being issued t	a hearing tutorily, the an oral nd published a fiscal year, the date the o the
Status:	Revised	,		, · · · · · · · · · · · · · · · · · · ·			

Rationale: Other

Additional Details for Rationale: Wording provided more accurately reflects the measure.

Label/Graph Title: Avg Days to Issue Opinion

Measure Type: Count

Timeframe: State Fiscal Year

Graph Type: Bar

	2017	2018	2019	2020	2021	2022	2023
Amount:	76.00	55.00	44.00	47.00	49.00	49.00	49.00
Amount Type:	Actual	Actual	Actual	Actual	Projected	Projected	Projected

		0010	OTENION								
3.	Performance Measure Title:	Number of Compla	int Hearings befo	ore Commission							
		Number of hearings for complaints before the Commission in which deliberation/decisions are made by the Commission									
	Methodology:	Staff tracks each co	omplaint at vario	us benchmarks f	rom the date it is	received/accept	ed through the da	ate of its final			
	inchiouology.	disposition. This pe the Commission de Reviews, Investiga	rformance meas liberates and ma	sure will count the akes a decision.	e number of com These hearings	plaint hearings be include, but are r	efore the Commis not limited to, Juri	ssion in whick			
	Status:	Revised		<i>,</i> ,	0	,	0 0	•			
	Rationale:	Other									
	Additional Details for Rationale:	Wording more accu	rately reflects th	e measure.							
	Label/Graph Title:	# of Third-Party He	arings								
	Measure Type:	Count									
	Timeframe:	State Fiscal Year									
	Graph Type:	Bar									
		2017	2018	2019	2020	2021	2022	202			
	Amount:	19.00	51.00	135.00	103.00	96.00	96.00	96.0			
	Amount Type:	Actual	Actual	Actual	Actual	Projected	Projected	Projecte			
4.	Performance Measure Title:	Number of Judicial	Cases in which	the Commission	is Named or Inte	rested					
	Description:	Count the number of	of judicial cases	in which the Con	nmission is a nan	ned party or is ot	herwise intereste	d.			
		The Commission of before a district con Nevada Court of Ap and monitors other possible participating appeared in for a to	urt on a petition f opeals, Nevada cases which imp on. This Perform	or judicial review Supreme Court a plicate the interp nance Measure v	i, the Commission and United States retation, application	n defends its dec Supreme Court. on or enforceme	ision before the I The Commission t of the Ethics La	District Court on also tracks aw for			
	Status:	Ongoing									
	Label/Graph Title:	Number of Judicial	Cases								
	Measure Type:	Count									
	Timeframe:	State Fiscal Year									
	Graph Type:	Bar									
		2017	2018	2019	2020	2021	2022	202			
	Amount:	5.00	5.00	3.00	5.00	4.00	4.00	4.0			
	Amount Type:	Actual	Actual	Actual	Actual	Projected	Projected	Projecte			
•	ns & Workloads										
1.	•	Approximate Numb									
	·	Count the total num jurisdiction.				,					
	Methodology:	Review the Nevada reporting employee released monthly b calculate the Fiscal (FY) Report of the	statistics on ind y DETR (see htt Year average a	lustry employment p://http://nevaday nd subtract the t	nt by sector (Stat workforce.com/in	e and Local gove dustry-employme	ernments). Repor ent-by-sector). S	ts are taff will			
	Status:	Ongoing									
	•	# Public Ofc/Emplo	yees								
		State Fiscal Year									
	Graph Type:										
	Legislatively approved Caseload:										
		2017	2018	2019	2020	2021	2022	202			
	Amount:	139,958.00	145,325.00	143,418.00	144,317.00	144,353.00	144,353.00	144,353.0			
	Amount Type:		Actual	Actual	Actual	Projected	Projected	Projecte			
2.	•	New Complaints Fi									
	-	Total number of Co									
	••	Count the total num Ongoing	ber of Complair	nts (Third-Party F	Requests for Opin	ion) filed with the	e Commission.				
		New Complaints Fi	led								
		State Fiscal Year									
	Graph Type:										
	Legislatively approved Caseload:										
	5	2017	2018	2019	2020	2021	2022	202			
	Amount:	43.00	55.00	123.00	89.00	89.00	89.00	89.0			
								-			

Actual

Actual

Projected

Projected

Projected

Actual

Amount Type:

Actual

NEBS310

		G01 GC	VERNOR RE	COMMENDS	6					
3.	Deputation Titles	Number of New Coo	a Investigated							
з.	•	Number of New Case	•	od by the Comm	viscion					
	· · · · ·	Total number of Complaints investigated by the Commission.								
	Methodology.	Count the total number of Complaints investigated by the Commission. (The number of new cases investigated does not include investigated cases which continued from prior fiscal years).								
	Status:									
	Label/Graph Title:	New Cases Investiga	ated							
		State Fiscal Year								
	Graph Type:	Bar								
	Legislatively approved Caseload:									
	0 7 11	2017	2018	2019	2020	2021	2022	2023		
	Amount:	12.00	13.00	28.00	25.00	22.00	22.00	22.00		
	Amount Type:	Actual	Actual	Actual	Actual	Projected	Projected	Projected		
4.		New Advisory Reque				,		,		
	•	Total number of Advi		d with the Comm	nission					
	· · · · ·	Count the total numb				าก				
		Revised				511.				
	Rationale:									
	Additional Details for Rationale:	- · ·	abel/Graph New		Filed					
	Additional Details for Rationale.	L	Title:	Auvisory Reqs	riieu					
	Timeframe:	State Fiscal Year								
	Graph Type:	Bar								
	Legislatively approved Caseload:									
	• • • • •	2017	2018	2019	2020	2021	2022	2023		
	Amount:	12.00	19.00	32.00	41.00	31.00	31.00	31.00		
	Amount Type:	Actual	Actual	Actual	Actual	Projected	Projected	Projected		
5.		Abstract Advisory Op				- ,	- j	· , · · · · ·		
•.	•	Total number of Abstract Advisory Opinions issued by the Commission.								
		Count the total numb	• •	•			is number includes	s Advisorv		
		Opinion requests rec	eived from prior f	iscal years with	the Abstract Ad	visory Opinion	data recorded in th	ne fiscal year		
		which it was complet	ed.							
	Status:	Ongoing								
	Label/Graph Title:	Abstract Advisory Op	pinion							
	Timeframe:	State Fiscal Year								
	Graph Type:	Bar								
	Legislatively approved Caseload:	No								
		2017	2018	2019	2020	2021	2022	2023		
	Amount:	6.00	11.00	7.00	25.00	14.00	14.00	14.00		
	Amount Type:	Actual	Actual	Actual	Actual	Projected	Projected	Projected		
						-				
Activity	Totals for Dept/Div: 150									
Activity I	Funding						2022	2023		
No Fundi	ing Data found		-							
_										
Line Item	n Funding						2022	2023		
General F							\$249,038	\$246,988		
Transfers	3						\$0	\$0		
Other							\$695,745	\$690,626		
0	1	ine Item Totals For D	ept/Div:150				\$944,783	\$937,614		
	-		Cp//DIV.100				ψ 3 -+,703	φ307,014		
Activity -	Totals for Poport:									
ACTIVITY	Totals for Report:									
Activity	Funding						2022	2022		
Activity I							2022	2023		
	ing Data found									
l ina ltarr	n Totals for Report									

Line Item Funding		2022	2023
General Fund		\$249,038	\$246,988
Transfers		\$0	\$0
Other		\$695,745	\$690,626
	Activity Totals	\$944,783	\$937,614